

**Reprint
as at 1 December 2016**

**Securities Act (Group Investment Index Funds)
Exemption Amendment Notice 2002
(SR 2002/424)**

Securities Act (Group Investment Index Funds) Exemption Amendment Notice 2002: revoked, on 1 December 2016, pursuant to Schedule 1 clause 26 of the Financial Markets Conduct Regulations 2014 (LI 2014/326).

Pursuant to the Securities Act 1978, the Securities Commission gives the following notice.

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Notice

1 Title

- (1) This notice is the Securities Act (Group Investment Index Funds) Exemption Amendment Notice 2002.
- (2) In this notice, the Securities Act (Group Investment Index Funds) Exemption Notice 2002 (SR 2002/316) is called “the principal notice”.

Note

Changes authorised by subpart 2 of Part 2 of the Legislation Act 2012 have been made in this eprint. See the notes at the end of this eprint for further details.

This notice is administered by the Financial Markets Authority.

2 Commencement

This notice comes into force on the day after the date of its notification in the *Gazette*.

3 New clause 5A inserted

The principal notice is amended by inserting, after clause 5, the following clause:

5A Certain persons exempt from regulation 23 of the Securities Regulations 1983 in respect of specified participatory securities

- (1) The trustee and manager in relation to Group Investment Fund No 2291, known as the NZSE 10 Index Fund, and every person acting on behalf of the trustee or manager are exempted from regulation 23 of the Regulations in respect of any specified participatory securities that are interests in that fund.
- (2) The exemption granted by subclause (1) is subject to the condition that the registered prospectus or any advertisement relating to the specified participatory securities includes the following statement:
“Units in NZSE 10 Index Fund have been accepted for quotation by the New Zealand Stock Exchange and will be quoted upon completion of allotment procedures.”

Dated at Wellington this 17th day of December 2002.

The Common Seal of the Securities Commission was affixed in the presence of:

[Seal]

C A N Beyer,
Member.

Explanatory note

This note is not part of the notice, but is intended to indicate its general effect.

This notice, which comes into force the day after the date of its notification in the *Gazette*, amends the Securities Act (Group Investment Index Funds) Exemption Notice 2002. The amendment exempts the trustee and manager of Group Investment

Fund No 2291, known as the NZSE 10 Index Fund, from regulation 23 of the Securities Regulations 1983.

Issued under the authority of the Legislation Act 2012.
Date of notification in *Gazette*: 18 December 2002.

Eprint notes**1 *General***

This is an eprint of the Securities Act (Group Investment Index Funds) Exemption Amendment Notice 2002 that incorporates all the amendments to that notice as at the date of the last amendment to it.

2 *About this eprint*

This eprint is not an official version of the legislation under section 18 of the Legislation Act 2012.

3 *Amendments incorporated in this eprint*

Financial Markets Conduct Regulations 2014 (LI 2014/326): Schedule 1 clause 26