

Reprint
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Trade in Endangered Species Act 1989

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Note

Changes authorised by subpart 2 of Part 2 of the Legislation Act 2012 have been made in this official reprint.
Note 4 at the end of this reprint provides a list of the amendments incorporated.

This Act is administered by the Department of Conservation.

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Schedule 1

Species endangered by trade

Schedule 2

Species threatened by trade

Schedule 3

Species exploited by trade

An Act to further the protection and conservation of endangered species of wild fauna and flora by regulating the export and import of such species and any product derived from those species

1 Short Title and commencement

- (1) This Act may be cited as the Trade in Endangered Species Act 1989.
- (2) This Act shall come into force on 1 June 1989.

2 Object of Act

The object of this Act is to enable New Zealand to fulfil its obligations under the Convention on International Trade in Endangered Species of Wild Fauna and Flora and to promote the management, conservation, and protection of endangered, threatened, and exploited species to further enhance the survival of those species.

3 Interpretation

(1) In this Act, unless the context otherwise requires,—

aerodrome means any defined area of land or water intended or designed to be used either wholly or partly for the landing, departure, movement, and servicing of aircraft, and includes any buildings, installations, and equipment on or adjacent to any such area used in connection with the aerodrome or its administration

aircraft means any machine that can derive support in the atmosphere from the reaction of the air

animal means any member of the animal kingdom, including without limitation any mammal, fish, bird, amphibian, reptile, mollusc, arthropod, or other invertebrate, but does not include human beings

Convention means the Convention on International Trade in Endangered Species of Wild Fauna and Flora signed at Washington, DC, on 3 March 1973

cruel treatment, in relation to any animal, means the infliction upon the animal of pain or suffering that in its kind or degree, or in its object, or in the circumstances in which it is inflicted, is unreasonable or unnecessary

Department means the Department of Conservation

Director-General means the Director-General of Conservation

dwellinghouse means any building or part of a building that is suitable for residential accommodation of any kind; and includes every garage, shed, and other building used in connection therewith, but does not include the land appurtenant to a dwellinghouse

endangered species means any species endangered by trade, being the species specified in Schedule 1

exploited species means any species exploited by trade, being the species specified in Schedule 3

export means the shipment of any specimen in any vehicle to a country outside New Zealand; but does not include the re-export of any specimen or the shipment in transit of any specimen outside New Zealand

import means the shipment of any specimen in any vehicle into the territorial limits of New Zealand; but does not include the introduction from the sea of any specimen or the shipment in transit of any specimen into the territorial limits of New Zealand

introduce from the sea means introduce into New Zealand a specimen from any marine environment not under the jurisdiction of any country

management authority means,—

- (a) in relation to New Zealand, the Director-General; and
- (b) in relation to any other country, the management authority appointed by that country for the purposes of the Convention

Minister means the Minister of Conservation

officer means an Endangered Species Officer declared or appointed as such under section 35

personal or household effect means any article of household or personal use or ornament

plant means any angiosperm, gymnosperm, fern, or fern ally; and includes any moss, liverwort, alga, including cyanophyte, lichen, fungus, or related organism

port means any defined area of land and water intended or designed to be used either wholly or partly for the berthing, departure, movement, and servicing of ships; and includes any buildings, installations, and equipment on or adjacent to any such area used in connection with the port or its administration

re-export means the export of any specimen that has previously been imported, whether or not in the same form as at the time of its importation

scientific authority means a scientific authority specified in section 7

ship means any kind of vessel used in navigation, not propelled by oars only

species means any species, subspecies, variety, form, or geographically separate population thereof

specimen means—

- (a) any animal or plant, whether alive or dead; or
- (b) any recognisable part or derivative thereof

threatened species means any species threatened by trade, being the species specified in Schedule 2

trade means export, import, re-export, or introduce from the sea

vehicle means any means of transport, whether or not self-propelled, that may travel by land, sea, or air; and includes any aircraft or ship.

(2) Every specimen of an endangered species,—

- (a) in the case of an animal, bred in captivity; or
- (b) in the case of a plant, artificially propagated—

shall, for the purposes of this Act, be deemed a specimen of a threatened species.

- (3) Any reference to the appropriate management or scientific authority shall mean the management or scientific authority determined by the Director-General to have statutory responsibility for or the scientific competence to comment on the species under consideration.
- (4) Any reference to the relevant authority of a country shall be read as a reference,—
- (a) where the country is a party to the Convention, to the appropriate management authority of that country; or
 - (b) where the country is not a party to the Convention, to the competent authorities of that country within the meaning of Article X of the Convention.
- (5) For the avoidance of doubt, it is declared that sections 17 to 20 apply to specimens of endangered species that are deemed, by virtue of subsection (2), to be specimens of threatened species.

Section 3(5): added, on 14 May 1998, by section 2 of the Trade in Endangered Species Amendment Act 1998 (1998 No 17).

4 Act to bind the Crown

This Act shall bind the Crown.

5 No derogation from other enactments

The provisions of this Act are in addition to and not in substitution for the provisions of any other Acts in relation to the export or import of, or trade in, any goods, and do not affect the exercise of any power in those Acts in relation to any item of goods.

Administration

6 Administration of Act

Subject to the control of the Minister, the Director-General shall be responsible for the general administration of this Act.

7 Scientific authorities

- (1) For the purposes of this Act the Minister shall appoint a committee, to be known as the Scientific Authorities Committee, which shall consist of representatives of—
- (a) the Department of Conservation;
 - (b) such Crown Research Institute (within the meaning of the Crown Research Institutes Act 1992) as the Minister from time to time determines;
 - (c) the Ministry of Agriculture and Forestry;
 - (d) the Ministry of Fisheries or the National Institute of Water and Atmospheric Research, or both, as the Minister determines;

- (e) such other person or body that the Minister determines,—
and shall be a scientific authority for the purposes of this Act.
- (2) The Scientific Authorities Committee may co-opt additional members and may delegate its function as a scientific authority to any subcommittee consisting of 1 or more members of the Committee, including co-opted members.
- (3) Subject to this Act, the Committee may regulate its procedure in such manner as it thinks fit.

Section 7(1)(b): substituted, on 1 July 1992, by section 46(1) of the Crown Research Institutes Act 1992 (1992 No 47).

Section 7(1)(c): substituted, on 7 May 1999, by section 2 of the Trade in Endangered Species Amendment Act 1999 (1999 No 50).

Section 7(1)(d): substituted, on 7 May 1999, by section 2 of the Trade in Endangered Species Amendment Act 1999 (1999 No 50).

Section 7(1)(e): substituted, on 7 May 1999, by section 2 of the Trade in Endangered Species Amendment Act 1999 (1999 No 50).

8 Minister's general powers

In addition to any other powers in this Act, the Minister shall have the following powers:

- (a) to conduct research and investigations into and surveys of species in New Zealand—
- (i) that are, or are likely to become, threatened with extinction; or
- (ii) the existence of which is likely to be affected,—
by trade in specimens of those species:
- (b) to disseminate information relating to the import and export of endangered, threatened, and exploited species.

Part 1

Trade in endangered, threatened, and exploited species

9 Trade in endangered, threatened, or exploited species

Subject to Part 2, no person shall trade in any specimen of an endangered, threatened, or exploited species into or from New Zealand, except pursuant to the appropriate permit or certificate granted under this Part.

10 Application for permit or certificate

- (1) Every person who proposes to trade in any specimen of an endangered, threatened, or exploited species shall apply in writing to the Director-General for the appropriate permit or certificate that, if granted, would authorise that trade.
- (2) Every application shall specify—
- (a) the full name and address of the applicant:

- (b) the type of trade to which the application relates:
 - (c) the species and the number of specimens of that species to be traded:
 - (d) the country to or from which the specimens are to be conveyed.
- (3) Except as the Director-General may decide, a separate application shall be required for each consignment of specimens of an endangered, threatened, or exploited species.
 - (4) No person shall make an application under this section to trade in any specimen of an endangered, threatened, or exploited species where trade in that specimen is subject to controls under any other Act or regulations, unless authorisation in respect of such trade has first been obtained under that Act or those regulations.
 - (5) Every applicant for a permit or certificate shall furnish to the Director-General, in addition to the particulars required under subsection (2), such further information as the Director-General may require.
 - (5A) Without limiting the generality of subsection (5), every applicant to whom that subsection applies must include, with every application for the export or re-export of a specimen in respect of which application the Director-General has required any analysis to be carried out pursuant to section 43A, the results of such analysis.
 - (6) Every applicant for a permit or certificate shall pay the prescribed fee.

Section 10(5A): inserted, on 14 May 1998, by section 3 of the Trade in Endangered Species Amendment Act 1998 (1998 No 17).

11 Grant of permits and certificates

- (1) Every permit or certificate granted under sections 13 to 24 shall relate to a specific application.
- (2) The Director-General may, at the Director-General's discretion, having regard to sections 13 to 24,—
 - (a) grant such permit or certificate in respect of all or any of the specimens included in any application; or
 - (b) decline to grant any such application.
- (3) Where the Director-General is of the opinion that a permit or certificate should be declined or should be issued subject to conditions, the Director-General shall inform the applicant accordingly, giving the grounds on which the opinion is based, and invite the applicant to make submissions on the matter before the application is formally dealt with.
- (4) The Director-General may grant a permit or certificate either unconditionally or subject to such conditions as the Director-General may specify.
- (5) Every such permit or certificate shall be in the form issued by the Department.
- (6) Every such permit or certificate may be revoked or varied at any time by the Director-General in any case where the Director-General is satisfied that the

conditions in the permit or certificate have not been complied with, or can only be met by varying the terms of the permit or certificate.

- (7) Any person granted a permit or certificate may at any time surrender it by forwarding a written note to that effect, together with the permit or certificate, to the Director-General.

12 Appeals to District Court on question of law

- (1) Any applicant for a permit or certificate who is dissatisfied with any decision of the Director-General under section 11 on a question of law may, within 1 month after notice of that decision has been received by the applicant, appeal to the District Court against that decision.
- (2) Every appeal under this section shall be heard and determined in accordance with rules of court and this section.
- (3) The court shall, as soon as practicable, hear the appeal, and may confirm, reverse, or modify the decision of the Director-General, or may refer the matter back to the Director-General in accordance with rules of court, and may give any decision that the Director-General could have given in respect of the matter.
- (4) Nothing in this section shall give the court power to review any part of the Director-General's decision other than the part against which the appellant has appealed.
- (5) Subject to any order of the court, every decision of the Director-General against which an appeal is lodged shall continue in force and have effect according to its tenor pending the determination of the appeal.
- (6) On any appeal under this section, the court may make an order for the payment by the Director-General, or by the appellant, of the costs incurred in respect of the appeal by the other party to the appeal.

Authority to trade in endangered species

13 Permit to export endangered species

The Director-General may, after consultation with the appropriate other management authorities and scientific authorities, grant a permit to export any specimen of an endangered species to an applicant, if those authorities are satisfied that—

- (a) the export of that specimen is not detrimental to the survival of that species; and
- (b) that specimen was not obtained in contravention of any Act; and
- (c) that specimen is to be prepared and shipped so that the risk of—
 - (i) injury to the specimen; and
 - (ii) adverse effect on the health of the specimen; and

- (iii) in the case of an animal, cruel treatment of the specimen,—
is minimised; and
- (d) permission to import that specimen has been granted by the relevant authority of the country of import.

14 Permit to import endangered species

The Director-General may, after consultation with the appropriate other management authorities and scientific authorities, grant a permit to import any specimen of an endangered species to an applicant, if those authorities are satisfied that—

- (a) the import of that specimen is for purposes that are not detrimental to the survival of that species; and
- (b) the proposed recipient of that specimen is suitably equipped to house and care for it; and
- (c) that specimen is not to be used primarily for commercial purposes; and
- (d) permission to export or re-export that specimen will be granted by the relevant authority of the country of export or re-export.

Section 14(d): amended, on 14 May 1998, by section 4 of the Trade in Endangered Species Amendment Act 1998 (1998 No 17).

15 Certificate to re-export endangered species

The Director-General may, after consultation with the appropriate other management authorities grant a certificate to re-export any specimen of an endangered species to an applicant, if those authorities are satisfied that,—

- (a) in the case of that specimen being imported—
 - (i) before the commencement of this Act, that specimen was not imported in contravention of any Act; or
 - (ii) after the commencement of this Act, that specimen was imported in accordance with this Act; and
- (b) that specimen is to be prepared and shipped so that the risk of—
 - (i) injury to the specimen; and
 - (ii) adverse effect on the health of the specimen; and
 - (iii) in the case of an animal, cruel treatment of the specimen—
is minimised; and
- (c) permission to import that specimen has been granted by the relevant authority of the country of import.

16 Certificate to introduce from the sea endangered species

The Director-General may, after consultation with the appropriate other management authorities and scientific authorities, grant a certificate to introduce in-

to New Zealand from the sea any specimen of an endangered species to an applicant, if those authorities are satisfied that—

- (a) the introduction of that specimen is not detrimental to the survival of that species; and
- (b) that specimen is to be handled so that the risk of—
 - (i) injury to the specimen; and
 - (ii) adverse effect on the health of the specimen; and
 - (iii) in the case of an animal, cruel treatment of the specimen,— is minimised; and
- (c) the proposed recipient of that specimen is suitably equipped to house and care for it; and
- (d) that specimen is not to be used primarily for commercial purposes; and
- (e) that specimen was not obtained in contravention of any Act.

Authority to trade in threatened species

17 Permit to export threatened species

The Director-General may, after consultation with the appropriate other management authorities and scientific authorities, grant a permit to export any specimen of a threatened species to an applicant, if those authorities are satisfied that—

- (a) the export of that specimen is not detrimental to the survival of that species; and
- (b) that specimen was not obtained in contravention of any Act; and
- (c) that specimen will be prepared and shipped so that the risk of—
 - (i) injury to the specimen; and
 - (ii) adverse effect on the health of the specimen; and
 - (iii) in the case of an animal, cruel treatment of the specimen,— is minimised.

18 Permit to import threatened species

Any person who proposes to import any specimen of a threatened species must present, before or at the time of import of the specimen, a permit to export or a certificate to re-export issued by the relevant authority of the country of export or re-export.

Section 18: substituted, on 14 May 1998, by section 5 of the Trade in Endangered Species Amendment Act 1998 (1998 No 17).

19 Certificate to re-export threatened species

The Director-General may, after consultation with the appropriate other management authorities and scientific authorities, grant a certificate to re-export any specimen of a threatened species to an applicant, if those authorities are satisfied that,—

- (a) in the case of that specimen being imported,—
 - (i) before the commencement of this Act, that specimen was not imported in contravention of any Act; or
 - (ii) after the commencement of this Act, that specimen was imported in accordance with this Act; and
- (b) that specimen will be prepared and shipped so that the risk of—
 - (i) injury to the specimen; and
 - (ii) adverse effect on the health of the specimen; and
 - (iii) in the case of an animal, cruel treatment of the specimen,—
is minimised.

20 Certificate to introduce from the sea threatened species

The Director-General may, after consultation with the appropriate other management authorities and scientific authorities, grant a certificate to introduce from the sea any specimen of a threatened species to an applicant, if those authorities are satisfied that—

- (a) the introduction of that specimen is not detrimental to the survival of that species; and
- (b) that specimen is to be handled so that the risk of—
 - (i) injury to the specimen; and
 - (ii) adverse effect on the health of the specimen; and
 - (iii) in the case of an animal, cruel treatment of the specimen,—
is minimised; and
- (c) that specimen was not obtained in contravention of any Act.

*Authority to trade in exploited species***21 Permit to export exploited species**

- (1) Where an application relates to any exploited species specified in any Order in Council made pursuant to subsection (2), the Director-General may, after consultation with the appropriate other management authorities and scientific authorities, grant a permit to export any specimen of that exploited species to the applicant, if those authorities are satisfied that—

- (a) that specimen was not obtained in contravention of any Act; and

- (b) that specimen is to be prepared and shipped so that the risk of—
 - (i) injury to the specimen; and
 - (ii) adverse effect on the health of the specimen; and
 - (iii) in the case of an animal, cruel treatment of the specimen—
is minimised.
- (2) The Governor-General may, from time to time, by Order in Council, specify any exploited species that have been included in Appendix III of the Convention at the request of the New Zealand Government.
- (3) In the case of any exploited species to which subsection (1) does not apply, the Director-General may, after consultation with the appropriate management and scientific authorities, grant a certificate of origin in respect of any specimen of that exploited species to an applicant.

22 Permit to import exploited species

Any person who proposes to import any specimen of an exploited species must present, before or at the time of import of the specimen, a permit to export or a certificate to re-export issued by the relevant authority of the country of export or re-export.

Section 22: substituted, on 14 May 1998, by section 6 of the Trade in Endangered Species Amendment Act 1998 (1998 No 17).

23 Certificate to re-export exploited species

The Director-General may, after consultation with the appropriate other management authorities and scientific authorities, grant a certificate to re-export any specimen of an exploited species to an applicant.

24 Certificate to introduce from the sea exploited species

The Director-General may, after consultation with the appropriate other management authorities and scientific authorities, grant a certificate to introduce from the sea any specimen of an exploited species to an applicant.

Permits and certificates

25 Effect of permits and certificates

- (1) Except as the Director-General may determine, a permit or certificate shall authorise the holder to undertake on 1 occasion the type of trade to which the permit or certificate relates in only the specimen or specimens of endangered, threatened, or exploited species specified in that permit or certificate.
- (2) Every permit or certificate granted under any of sections 13 to 24 shall come into force on the date on which it was granted.

- (3) Notwithstanding subsection (2), every permit or certificate shall remain in force for a period of 6 months, or such lesser period as may be specified, unless it is sooner revoked or surrendered.
- (4) A permit or certificate shall be personal to the holder, and shall not be transferable to or vest by operation of law in any person other than the holder.

26 Permit or certificate to be produced

For the purposes of this Act, where the holder of a permit or certificate undertakes the trade in accordance with that permit or certificate, the holder shall—

- (a) before, in the case of exporting or re-exporting; or
- (b) before or at the time of importation or introduction, in the case of importing or introducing from the sea—

produce the permit or certificate, or cause the permit or certificate to be produced, to an Endangered Species Officer, being an officer of Customs or, if no officer of Customs is available, to any other Endangered Species Officer.

27 Control of arrivals from overseas

- (1) Every person shall, on arriving in New Zealand, permit a Customs officer or any other Endangered Species Officer to inspect and examine any specimen of an endangered, threatened, or exploited species in that person's possession as part of that person's personal effects or baggage, and shall afford to that officer all reasonable facilities and assistance in carrying out any such inspection and examination, and shall produce any permit or certificate granted under this Part or Part 2 in respect of that specimen.
- (2) Subject to section 30, where any person is found to be in possession of any specimen of an endangered, threatened, or exploited species, or a specimen that an Endangered Species Officer has reasonable cause to believe or suspect may be of an endangered, threatened, or exploited species, and has not been granted a permit or certificate in respect of that specimen under this Part or Part 2, that person shall—
 - (a) surrender the specimen to an officer; and
 - (b) state to the officer his or her full name; and
 - (c) in the case of—
 - (i) New Zealand citizens and permanent residents, state his or her address;
 - (ii) visitors to this country, state an address at which he or she may be contacted and his or her permanent address outside New Zealand—

where that person has voluntarily disclosed the presence of the specimen to the officer as soon as practicable after the specimen arrives in New Zealand, for

the purposes of this Act, he or she shall be taken not to have imported that specimen.

- (3) Any specimen surrendered to an officer under subsection (2) shall be conveyed to any institution or place considered by the Director-General appropriate for the holding of that specimen.
- (4) If any specimen surrendered to an officer under subsection (2) is subsequently ascertained not to be a specimen of an endangered, threatened, or exploited species, it shall be released to the person who surrendered the specimen.

Section 27(1): amended, on 1 October 1996, by section 289(1) of the Customs and Excise Act 1996 (1996 No 27).

Section 27(1): amended, on 12 August 1991, by section 2 of the Trade in Endangered Species Amendment Act 1991 (1991 No 100).

28 Disposal and release of specimens seized from arrivals from overseas

- (1) Where any New Zealand citizen, person resident in New Zealand, or person intending to reside in New Zealand surrenders a specimen of an endangered, threatened, or exploited species under section 27(2), that specimen shall be forfeited to the Crown and shall be disposed of in accordance with section 42.
- (2) Any visitor to New Zealand who surrenders a specimen under section 27(2) may apply to the Director-General for the specimen to be returned to the visitor when the visitor leaves New Zealand.
- (3) Subject to subsection (4), the Director-General shall consider any application under subsection (2) as expeditiously as possible and the specimen shall be dealt with as follows:
 - (a) if the Director-General grants the application, the Department shall take all reasonable steps to enable the applicant to take the specimen from the aerodrome or port at which the visitor surrendered the specimen, if and when the visitor leaves New Zealand;
 - (b) if the Director-General declines the application, that specimen shall be forfeited to the Crown and shall be disposed of in accordance with section 42.
- (4) Any specimen that has been surrendered in accordance with this section shall not be released under subsection (2) or subsection (3)(a) to the person who had possession of it at the time of surrender, until that person has paid all costs and expenses incurred by the Crown in relation to the custody of the specimen, including any costs of transporting the specimen.

Section 28: substituted, on 12 August 1991, by section 3 of the Trade in Endangered Species Amendment Act 1991 (1991 No 100).

Section 28(1): amended, at 2 am on 29 November 2010, by section 406(1) of the Immigration Act 2009 (2009 No 51).

Part 2

Exemptions

29 Certificate of acquisition

- (1) Every person seeking to export or re-export a specimen of an endangered, threatened, or exploited species that was legally acquired before the provisions of this Act applied to that specimen shall apply in writing to the Director-General for a certificate of acquisition.
- (2) The Director-General may, after (if necessary) consultation with the appropriate other management authority, issue a certificate of acquisition to any applicant if the management authority is satisfied that the specimen to which the application relates was legally acquired before the provisions of this Act applied to that specimen.
- (3) Part 1 shall not apply to any person who has been issued with a certificate of acquisition under subsection (2).
- (4) Notwithstanding subsection (3), sections 9, 10, and 25 shall, with all necessary modifications, apply to a certificate of acquisition.

30 Endangered species as personal or household effects

- (1) Except as provided in subsection (2), Part 1 shall not apply to any specimen of an endangered, threatened, or exploited species that is a personal or household effect.
- (2) Part 1 shall apply to any specimen that is a personal or household effect being imported into New Zealand where—
 - (a) the specimen is of an endangered or threatened species acquired by the owner outside New Zealand; or
 - (b) the specimen is of an endangered, threatened, or exploited species that is imported for primarily commercial reasons,—

unless that specimen is accompanied by a certificate of acquisition or a certificate of exemption issued by the appropriate other management authority.

Section 30(2): amended, on 14 May 1998, by section 7(a) of the Trade in Endangered Species Amendment Act 1998 (1998 No 17).

Section 30(2): amended, on 14 May 1998, by section 7(b) of the Trade in Endangered Species Amendment Act 1998 (1998 No 17).

31 Certificate for specimens bred in captivity or artificially propagated

- (1) Every person seeking to export or re-export a specimen of any species specified in Schedule 2 or Schedule 3 that—
 - (a) in the case of an animal, was bred in captivity; or
 - (b) in the case of a plant, was artificially propagated; or
 - (c) is a recognisable part or derivative of such a specimen—

shall apply in writing to the Director-General for a certificate for specimens bred in captivity or artificially propagated.

- (2) The Director-General may, after (if necessary) consultation with the appropriate other management authority, issue a certificate for specimens bred in captivity or artificially propagated to an applicant if the Director-General is satisfied that the specimen to which the application relates was bred in captivity, or as the case may be, was artificially propagated, or is a recognisable part or derivative of such a specimen.
- (3) For the purposes of trade, a certificate for specimens bred in captivity or artificially propagated shall be accepted in lieu of any of the permits or certificates granted under any of sections 17 to 24.
- (4) For the purpose of this section, sections 9, 10, and 25 shall, with all necessary modifications, apply to a certificate for specimens bred in captivity or artificially propagated.

Section 31(1): amended, on 12 August 1991, by section 4(1) of the Trade in Endangered Species Amendment Act 1991 (1991 No 100).

Section 31(2): amended, on 14 May 1998, by section 8 of the Trade in Endangered Species Amendment Act 1998 (1998 No 17).

Section 31(3): amended, on 12 August 1991, by section 4(2) of the Trade in Endangered Species Amendment Act 1991 (1991 No 100).

32 Scientific transfer

Part 1 shall not apply to the non-commercial loan, donation, or exchange between scientists or scientific institutions in New Zealand recognised by the Director-General and scientists or scientific institutions in other States recognised by the relevant authorities of those States, of—

- (a) herbarium and other preserved, dried, or embedded plants:
- (b) live plants:
- (c) preserved animals, and skins of animals:
- (d) live animals,—

that are specimens of an endangered, threatened, or exploited species and which carry a label issued or approved by the Director-General.

33 Travelling circus or exhibition

The Director-General may, after (if necessary) consultation with the appropriate other management authority, waive the requirement of holding a permit or certificate granted under Part 1 in respect of any specimens of an endangered, threatened, or exploited species that form part of a travelling zoo, circus, menagerie, plant exhibition, or other travelling exhibition where—

- (a) the exporter or importer registers full details of such specimens with the Director-General; and
- (b) the specimens were—

- (i) acquired before the provisions of the Convention applied to those specimens; or
 - (ii) in the case of animals, bred in captivity, or in the case of plants, artificially propagated; and
- (c) that management authority is satisfied that any living specimen will be transported and cared for so that the risk of—
- (i) injury to the specimen; and
 - (ii) adverse effect on the health of the specimen; and
 - (iii) in the case of an animal, cruel treatment of the specimen,—
- is minimised.

34 Certificate of capture in accordance with other treaties

- (1) Subject to subsection (2), Part 1 shall not apply to trade in any marine specimen of a threatened species that is taken—
- (a) by any ship registered in New Zealand or by any foreign fishing craft licensed under the Territorial Sea, Contiguous Zone, and Exclusive Economic Zone Act 1977; and
 - (b) in accordance with any international agreement to which New Zealand was a party before the commencement of this Act.
- (2) Every person who introduces any such marine specimen into New Zealand and wants to subsequently export that specimen shall apply in writing to the Director-General for a certificate of capture in accordance with other treaties.
- (3) The Director-General may, after (if necessary) consultation with the appropriate other management authority, issue a certificate of capture in accordance with other treaties to an applicant if the other management authority is satisfied that the specimen, to which the application relates, was taken—
- (a) by any ship registered in New Zealand or by any foreign fishing craft licensed under the Territorial Sea, Contiguous Zone, and Exclusive Economic Zone Act 1977; and
 - (b) in accordance with any international agreement to which New Zealand was a party before the commencement of this Act.
- (4) For the purposes of this section, sections 9, 10, and 25 shall, with all necessary modifications, apply to a certificate of capture in accordance with other treaties.

Section 34(1)(a): amended, on 1 August 1996, pursuant to section 5(4) of the Territorial Sea and Exclusive Economic Zone Amendment Act 1996 (1996 No 74).

Section 34(3)(a): amended, on 1 August 1996, pursuant to section 5(4) of the Territorial Sea, and Exclusive Economic Zone Amendment Act 1996 (1996 No 74).

Part 3

Endangered Species Officers

35 Endangered Species Officers

- (1) Every inspector appointed under the Ministry of Agriculture and Fisheries Act 1953 or the Biosecurity Act 1993 and every Customs officer shall be an Endangered Species Officer for the purposes of this Act.
- (2) Notwithstanding subsection (1), there may from time to time be appointed pursuant to the State Sector Act 1988 such other persons to be Endangered Species Officers as may be required for the purposes of this Act.

Section 35(1): substituted, on 1 October 1993, by section 168(1) of the Biosecurity Act 1993 (1993 No 95).

Section 35(1): amended, on 1 October 1996, by section 289(1) of the Customs and Excise Act 1996 (1996 No 27).

36 Authority to act as officer

- (1) The Director-General shall issue to any officer appointed under section 35(2) a warrant authorising the officer to exercise the powers conferred on officers under this Part.
- (2) Every such warrant shall contain—
 - (a) a reference to this section; and
 - (b) the full name of the officer; and
 - (c) a reference to the powers set out in sections 37 to 39.
- (3) The production by an officer of—
 - (a) a warrant issued under this section; or
 - (b) due evidence of the appointment of the officer as an inspector under the Animals Act 1967, or the Ministry of Agriculture and Fisheries Act 1953, or the Plants Act 1970; or
 - (c) any evidence that the officer is a Customs officer appointed under the Customs and Excise Act 1996—shall, until the contrary is proved, be sufficient authority for any such officer to do anything authorised by this Part.
- (4) Every person appointed under section 35(2) who ceases to hold office as an officer shall surrender to the Director-General the warrant issued to the person under subsection (1).
- (5) Every person commits an offence against this Act who fails to comply with subsection (4).

Section 36(1): amended, on 12 August 1991, by section 6 of the Trade in Endangered Species Amendment Act 1991 (1991 No 100).

Section 36(3)(c): amended, on 1 October 1996, pursuant to section 294(2) of the Customs and Excise Act 1996 (1996 No 27).

36A Power of arrest

- (1) Any officer or any constable may arrest and take into custody without a warrant any person whom he or she has good cause to suspect of having committed an offence against this Act.
- (2) Where any officer arrests any person under the power conferred by subsection (1), he or she shall as soon as practicable call a constable to his or her aid and deliver the arrested person into the custody of that constable.
- (3) Any person called upon to do so by any person referred to in subsection (1) is justified in assisting him or her in good faith to arrest any person.

Section 36A: inserted, on 12 August 1991, by section 5 of the Trade in Endangered Species Amendment Act 1991 (1991 No 100).

Section 36A(1): amended, on 1 October 2008, pursuant to section 116(a)(ii) of the Policing Act 2008 (2008 No 72).

Section 36A(2): amended, on 1 October 2008, pursuant to section 116(a)(ii) of the Policing Act 2008 (2008 No 72).

37 Rights of entry

- (1) Subject to section 38(2) and notwithstanding any other Act, any officer who has reasonable grounds to believe that a breach of this Act or of any regulation made under it has been or is being committed, may at any time—
 - (a) stop and enter or board any vehicle:
 - (b) enter, pass across, or remain on any land or premises (including a dwellinghouse and a marae and a building associated with a marae):
 - (c) demand any information relating to that breach from any person in or on that vehicle, land, or premises and require such persons to produce—
 - (i) any permit or certificate granted under this Act; and
 - (ii) any other documents relating to trade in endangered species:
 - (d) for the purpose of such analysis as the Director-General considers necessary to determine whether a breach of this Act or of any regulation made under it has occurred, take samples, subject to subsection (7), from any specimen in any vehicle or on any land or premises (including a dwellinghouse or a marae or any building associated with a marae) where the officer has reasonable grounds to believe that such specimen is evidence that a breach of this Act or of any regulation made under it has been or is being committed.
- (2) Notwithstanding subsection (1), no officer shall enter any dwellinghouse or a marae or a building associated with a marae or, in the case of the exercise of the power under subsection 1(d), any other land or premises unless the officer is authorised in that behalf by a search warrant obtained under section 38(2).
- (3) *[Repealed]*
- (4) *[Repealed]*

- (5) No person shall be required to answer any question by an officer if the answer would or could tend to incriminate that person.
- (6) Any officer lawfully exercising his or her powers under this section may make or take copies of any document, and for this purpose may take possession of and remove from the place where it is kept, for such period of time as is reasonable in the circumstances, any such document.
- (7) Every officer proposing to take a sample under subsection (1)(d) from any live animal—
 - (a) must do so either personally or, where requested by the owner or person in charge of the animal, by instructing a veterinary surgeon to do so; and
 - (b) must make every effort to avoid unnecessary disturbance of the animal, including, with respect to an animal which is secured within an enclosure, exercising his or her powers only during the hours of daylight except where the officer judges that there will be less disturbance to the animal if the powers are exercised outside those hours.
- (8) The provisions of Part 4 of the Search and Surveillance Act 2012 (except subpart 3) apply.

Section 37(1)(d): added, on 14 May 1998, by section 9(a) of the Trade in Endangered Species Amendment Act 1998 (1998 No 17).

Section 37(2): amended, on 14 May 1998, by section 9(b) of the Trade in Endangered Species Amendment Act 1998 (1998 No 17).

Section 37(3): repealed, on 1 October 2012, by section 303(2) of the Search and Surveillance Act 2012 (2012 No 24).

Section 37(4): repealed, on 1 October 2012, by section 303(2) of the Search and Surveillance Act 2012 (2012 No 24).

Section 37(7): added, on 14 May 1998, by section 9(c) of the Trade in Endangered Species Amendment Act 1998 (1998 No 17).

Section 37(8): inserted, on 1 October 2012, by section 303(3) of the Search and Surveillance Act 2012 (2012 No 24).

38 Powers of search

- (1) Subject to subsection (2), any officer who has entered any vehicle, land, or premises (including a dwellinghouse and a marae and a building associated with a marae) under section 37(1) may search that vehicle, land, or premises and open (by force if necessary) any bulk cargo container, packing case, crate, box, or any other form of receptacle on that vehicle, land, or premises.
- (2) Any issuing officer (within the meaning of section 3 of the Search and Surveillance Act 2012) who, on application made by an officer in the manner provided in subpart 3 of Part 4 of that Act, is satisfied that there is in any dwellinghouse or any marae or a building associated with a marae—
 - (a) any specimen of an endangered, threatened, or exploited species that there is reasonable ground to believe—
 - (i) has been traded otherwise than in accordance with this Act; or

- (ii) is intended to be traded otherwise than in accordance with this Act; or
 - (b) any thing which there is reasonable ground to believe may be evidence of the commission of any offence against this Act; or
 - (c) any thing which there is reasonable ground to believe is intended to be used for the purpose of committing any such offence—
- may issue a search warrant to every officer named in the warrant.
- (3) A search warrant is also required pursuant to subsection (2) in the case of entry to any other land or premises for the purpose of the exercise of the power under section 37(1)(d).
- (4) The provisions of Part 4 of the Search and Surveillance Act 2012 apply.
- Section 38(2): amended, on 26 March 2015, by section 4(1) of the Trade in Endangered Species Amendment Act 2015 (2015 No 38).
- Section 38(2): amended, on 26 March 2015, by section 4(2) of the Trade in Endangered Species Amendment Act 2015 (2015 No 38).
- Section 38(2): amended, on 1 October 2012, by section 303(4)(a) of the Search and Surveillance Act 2012 (2012 No 24).
- Section 38(2): amended, on 1 October 2012, by section 303(4)(b) of the Search and Surveillance Act 2012 (2012 No 24).
- Section 38(3): added, on 14 May 1998, by section 10 of the Trade in Endangered Species Amendment Act 1998 (1998 No 17).
- Section 38(4): inserted, on 1 October 2012, by section 303(5) of the Search and Surveillance Act 2012 (2012 No 24).

38A Application of Customs and Excise Act 1996

Sections 149A, 149B, 149C(1) to (3), 160, and 161 of the Customs and Excise Act 1996 shall apply in relation to the importation or exportation of any endangered, threatened, or exploited species as if such endangered, threatened, or exploited species were a prohibited import or prohibited export within the meaning of that Act.

Section 38A: inserted, on 1 October 1996, by section 289(1) of the Customs and Excise Act 1996 (1996 No 27).

Section 38A: amended, on 1 October 2012, by section 303(6) of the Search and Surveillance Act 2012 (2012 No 24).

Section 38A: amended (with effect on 9 October 2002), on 2 July 2004, by section 47(4)(b) of the Customs and Excise Amendment Act 2004 (2004 No 55).

39 Seizure of endangered species traded in contravention of Act

- (1) Where an officer finds, in or on any ship or aircraft or at any port, aerodrome, transitional facility, or Customs controlled area, any specimen of an endangered, threatened, or exploited species that—
- (a) is being traded otherwise than in accordance with this Act; or
 - (b) is not listed on the inward or outward report in respect of that ship or aircraft,—

that specimen shall be forfeit to the Crown, and shall be seized by the officer who shall dispose of it in accordance with section 42.

- (2) Any officer who has reasonable cause to believe that any specimen of an endangered, threatened, or exploited species has been imported or introduced from the sea into New Zealand otherwise than in accordance with this Act, may seize that specimen and,—
- (a) in the case of an animal, any descendant of that specimen; or
 - (b) in the case of a plant, any propagation of that specimen,—
- and shall deliver it into the custody of the Director-General.
- (3) Any officer who has reasonable cause to believe that any specimen of an endangered, threatened, or exploited species is intended to be exported or re-exported from New Zealand otherwise than in accordance with this Act, may seize that specimen and shall deliver it into the custody of the Director-General.
- (4) Any officer seizing any specimen under this section may also seize—
- (a) any container, packing case, crate, box, or any other form of receptacle (not being a bulk cargo container or a pallet) holding that specimen; and
 - (b) any thing which the officer has reason to believe will be evidence of a breach of the provisions of this Act or of any regulation made under it,—
- and shall deliver it into the custody of the Director-General:

provided that the owner or the person entitled to the possession of the items seized under this subsection may apply to the District Court at any time for the return of those items, not being items that are required for evidential purposes in a hearing of any court, and the court may order the retention of those items or their return on such conditions as the court thinks fit.

- (5) No compensation shall be payable in respect of any specimen seized under subsection (1).
- (6) For the purposes of subsection (1),—

Customs controlled area has the meaning given to it in section 2(1) of the Customs and Excise Act 1996

transitional facility has the meaning given to it in section 2(1) of the Biosecurity Act 1993.

Section 39(1): amended, on 20 September 2007, by section 4(1) of the Trade in Endangered Species Amendment Act 2007 (2007 No 85).

Section 39(6): added, on 20 September 2007, by section 4(2) of the Trade in Endangered Species Amendment Act 2007 (2007 No 85).

39A Power to seize vehicles and containers

Any officer may stop and seize any vehicle on the ground or in water, or stop in transit and seize any parcel, package, case, bag, luggage, or other container, if the officer reasonably believes either—

- (a) that the vehicle or container contains any endangered, threatened, or exploited species; or
- (b) that the vehicle or container—
 - (i) is in the possession of a person who has committed an offence against this Act; and
 - (ii) has been used in connection with the commission of an offence against this Act.

Section 39A: inserted, on 12 August 1991, by section 7 of the Trade in Endangered Species Amendment Act 1991 (1991 No 100).

39B Director-General to retain seized vehicles and containers

- (1) Subject to section 39E, where any vehicle or container is seized under section 39A, it shall, pending any criminal proceedings under this Act against the owner or any person in possession of the vehicle or container, be retained by the Director-General.
- (2) If at any time following the seizure of any vehicle or container under section 39A (not being a vehicle or container that has been forfeited to the Crown) it appears to the Director-General that the officer who seized the vehicle or container is unable to establish clearly that he or she had the reasonable belief necessary to exercise that power, the Director-General shall immediately release the vehicle or container from his or her custody.
- (3) The Director-General shall exercise reasonable care of any property while it is retained in his or her custody under subsection (1).

Section 39B: inserted, on 12 August 1991, by section 7 of the Trade in Endangered Species Amendment Act 1991 (1991 No 100).

39C Proceedings to be prosecuted promptly and diligently

- (1) Proceedings in respect of an offence against this Act shall be commenced promptly and prosecuted with due diligence if they arise in connection with the use of any vehicle or container seized under section 39A.
- (2) If no proceedings are taken in respect of the offence concerned within 6 months of the seizure under section 39A of any vehicle or container, or if the proceedings concerned are dismissed, the vehicle or container shall be released from the custody of the Director-General.

Section 39C: inserted, on 12 August 1991, by section 7 of the Trade in Endangered Species Amendment Act 1991 (1991 No 100).

Section 39C(2): amended, on 1 July 2013, by section 413 of the Criminal Procedure Act 2011 (2011 No 81).

39D Forfeiture of seized vehicles and containers

- (1) On the conviction of any person for any offence against this Act, any vehicle or container retained in respect of that offence under section 39B(1) may, on the

order of the court, be forfeited to the Crown; and, in that case, the vehicle or container shall be disposed of as the Minister thinks fit.

- (2) Any person whose property has been forfeited to the Crown under this section or any person having a legal or equitable interest in any such property (not being, in either case, a person convicted of an offence out of which the forfeiture arose) may apply to the Minister, within 30 days of the conviction concerned, for the release of the property forfeited; and the Minister may order the release of the property on payment to the Crown of any amount the Minister thinks appropriate, being an amount not exceeding the amount the items forfeited are estimated by the Director-General to be likely to realise if sold by public auction in New Zealand.
- (3) In considering whether to order the release of any property on payment of an amount under subsection (2), the Minister shall have regard to—
 - (a) the relationship between the person applying for release of the property and the person convicted of the offence; and
 - (b) the extent to which it was foreseeable that the property would be used in connection with the commission of an offence against this Act when it was placed in the possession of the offender.
- (4) Any forfeiture ordered under this section shall be in addition to, and not in substitution for, any other penalty that may be imposed.

Section 39D: inserted, on 12 August 1991, by section 7 of the Trade in Endangered Species Amendment Act 1991 (1991 No 100).

39E Power of court to order return of seized vehicle or container

- (1) Where any vehicle or container is seized under section 39A, any person claiming to be entitled to possession of the vehicle or container may at any time apply to a District Court Judge for an order that it be delivered to that person.
- (2) On an application under subsection (1), a District Court Judge may—
 - (a) adjourn the application, on such terms and conditions as he or she thinks fit, to enable proceedings to be brought or completed; or
 - (b) make an order for the return of the vehicle or container to the applicant, on such terms and conditions as he or she thinks fit.
- (3) Nothing in this section applies to any vehicle or container if a court has ordered under section 39D(1) that it be forfeited to the Crown.

Section 39E: inserted, on 12 August 1991, by section 7 of the Trade in Endangered Species Amendment Act 1991 (1991 No 100).

39F Effect of order for release of seized vehicle or container

Where the Minister makes an order under section 39D(2) in respect of a vehicle or container that has been forfeited to the Crown, the forfeiture order made in respect of that vehicle or container shall cease to have effect.

Section 39F: inserted, on 12 August 1991, by section 7 of the Trade in Endangered Species Amendment Act 1991 (1991 No 100).

40 Custody of seized specimens

- (1) Where, in the opinion of the Director-General, any specimen seized pursuant to subsection (2) or subsection (3) of section 39 may die, rot, spoil, or otherwise perish, the Director-General may dispose of it in accordance with section 42(1) as if that specimen was forfeit to the Crown.
- (2) Where the ownership of—
 - (a) any specimen seized pursuant to subsection (2) or subsection (3) of section 39; or
 - (b) any receptacle or thing seized pursuant to section 39(4),—
cannot, at the time of seizure or within 90 days from the date of seizure, be ascertained it shall be forfeit to the Crown, and—
 - (c) in the case of a specimen, be disposed of in accordance with section 42; or
 - (d) in the case of a receptacle or thing, be disposed of as directed by the Director-General, subject to any conditions imposed by the court pursuant to the proviso to subsection (4) of section 39.
- (3) Except as provided in subsections (1) and (2) of this section,—
 - (a) every specimen seized pursuant to subsection (2) or subsection (3) of section 39; and
 - (b) every receptacle or thing seized pursuant to section 39(4)—
shall be held in the custody of the Crown until—
 - (c) a decision is made not to file any charging document in respect of the alleged offence for which the specimen, receptacle, or thing was seized; or
 - (d) where such a charging document is filed, upon the completion of proceedings in respect of the alleged offence for which the specimen, receptacle, or thing was seized, or such sooner time as the court may determine.
- (4) The decision whether or not to file any charging document in respect of an alleged offence for which any specimen, receptacle, or thing is seized under section 39 shall be made as soon as reasonably practicable after that specimen, receptacle, or thing is seized, taken possession of, or detained.

Section 40(2)(b): amended, on 17 May 2005, by section 3(1) of the Trade in Endangered Species Amendment Act 2005 (2005 No 71).

Section 40(3)(b): amended, on 17 May 2005, by section 3(2) of the Trade in Endangered Species Amendment Act 2005 (2005 No 71).

Section 40(3)(c): amended, on 1 July 2013, by section 413 of the Criminal Procedure Act 2011 (2011 No 81).

Section 40(3)(d): amended, on 1 July 2013, by section 413 of the Criminal Procedure Act 2011 (2011 No 81).

Section 40(4): amended, on 1 July 2013, by section 413 of the Criminal Procedure Act 2011 (2011 No 81).

Section 40(4): amended, on 17 May 2005, by section 3(3) of the Trade in Endangered Species Amendment Act 2005 (2005 No 71).

41 Release of seized specimens

Subject to subsection (1) of section 39 and subsections (1) and (2) of section 40, where any specimen, receptacle, or thing has been seized under section 39 and not previously returned by the court pursuant to the proviso to subsection (4) of section 39, then—

- (a) on a decision being made not to file a charging document; or
- (b) on the acquittal of any person charged with an offence for which the specimen, receptacle, or thing is subject to forfeiture—

such specimen, receptacle, or thing shall forthwith be released from the custody of the Crown to the owner or person who had possession of the specimen, receptacle, or thing at the time of seizure.

Section 41: amended, on 17 May 2005, by section 4 of the Trade in Endangered Species Amendment Act 2005 (2005 No 71).

Section 41: amended, on 12 August 1991, by section 8 of the Trade in Endangered Species Amendment Act 1991 (1991 No 100).

Section 41(a): amended, on 1 July 2013, by section 413 of the Criminal Procedure Act 2011 (2011 No 81).

42 Disposal of seized specimens

- (1) Any specimen of an endangered, threatened, or exploited species forfeited to the Crown shall be disposed of in such manner as the Director-General may direct, after consultation with the relevant scientific and management authorities.
- (2) The Director-General may negotiate the return, and the payment of any costs associated with that return, of any specimen imported into New Zealand, otherwise than in accordance with this Act, with the management authority of the country from where that specimen originated.
- (3) All costs and expenses of and attendant upon any disposal of a specimen forfeited to the Crown and seized under section 39(1) shall be borne by the owner or the person who had possession thereof, and shall be recoverable from him or her as a debt due to the Crown, and no compensation shall be payable in respect thereof.
- (4) Notwithstanding sections 39(1), 40(1) and (2) and section 51(1), any specimen of an endangered, threatened, or exploited species seized under section 39 that is deemed to be the property of the Crown under section 57 of the Wildlife Act 1953 shall be disposed of in accordance with that Act.

Section 42(3): amended, on 17 May 2005, by section 5 of the Trade in Endangered Species Amendment Act 2005 (2005 No 71).

43 Duty of officers and employees of postal operators

- (1) It is the duty of all officers and employees of every postal operator within the meaning of the Postal Services Act 1998 to assist in carrying out the provisions of this Part and to prevent the import into and export from New Zealand of any specimen of an endangered, threatened, or exploited species otherwise than in accordance with this Act.
- (2) Where any postal article (within the meaning of the Postal Services Act 1998) is delivered, pursuant to section 10(2)(c) of that Act, to the Director-General or to such other person as the Director-General has directed, the provisions of this Act, so far as applicable and with all necessary modifications, apply as if the postal article had been seized, pursuant to subsection (2) or subsection (3) of section 39 of this Act (whichever subsection is applicable), on the date of that delivery.

Section 43: substituted, on 1 April 1998, by section 62(1) of the Postal Services Act 1998 (1998 No 2).

Part 4 Miscellaneous provisions

43A Taking and analysis of samples of specimens

- (1) Where, in any application made under this Act, a question arises as to whether a specimen of any animal has been bred in captivity and the Director-General has reasonable cause to suspect that the specimen has not been bred in captivity, the Director-General may, prior to making a decision on the application, require that any specimen be subject to the taking of such samples for the purpose of such analysis as the Director-General considers necessary to determine whether the specimen concerned has been bred in captivity.
- (2) Subject to subsection (3), such samples are to be taken by and under the supervision of such person or persons as the Director-General specifies.
- (3) Every such sample from a live animal must be taken by a veterinary surgeon where requested by the owner or person in charge of the animal.
- (4) The Director-General may require an applicant to bear the cost of taking and analysis of samples required pursuant to this section.

Section 43A: inserted, on 14 May 1998, by section 11 of the Trade in Endangered Species Amendment Act 1998 (1998 No 17).

44 Trading in endangered, threatened, or exploited species without permit or certificate

- (1) Every person commits an offence who—
 - (a) trades in any specimen of an endangered species without the appropriate permit or certificate granted under any of sections 13 to 16; or

- (b) trades in any specimen of a threatened species without the appropriate permit or certificate granted under any of sections 17 to 20; or
 - (c) trades in any specimen of an exploited species without the appropriate permit or certificate granted under any of sections 21 to 24.
- (2) Every person who commits an offence against subsection (1)(a) is liable on conviction,—
- (a) in the case of a natural person, to imprisonment for a term not exceeding 5 years or to a fine not exceeding \$100,000, or to both;
 - (b) in the case of a body corporate, to a fine not exceeding \$200,000.
- (3) Every person who commits an offence against subsection (1)(b) is liable on conviction,—
- (a) in the case of a natural person, to imprisonment for a term not exceeding 3 years or to a fine not exceeding \$50,000, or to both;
 - (b) in the case of a body corporate, to a fine not exceeding \$100,000.
- (4) Every person who commits an offence against subsection (1)(c) is liable on conviction,—
- (a) in the case of a natural person, to a fine not exceeding \$37,500;
 - (b) in the case of a body corporate, to a fine not exceeding \$75,000.

Section 44: substituted, on 12 August 1991, by section 9 of the Trade in Endangered Species Amendment Act 1991 (1991 No 100).

Section 44(2): amended, on 1 July 2013, by section 413 of the Criminal Procedure Act 2011 (2011 No 81).

Section 44(3): amended, on 1 July 2013, by section 413 of the Criminal Procedure Act 2011 (2011 No 81).

Section 44(4): amended, on 1 July 2013, by section 413 of the Criminal Procedure Act 2011 (2011 No 81).

45 Possession of specimens of endangered, threatened, or exploited species

- (1) Every person commits an offence who has in his or her possession, or has under his or her control though under the custody of another, any specimen of an endangered, threatened, or exploited species that he or she knows or has reasonable grounds for suspecting—
- (a) has been imported or introduced from the sea into New Zealand otherwise than in accordance with this Act; or
 - (b) is intended to be exported or re-exported from New Zealand otherwise than in accordance with this Act.
- (2) Every person who commits an offence against subsection (1) in respect of a specimen of an endangered species is liable on conviction,—
- (a) in the case of a natural person, to imprisonment for a term not exceeding 5 years or to a fine not exceeding \$100,000, or to both;
 - (b) in the case of a body corporate, to a fine not exceeding \$200,000.

- (3) Every person who commits an offence against subsection (1) in respect of a specimen of a threatened species is liable on conviction,—
- (a) in the case of a natural person, to imprisonment for a term not exceeding 3 years or to a fine not exceeding \$50,000, or to both;
 - (b) in the case of a body corporate, to a fine not exceeding \$100,000.
- (4) Every person who commits an offence against subsection (1) in respect of a specimen of an exploited species is liable on conviction,—
- (a) in the case of a natural person, to a fine not exceeding \$37,500;
 - (b) in the case of a body corporate, to a fine not exceeding \$75,000.

Section 45: substituted, on 12 August 1991, by section 9 of the Trade in Endangered Species Amendment Act 1991 (1991 No 100).

Section 45(1): amended, on 14 May 1998, by section 12 of the Trade in Endangered Species Amendment Act 1998 (1998 No 17).

Section 45(2): amended, on 1 July 2013, by section 413 of the Criminal Procedure Act 2011 (2011 No 81).

Section 45(3): amended, on 1 July 2013, by section 413 of the Criminal Procedure Act 2011 (2011 No 81).

Section 45(4): amended, on 1 July 2013, by section 413 of the Criminal Procedure Act 2011 (2011 No 81).

46 Failure to comply with conditions of permit or certificate

- (1) Every person commits an offence who fails to comply with any of the conditions specified by the Director-General in respect of any permit or certificate granted to him or her under Part 1.
- (2) Every person who commits an offence against subsection (1) is liable on conviction,—
- (a) in the case of a natural person, to a fine not exceeding \$10,000;
 - (b) in the case of a body corporate, to a fine not exceeding \$20,000.

Section 46: substituted, on 12 August 1991, by section 9 of the Trade in Endangered Species Amendment Act 1991 (1991 No 100).

Section 46(2): amended, on 1 July 2013, by section 413 of the Criminal Procedure Act 2011 (2011 No 81).

47 Making false statements

- (1) Every person commits an offence who, for the purpose of procuring anything to be done or not to be done under Part 1 or Part 2 or Part 3, whether for his or her own benefit or for the benefit of any other person,—
- (a) makes a statement that he or she knows to be false in a material particular; or
 - (b) recklessly makes a statement that is false in a material particular.

- (2) Every person who commits an offence against subsection (1) is liable on conviction to imprisonment for a term not exceeding 2 years or to a fine not exceeding \$5,000.

Section 47: substituted, on 12 August 1991, by section 9 of the Trade in Endangered Species Amendment Act 1991 (1991 No 100).

Section 47(2): amended, on 1 July 2013, by section 413 of the Criminal Procedure Act 2011 (2011 No 81).

48 Obstructing or hindering officer

Every person commits an offence against this Act who intentionally obstructs or hinders any officer in the performance of his or her duty under this Act.

49 General offence

Every person who commits an offence against this Act for which no penalty is provided otherwise than in this section is liable, on conviction, to a fine not exceeding \$2,000.

Section 49: amended, on 1 July 2013, by section 413 of the Criminal Procedure Act 2011 (2011 No 81).

50 Onus of proof in respect of certain offences

Where any person is charged with an offence against this Act arising out of his or her doing, without a permit or certificate, any action for which a permit or certificate is required, the onus shall be on that person to prove that at the relevant time he or she held the required permit or certificate.

Section 50: amended, on 12 August 1991, by section 10 of the Trade in Endangered Species Amendment Act 1991 (1991 No 100).

51 Forfeiture of property on conviction

- (1) Subject to subsection (2), on the conviction of any person for any offence against this Act,—

- (a) any specimen of an endangered, threatened, or exploited species in respect of which the offence has been committed; and
- (b) any container, packing case, crate, box, or any other form of receptacle (not being a bulk cargo container or a pallet) used in relation to the commission of the offence,—

whether or not they have been seized, taken possession of, or detained under section 39, shall be forfeit to the Crown, and disposed of,—

- (c) in the case of a specimen, in accordance with section 42:
- (d) in the case of a receptacle, as the Director-General directs.

- (2) Notwithstanding the conviction of any person for any offence against this Act, no specimen shall be forfeited or disposed of until proceedings are finally determined.

- (3) Any person whose receptacle has been forfeit to the Crown under subsection (1) or any person having a legal or equitable interest in such property may apply to the Minister within 30 days of conviction for the release of the property so forfeited; and the Minister may order the release of such property on payment to the Crown of such amount as the Minister thinks appropriate, being an amount not exceeding the amount the items otherwise forfeited are estimated by the Director-General to realise if sold by public auction in New Zealand.
- (4) Any forfeiture directed or redemption payment imposed pursuant to this section shall be in addition to, and not in substitution for, any other penalty that may be imposed by the court or by this Act.

Section 51(1): amended, on 12 August 1991, by section 11 of the Trade in Endangered Species Amendment Act 1991 (1991 No 100).

Section 51(2): amended, on 12 August 1991, by section 11 of the Trade in Endangered Species Amendment Act 1991 (1991 No 100).

52 Protection of officers and others

A person who does any act in pursuance or intended pursuance of any of the functions conferred on that person by or under this Act shall not be under any civil or criminal liability in respect thereof, whether on the ground of want of jurisdiction, or mistake of law or fact, or on any other ground, unless the person has acted, or omitted to act, in bad faith or without reasonable cause.

53 Schedules

The Governor-General may from time to time, by Order in Council,—

- (a) add any item to, omit any item from, or amend any item in Schedule 1 or Schedule 2 or Schedule 3:
- (b) add any Part to, or omit any Part from, Schedule 1 or Schedule 2 or Schedule 3:
- (c) revoke any such schedule and substitute a new schedule—

in order that those schedules may conform with the Convention as amended from time to time.

Section 53: substituted, on 2 September 1996, by section 2 of the Trade in Endangered Species Amendment Act 1996 (1996 No 149).

54 Regulations

The Governor-General may from time to time, by Order in Council, make regulations for all or any of the following purposes:

- (a) prescribing the fees to be paid in respect of any permit or certificate granted under this Act:
- (b) prescribing the recording of trade in endangered, threatened, or exploited species:

- (c) regulating the non-commercial loan, donation, and exchange between scientists and scientific institutions in New Zealand and those in other countries:
- (d) prescribing the registration of scientists and scientific institutions in New Zealand involved in such exchanges:
- (e) regulating the breeding in captivity, in the case of an animal, and the artificial propagation, in the case of a plant, of any specimen of endangered, threatened, or exploited species:
- (ea) specifying requirements with respect to taking and analysis of samples of specimens where such taking and analysis is authorised for any purpose under this Act:
- (f) prescribing offences in respect of the contravention of, or non-compliance with, any regulations made under this Act; and prescribing fines not exceeding \$2,000 in respect of any such offence:
- (g) providing for such matters as are contemplated by or necessary for giving full effect to the provisions of this Act and for its due administration.

Section 54(ea): inserted, on 14 May 1998, by section 13 of the Trade in Endangered Species Amendment Act 1998 (1998 No 17).

Section 54(f): substituted, on 12 August 1991, by section 12 of the Trade in Endangered Species Amendment Act 1991 (1991 No 100).

55 Consequential amendment

Amendment(s) incorporated in the Act(s).

Schedule 1
Species endangered by trade

s 3(1)

Schedule 1: replaced, on 24 February 2017, by clause 4 of the Trade in Endangered Species Order 2017 (LI 2017/22).

The classes, families, and species listed in this schedule are arranged in descending order by class, then in alphabetical order by order, family, and species. This order of arrangement is adopted in the Convention.

The entries in the column headed “**Exceptions/limitations**” have the effect of—

- (a) excluding nominated populations in the listed species; or
- (b) limiting the applications of the listed species to only those populations nominated; or
- (c) excluding certain species from a generic listing; or
- (d) excluding nominated varieties of specimens of the listed species.

Part 1
Fauna (animals)
Phylum—Chordata

Class—Mammalia (mammals)

| Order | Family | Genus, species, or subspecies | Common name | Exceptions/limitations |
|--------------|----------------|---|--------------------|---|
| Artiodactyla | Antilocapridae | Pronghorns | Pronghorn | Only population of Mexico. No other population is included in the schedules |
| | Bovidae | Antelopes, cattle, duikers, gazelles, goats, sheep, etc | Addax Gaur | Excludes domesticated form, referenced as <i>Bos frontalis</i> |
| | | | Wild Yak | Excludes domesticated form, referenced as <i>Bos grunniens</i> |

| Order | Family | Genus, species, or subspecies | Common name | Exceptions/limitations |
|-------|-------------------------------------|-----------------------------------|--------------------------------|---|
| | | <i>Bos sauveli</i> | Kouprey | |
| | | <i>Bubalus depressicornis</i> | Lowland Anoa | |
| | | <i>Bubalus mindorensis</i> | Tamarou | |
| | | <i>Bubalus quarlesi</i> | Mountain Anoa | |
| | | <i>Capra falconeri</i> | Markhor | |
| | | <i>Capricornis milneedwardsii</i> | Chinese Serow | |
| | | <i>Capricornis rubidus</i> | Red Serow | |
| | | <i>Capricornis sumatraensis</i> | Mainland Serow | |
| | | <i>Capricornis thar</i> | Himalayan Serow | |
| | | <i>Cephalophus jentinki</i> | Jentink's Duiker | |
| | | <i>Gazella cuvieri</i> | Cuvier's Gazelle, Edmi Gazelle | |
| | | <i>Gazella leptoceros</i> | Slender-horned Gazelle | |
| | | <i>Hippotragus niger variani</i> | Giant Sable Antelope | |
| | | <i>Naemorhedus baileyi</i> | Red Goral | |
| | | <i>Naemorhedus caudatus</i> | Long-tailed Goral | |
| | | <i>Naemorhedus goral</i> | Goral | |
| | | <i>Naemorhedus griseus</i> | Chinese Goral | |
| | | <i>Nanger dama</i> | Dama Gazelle | |
| | | <i>Oryx dammah</i> | Scimitar-horned Oryx | |
| | | <i>Oryx leucoryx</i> | Arabian Oryx | |
| | | <i>Ovis ammon hodgsonii</i> | Great Tibetan Sheep | |
| | | <i>Ovis ammon nigrimontana</i> | Kara Tau Argali | |
| | | <i>Ovis aries ophion</i> | Mouflon | |
| | | <i>Ovis aries vignei</i> | Red Sheep | |
| | | <i>Pantholops hodgsonii</i> | Tibetan Antelope | |
| | | <i>Pseudoryx nghetinhensis</i> | Vu Quang Ox | |
| | | <i>Vicugna vicugna</i> | Vicugna | Except populations of Argentina (populations of provinces of Jujuy) |
| | Camelidae—Camels, guanacos, vicunas | | | |

| Order | Family | Genus, species, or subspecies | Common name | Exceptions/limitations | |
|-----------------------------|---|----------------------------------|--|---|--------------------|
| 36 | Cervidae—Deer, huemuls, muntjacs, pudus | <i>Axis calamianensis</i> | Calamian Hog Deer | and Catamarca and semi-captive populations of provinces of Jujuy, Salta, Catamarca, La Rioja, and San Juan), Chile (population of Primera Región), Ecuador (whole population), Peru (whole population), and the Plurinational State of Bolivia (whole population), which are included in Schedule 2 | |
| | | <i>Axis kuhlii</i> | Bawean Hog Deer | | |
| | | <i>Axis porcinus annamiticus</i> | Ganges Hog Deer, Indo-Chinese Hog Deer | | |
| | | <i>Blastocercus dichotomus</i> | Marsh Deer | | |
| | | <i>Cervus elaphus hanglu</i> | Kashmir Red Deer | | |
| | | <i>Dama dama mesopotamica</i> | Persian Fallow Deer | | |
| | | <i>Hippocamelus</i> spp | Guemals, Huemuls | | |
| | | <i>Muntiacus crinifrons</i> | Black Muntjac | | |
| | | <i>Muntiacus vuquangensis</i> | Giant Muntjac | | |
| | | <i>Ozotoceros bezoarticus</i> | Pampas Deer | | |
| | | <i>Pudu pudu</i> | Chilean Pudu | | |
| | | <i>Rucervus dinvaucelii</i> | Swamp Deer | | |
| | | <i>Rucervus eldii</i> | Eld's Deer | | |
| | | <i>Moschus</i> spp | Musk Deer | | |
| | | Suidae—Babirusa, hogs, pigs | <i>Babyrusa babyrusa</i> | | Golden Babirusa |
| | | | <i>Babyrusa bolabatuensis</i> | | Bola Batu Babirusa |
| | | | <i>Babyrusa celebensis</i> | | Sulawesi Babirusa |
| <i>Babyrusa togeanensis</i> | Togian Babirusa | | | | |
| <i>Sus saviianus</i> | Pygmy Hog | | | | |

| Order | Family | Genus, species, or subspecies | Common name | Exceptions/limitations |
|-----------|-----------------------------|-------------------------------|-----------------------|--|
| Carnivora | Tayassuidae—Peccaries | <i>Catagonus wagneri</i> | Chacco Peccary | |
| | Ailuridae—Red pandas | <i>Ailurus fulgens</i> | Red Panda | |
| | Canidae—Dogs, foxes, wolves | <i>Canis lupus</i> | Grey Wolf | Only populations of Bhutan, India, Nepal, and Pakistan. All other populations are included in Schedule 2. Excludes domesticated form and dingo, which are referenced as <i>Canus lupus familiaris</i> and <i>Canus lupus dingo</i> |
| | Felidae—Cats | <i>Speothos venaticus</i> | Bush Dog | |
| | | <i>Acinonyx jubatus</i> | Cheetah | Annual export quotas for live specimens and hunting trophies are granted as follows: Botswana (5), Namibia (150), and Zimbabwe (50). Trade in such specimens is subject to provisions of Article III of Convention. Consult Department of Conservation for details |
| | | <i>Caracal caracal</i> | Caracal | Only population of Asia. All other populations are included in Schedule 2 |
| | | <i>Catopuma temminckii</i> | Temminck's Golden Cat | |
| | | <i>Felis nigripes</i> | Black-footed Cat | |
| | | <i>Leopardus geoffroyi</i> | Geoffroy's Cat | |
| | | <i>Leopardus jacobitus</i> | Andean Mountain Cat | |
| | | <i>Leopardus pardalis</i> | Ocelot | |
| | | <i>Leopardus tigrinus</i> | Little Spotted Cat | |
| | | <i>Leopardus wiedii</i> | Margay | |
| | | <i>Lynx pardinus</i> | Spanish Lynx | |
| | | <i>Neofelis nebulosa</i> | Clouded Leopard | |
| | | <i>Panthera leo persica</i> | Asiatic Lion | |
| | | <i>Panthera onca</i> | Jaguar | |

| Order | Family | Genus, species, or subspecies | Common name | Exceptions/limitations |
|-------|--|---|------------------------|---|
| | | <i>Panthera pardus</i> | Leopard | |
| | | <i>Panthera tigris</i> | Tiger | |
| | | <i>Pardofelis marmorata</i> | Marbled Cat | |
| | | <i>Prionailurus bengalensis bengalensis</i> | Leopard Cat | Only populations of Bangladesh, India, and Thailand. All other populations are included in Schedule 2 |
| | | <i>Prionailurus planiceps</i> | Flat-headed Cat | |
| | | <i>Prionailurus rubiginosus</i> | Rusty-spotted Cat | Only population of India. All other populations are included in Schedule 2 |
| | | <i>Puma concolor costaricensis</i> | Costa Rica Cougar | |
| | | <i>Puma yagouaroundi</i> | Jaguarundi | Only populations of Central and North America. All other populations are included in Schedule 2 |
| | | <i>Uncia uncia</i> | Snow Leopard | |
| | Lutrinae—Otters | <i>Aonyx capensis microdon</i> | African Clawless Otter | Only populations of Cameroon and Nigeria. All other populations are included in Schedule 2 |
| | | <i>Enhydra lutris nereis</i> | Southern Sea Otter | |
| | | <i>Lontra felina</i> | Marine Otter | |
| | | <i>Lontra longicaudis</i> | Long-tailed Otter | |
| | | <i>Lontra provocax</i> | Southern River Otter | |
| | | <i>Lutra lutra</i> | Eurasian Otter | |
| | | <i>Lutra nippon</i> | Japanese River Otter | |
| | | <i>Pteronura brasiliensis</i> | Giant Otter | |
| | | <i>Mustela nigripes</i> | Black-footed Ferret | |
| | Mustelinae—Grisons, honey badgers, martens, tayra, weasels | <i>Arctocephalus townsendi</i> | Guadelupe Fur Seal | |
| | Otariidae—Fur seals, sea lions | <i>Monachus</i> spp | Monk Seals | |
| | Phocidae—Seals | <i>Ailuropoda melanoleuca</i> | Giant Panda | |
| | Ursidae—Bears, giant pandas | | | |

| Order | Family | Genus, species, or subspecies | Common name | Exceptions/limitations |
|---------|--|-----------------------------------|-----------------------------|---|
| | | <i>Helarctos malayanus</i> | Sun Bear | |
| | | <i>Melursus ursinus</i> | Indian Sloth Bear | |
| | | <i>Tremarctos ornatus</i> | Spectacled Bear | |
| | | <i>Ursus arctos</i> | Brown Bear | Only populations of Bhutan, China, Mexico, and Mongolia. All other populations are included in Schedule 2 |
| | | <i>Ursus arctos isabellinus</i> | Red or Himalayan Brown Bear | |
| | | <i>Ursus thibetanus</i> | Asiatic Black Bear | |
| | | <i>Prionodon pardicolor</i> | Spotted Linsang | |
| | Viverridae—Binturong, civets, linsangs, otter-civet, palm civets | <i>Balaena mysticetus</i> | Bowhead Whale | |
| | Balaenidae—Bowhead whale, right whales | <i>Eubalaena</i> spp | Right Whales | |
| | Balaenopteridae—Fin whales, humpback whales, rorquals | <i>Balaenoptera acutorostrata</i> | Northern Minke Whale | Except population of West Greenland, which is included in Schedule 2 |
| Cetacea | | <i>Balaenoptera bonaerensis</i> | Southern Minke Whale | |
| | | <i>Balaenoptera borealis</i> | Sei Whale | |
| | | <i>Balaenoptera edeni</i> | Bryde's Whale | |
| | | <i>Balaenoptera musculus</i> | Blue Whale | |
| | | <i>Balaenoptera omurai</i> | Omura's Whale | |
| | | <i>Balaenoptera physalus</i> | Fin Whale | |
| | | <i>Megaptera novaeangliae</i> | Humpback Whale | |
| | | <i>Orcaella brevirostris</i> | Irrawaddy Dolphin | |
| | | <i>Orcaella heinsohni</i> | Australian Snubfin Dolphin | |
| | Delphinidae—Dolphins | <i>Sotalia</i> spp | River Dolphins, Tucuxi | |
| | | <i>Sousa</i> spp | Humpback Dolphins | |
| | Eschrichtiidae—Grey whale | <i>Eschrichtius robustus</i> | Grey Whale | |

| Order | Family | Genus, species, or subspecies | Common name | Exceptions/limitations |
|----------------|-----------------------------------|--|--|------------------------|
| Chiroptera | Iniidæ—River dolphins | <i>Lipotes vexillifer</i> | Baiji, Yangtze River Dolphin | |
| | Neobalaenidae—Pygmy right whale | <i>Caperea marginata</i> | Pygmy Right Whale | |
| | Phocoenidae—Porpoises | <i>Neophocaena asiaticaorientalis</i> | Finless Porpoise, Narrow-ridged Finless Porpoise | |
| | | <i>Neophocaena phocaenoides</i> | Black Finless Porpoise | |
| | | <i>Phocoena sinus</i> | Pacific Harbour Porpoise | |
| | | <i>Physeter macrocephalus</i> | Sperm Whale | |
| | | Platanistidae—River dolphins | Ganges and Indus dolphins | |
| | | Ziphiidae—Beaked whales, bottle-nosed whales | Beaked Whales | |
| | | | Bottle-nose Whales | |
| | | Pteropodidae—Fruit bats, flying foxes | Golden-capped Fruit Bat | |
| | | | Truk Fruit Bat | |
| | | | Okinawa Flying Fox | |
| | | | Marianas Flying Fox | |
| | | Pohnpei Fruit Bat | | |
| | | Palau Fruit Bat | | |
| | | Large Palau Fruit Bat | | |
| | | Samoan Fruit Bat | | |
| | | Insular Flying Fox | | |
| | | Kosrae Flying Fox | | |
| | | Yap Flying Fox | | |
| | | Giant Armadillo | | |
| | | Long-tailed Dunnart | | |
| | | Large Desert Marsupial-mouse | | |
| | | Western Hare Wallaby | | |
| | | Banded Hare Wallaby | | |
| | | Bridled Naitail Wallaby | | |
| Cingulata | Dasypodidae—Armadillos | | | |
| Dasyuromorphia | Dasyuridae—Dunnarts | <i>Priodontes maximus</i> <i>Sminthopsis longicaudata</i> <i>Sminthopsis psammophila</i> | | |
| Dipterodontia | Macropodidae—Kangaroos, Wallabies | <i>Lagorchestes hirsutus</i> <i>Lagostrophus fasciatus</i> <i>Onychogalea fraenata</i> | | |

| Order | Family | Genus, species, or subspecies | Common name | Exceptions/limitations |
|------------------------|---|---|--|---|
| Lagomorpha | Potoroidae—Rat-kangaroos | <i>Bettongia</i> spp | Rat-Kangaroos | |
| | Vombatidae—Wombats | <i>Lasiorhinus krefftii</i> | Northern Hairy-nosed Wombat | |
| Peramelemorphia | Leporidae—Hares, rabbits | <i>Caprolagus hispidus</i> | Assam Rabbit, Hispid Hare | |
| | Peramelidae—Bandicoots, echymiperas | <i>Romerolagus diazi</i> <i>Perameles bougainville</i> | Volcano Rabbit Barred Bandicoot | |
| Perissodactyla | Thylacomyidae—Bilbies | <i>Macrotis lagotis</i> | Bilby | |
| | Equidae—Horses, wild asses, zebras | <i>Equus africanus</i> <i>Equus grevyi</i> <i>Equus hemionus hemionus</i> <i>Equus hemionus khur</i> <i>Equus przewalskii</i> | African Wild Ass Grevy's Zebra Mongolian Wild Ass Indian Wild Ass Przewalski's Wild Horse | Excludes domesticated form, referenced as <i>Equus asinus</i> |
| Pholidota | Rhinocerotidae—Rhinoceroses | Rhinocerotidae spp | Rhinoceroses | Except subspecies included in Schedule 2 |
| | Tapiridae—Tapirs | Tapiridae spp | Tapirs | Except species included in Schedule 2 |
| Primates—Apes, monkeys | Manidae—Pangolins | <i>Manis crassicaudata</i> <i>Manis culionensis</i> <i>Manis gigantea</i> <i>Manis javanica</i> <i>Manis pentadactyla</i> <i>Manis temminckii</i> <i>Manis tetradactyla</i> <i>Manis tricuspis</i> | Indian Pangolin Philippine Pangolin Giant Pangolin Sunda Pangolin Chinese Pangolin South African Pangolin Long-tailed Pangolin White-bellied Pangolin | |
| | Atelidae—Howler monkeys, spider monkeys | <i>Alouatta coibensis</i> | Coiba Island Howler Monkey | |
| | | <i>Alouatta palliata</i> | Mantled Howler Monkey | |

| Order | Family | Genus, species, or subspecies | Common name | Exceptions/limitations |
|-------|-----------------------------------|-----------------------------------|----------------------------------|------------------------|
| | | <i>Alouatta pigra</i> | Guatemalan Mantled Howler Monkey | |
| | | <i>Ateles geoffroyi frontatus</i> | Black-browed Spider Monkey | |
| | | <i>Ateles geoffroyi ornatus</i> | Red Spider Monkey | |
| | | <i>Brachyteles arachnoides</i> | Woolly Spider Monkey | |
| | | <i>Brachyteles hypoxanthus</i> | Northern Muriqui | |
| | | <i>Oreonax flavicauda</i> | Woolly Monkey | |
| | | <i>Callimico goeldii</i> | Goeldi's Marmoset | |
| | | <i>Callithrix aurita</i> | White-eared Marmoset | |
| | | <i>Callithrix flaviceps</i> | Buff-headed Marmoset | |
| | | <i>Leontopithecus</i> spp | Lion Tamarins | |
| | | <i>Saguinus bicolor</i> | Brazilian Bare-faced Tamarin | |
| | | <i>Saguinus geoffroyi</i> | Geoffroy's Tamarin | |
| | | <i>Saguinus leucopus</i> | White-footed Tamarin | |
| | | <i>Saguinus martinsi</i> | Martin's Tamarin | |
| | | <i>Saguinus oedipus</i> | Cotton-headed Tamarin | |
| | | <i>Saimiri oerstedii</i> | Red-backed Squirrel Monkey | |
| | | <i>Cercocebus galeries</i> | Tana River Mangabey | |
| | | <i>Cercopithecus diana</i> | Diana Monkey | |
| | | <i>Cercopithecus roloway</i> | Roloway Monkey | |
| | | <i>Macaca silenus</i> | Wanderoo | |
| | | <i>Macaca sylvanus</i> | Barbary Ape, Barbary Macaque | |
| | | <i>Mandrillus leucophaeus</i> | Drill | |
| | | <i>Mandrillus sphinx</i> | Mandrill | |
| | | <i>Nasalis larvatus</i> | Proboscis Monkey | |
| | | <i>Ptilocolobus kirkii</i> | Kirk's Colobus | |
| | Cercopithecidae—Old World monkeys | | | |

| Order | Family | Genus, species, or subspecies | Common name | Exceptions/limitations |
|-------|---|-------------------------------------|-----------------------------|------------------------|
| | | <i>Ptilocolobus rufimitratus</i> | Tana River Colobus | |
| | | <i>Presbytis potenziani</i> | Mentawai Leaf-monkey | |
| | | <i>Pygathrix</i> spp | Langurs | |
| | | <i>Rhinopithecus</i> spp | Snub-nosed Monkeys | |
| | | <i>Semnopithecus ajax</i> | Kashmir Grey Langur | |
| | | <i>Semnopithecus dussumieri</i> | Southern Plains Grey Langur | |
| | | <i>Semnopithecus entellus</i> | Hanuman Langur | |
| | | <i>Semnopithecus hector</i> | Tarai Grey Langur | |
| | | <i>Semnopithecus hypoleucos</i> | Black-footed Grey Langur | |
| | | <i>Semnopithecus priam</i> | Tufted Grey Langur | |
| | | <i>Semnopithecus schistaceus</i> | Nepal Grey Langur | |
| | | <i>Simias concolor</i> | Pig-tailed Langur | |
| | | <i>Trachypithecus geei</i> | Golden Langur | |
| | | <i>Trachypithecus pileatus</i> | Capped Langur | |
| | | <i>Trachypithecus shorridgei</i> | Shorridge's Langur | |
| | Cheirogaleidae—Dwarf lemurs | Cheirogaleidae spp | Dwarf Lemurs, Mouse Lemurs | |
| | Daubentonidae—Aye-aye | <i>Daubentonia madagascariensis</i> | Aye-aye | |
| | Hominiidae—Apes, chimpanzees, gorillas, orang-utans | <i>Gorilla beringei</i> | Mountain Gorilla | |
| | | <i>Gorilla gorilla</i> | Gorilla | |
| | | <i>Pan</i> spp | Chimpanzees | |
| | | <i>Pongo abelii</i> | Sumatran Orang-utan | |
| | | <i>Pongo pygmaeus</i> | Orang-utan | |
| | Hylobatidae—Gibbons | Hylobatidae spp | Gibbons | |
| | Indriidae—Indris, sifakas, woolly lemurs | Indriidae spp | Sifakas, Indris | |
| | Lemuridae—Large lemurs | Lemuridae spp | Lemurs | |
| | Lepilemuridae—Sportive lemurs | Lepilemuridae spp | Sportive Lemurs | |
| | Lorisidae—Lorises | <i>Nycticebus</i> spp | Slow Lorises | |

| Order | Family | Genus, species, or subspecies | Common name | Exceptions/limitations | | |
|---------------------------|--------------------------------------|--------------------------------------|--|---|------------------------|--|
| 44 | Proboscidea | <i>Cacajao</i> spp | Uakaris | | | |
| | | <i>Chiroptes albinasus</i> | White-nosed Saki | | | |
| | | <i>Elephas maximus</i> | Asiatic Elephant | Except populations of Botswana, Namibia, South Africa, and Zimbabwe, which are included in Schedule 2 | | |
| | | <i>Loxodonta africana</i> | African Elephant | Except all specimens of domesticated form | | |
| Rodentia | Chinchillidae—Chinchillas | <i>Chinchilla</i> spp | Chinchillas | | | |
| | | <i>Leporillus conditor</i> | Stick Nest Rat | | | |
| | | <i>Pseudomys fieldi praeconis</i> | Shark Bay Mouse | | | |
| | | <i>Xeromys myoides</i> | False Water Rat | | | |
| | | <i>Zyomys pedunculatus</i> | Central Rock Rat | | | |
| | | <i>Cynomys mexicanus</i> | Mexican Prairie Dog | | | |
| | | Sirenia | Dugongidae—Dugong Trichechidae—Manatees | <i>Dugong dugon</i> | Dugong | |
| | | | | <i>Trichechus inunguis</i> | South American Manatee | |
| | | | | <i>Trichechus manatus</i> | West Indian Manatee | |
| | | | | <i>Trichechus senegalensis</i> | West African Manatee | |
| Class—Aves (birds) | | | | | | |
| Anseriformes | Anatidae—Ducks, geese, swans, etc | <i>Anas aucklandica</i> | Common name Auckland Island Teal | Exceptions/limitations | | |
| | | <i>Anas chlorotis</i> | Brown Teal | | | |
| | | <i>Anas laysanensis</i> | Laysan Duck | | | |
| | | <i>Anas nesiotis</i> | Campbell Island Teal | | | |
| | | <i>Asarcornis scutulata</i> | White-winged Wood Duck | | | |
| | | <i>Branta canadensis leucopareia</i> | Aleutian Canada Goose | | | |
| | | <i>Branta sandvicensis</i> | Hawaiian Goose, Nene | | | |

| Order | Family | Genus, species, or subspecies | Common name | Exceptions/limitations |
|---|--------------------------------------|--|-------------------------------|------------------------|
| Apodiformes | Trochilidae—Hummingbirds | <i>Rhodonessa caryophyllacea</i> (possibly extinct) | Pink-headed Duck | |
| | Laridae—Gulls | <i>Glaucis dohrnii</i> | Hook-billed Hermit | |
| Charadriiformes | Scolopacidae—Curlews, greenshanks | <i>Larus relictus</i> | Relict Gull | |
| | | <i>Numenius borealis</i> | Eskimo Curlew | |
| Ciconiiformes | Ciconiidae—Storks | <i>Numenius tenuirostris</i> | Slender-billed Curlew | |
| | | <i>Tringa guttifer</i> | Spotted Greenshank | |
| | | <i>Ciconia boyciana</i> | Oriental White Stork | |
| | | <i>Jabiru mycteria</i> | Jabiru Stork | |
| | | <i>Mycteria cinerea</i> | Milky Stork | |
| | | <i>Geronticus eremita</i> | Waldrapp Ibis | |
| | | <i>Nipponia nippon</i> | Japanese Crested Ibis | |
| | | <i>Caloenas nicobarica</i> | Nicobar Pigeon | |
| | | <i>Ducula mindorensis</i> | Mindoro Imperial Pigeon | |
| | | <i>Aceros nipalensis</i> | Rufous-necked Hornbill | |
| Falconiformes— Eagles, falcons, hawks, vultures | Accipitridae—Hawks, eagles | <i>Buceros bicornis</i> | Great Indian Hornbill | |
| | | <i>Rhinoplax vigil</i> | Helmeted Hornbill | |
| | | <i>Rhyticeros subruficollis</i> | Plain-pouched Hornbill | |
| | | <i>Aquila adalberti</i> | Spanish Imperial Eagle | |
| | | <i>Aquila heliaca</i> | Imperial Eagle | |
| | | <i>Chondrohierax uncinatus wilsonii</i> | Cuban Hook-billed Kite | |
| | | <i>Haliaeetus albicilla</i> | White-tailed Sea Eagle | |
| | | <i>Harpia harpyja</i> | Harpy Eagle | |
| | | <i>Pitheophaga jefferyi</i> | Monkey-eating Eagle | |
| | | <i>Gymnogyps californianus</i> | California Condor | |
| Cathartidae—New World vultures | <i>Vultur gryphus</i> | Andean Condor | | |
| | <i>Falco araeus</i> | Seychelles Kestrel | | |
| Falconidae—Falcons | <i>Falco jugger</i> | Laggar Falcon | | |
| | <i>Falco newtoni</i> | Aldabra Kestrel | | |
| | | | Only population of Seychelles | |

| Order | Family | Genus, species, or subspecies | Common name | Exceptions/limitations |
|------------------------------|--|-------------------------------------|--------------------------------|------------------------|
| Galliformes | Cracidae—Chachalacas, curassows, guans | <i>Falco peregrinoides</i> | Barbary Falcon | |
| | | <i>Falco peregrinus</i> | Peregrine Falcon | |
| | | <i>Falco punctatus</i> | Mauritius Kestrel | |
| | | <i>Falco rusticolus</i> | Gyr Falcon | |
| | | <i>Crax blumenbachii</i> | Red-billed Curassow | |
| | | <i>Mitu mitu</i> | Razor-billed Curassow, Mitu | |
| | | <i>Oreophasis derbiana</i> | Horned Guan | |
| | | <i>Penelope albipennis</i> | White-winged Guan | |
| | | <i>Pipile jacutinga</i> | Black-fronted Piping Guan | |
| | | <i>Pipile pipile</i> | Trinidad Piping Guan | |
| | | <i>Macrocephalon maleo</i> | Maleo (Fowl) | |
| | Megapodiidae—Megapodes, scrubfowl Phasianidae—Grouse, guineafowl, partridges, peafowl, pheasants, tragopans | <i>Catreus wallichii</i> | Cheer Pheasant | |
| | | <i>Colinus virginianus ridgwayi</i> | Masked Bobwhite Quail | |
| | | <i>Crossoptilon crossoptilon</i> | White-eared Pheasant | |
| | | <i>Crossoptilon manichuricum</i> | Brown-eared Pheasant | |
| | | <i>Lophophorus impejanus</i> | Himalayan Impeyan Pheasant | |
| | | <i>Lophophorus lhuysii</i> | Chinese Monal Pheasant | |
| | | <i>Lophophorus sclateri</i> | Sclater's Monal Pheasant | |
| | | <i>Lophura edwardsi</i> | Edward's Pheasant | |
| | | <i>Lophura swinhoii</i> | Swinhoe's Pheasant | |
| | | <i>Polyplectron napoleonis</i> | Palawan Peacock Pheasant | |
| | | <i>Rheinardia ocellata</i> | Crested Argus | |
| <i>Symaticus ellioti</i> | Elliot's Pheasant | | | |
| <i>Symaticus humiae</i> | Bar-tailed Pheasant | | | |
| <i>Symaticus mikado</i> | Mikado Pheasant | | | |
| <i>Tetrao gallus caspius</i> | Caspian Snowcock | | | |

| Order | Family | Genus, species, or subspecies | Common name | Exceptions/limitations | | | | | |
|------------------------------------|--|--|---|--|----------------------------|--|--|----------------------------|--|
| Gruiformes | Gruidae—Cranes | <i>Tetrao gallus tibetanus</i> | Tibetan Snowcock | | | | | | |
| | | <i>Tragopan blythii</i> | Blyth's Tragopan, Grey-bellied Tragopan | | | | | | |
| | | <i>Tragopan caboti</i> | Cabot's Tragopan, Yellow-billed Tragopan | | | | | | |
| | | <i>Tragopan melanocephalus</i> | Western Tragopan, Western Horned Pheasant | | | | | | |
| | | <i>Grus americana</i> | Whooping Crane | | | | | | |
| | | <i>Grus canadensis nestotes</i> | Cuban Sandhill Crane | | | | | | |
| | | <i>Grus canadensis pulla</i> | Mississippi Sandhill Crane | | | | | | |
| | | <i>Grus japonensis</i> | Manchurian Crane | | | | | | |
| | | <i>Grus leucogeranus</i> | Siberian White Crane | | | | | | |
| | | <i>Grus monacha</i> | Hooded Crane | | | | | | |
| | | <i>Grus nigricollis</i> | Black-necked Crane | | | | | | |
| | | <i>Grus vipio</i> | White-naped Crane | | | | | | |
| | | <i>Ardeotis nigriceps</i> | Great Indian Bustard | | | | | | |
| Passeriformes | Otididae—Bustards | <i>Chlamydotis macqueenii</i> | Macqueen's Bustard | | | | | | |
| | | <i>Chlamydotis undulata</i> | Houbara Bustard | | | | | | |
| | | <i>Houbaropsis bengalensis</i> | Bengal Bustard | | | | | | |
| | | <i>Gallirallus sylvestris</i> | Lord Howe Woodrail | | | | | | |
| | | <i>Rhynochetos jubatus</i> | Kagu | | | | | | |
| | | <i>Atrichornis clamosus</i> | Noisy Scrub-bird | | | | | | |
| | | <i>Cotinga maculata</i> | Banded Cotinga | | | | | | |
| | | <i>Xipholena atropurpurea</i> | White-winged Cotinga | | | | | | |
| | | <i>Carduelis cucullata</i> | Red Siskin | | | | | | |
| | | <i>Pseudochelidon sirintarae</i> | White-eyed River Martin | | | | | | |
| | | <i>Xanthopsar flavus</i> | Saffron-cowled Blackbird | | | | | | |
| | | <i>Dasyornis broadbenti litoralis</i> (possibly extinct) | Western Rufous Bristlebird | | | | | | |
| | | <i>Dasyornis longirostris</i> | Western Bristlebird | | | | | | |
| Passeriformes | Rallidae—Rails | <i>Rhynochetos jubatus</i> | Kagu | | | | | | |
| | | Atrichornithidae—Scrub-birds | <i>Atrichornis clamosus</i> | Noisy Scrub-bird | | | | | |
| | | | Cotingidae—Cotingas | <i>Cotinga maculata</i> | Banded Cotinga | | | | |
| | | | | <i>Xipholena atropurpurea</i> | White-winged Cotinga | | | | |
| | | | | <i>Carduelis cucullata</i> | Red Siskin | | | | |
| | | | | <i>Pseudochelidon sirintarae</i> | White-eyed River Martin | | | | |
| | | | | <i>Xanthopsar flavus</i> | Saffron-cowled Blackbird | | | | |
| | | | | <i>Dasyornis broadbenti litoralis</i> (possibly extinct) | Western Rufous Bristlebird | | | | |
| | | | | <i>Dasyornis longirostris</i> | Western Bristlebird | | | | |
| | | | | Fringillidae—Finches | Hirundinidae—Martins | <i>Dasyornis broadbenti litoralis</i> (possibly extinct) | Western Rufous Bristlebird | | |
| | | | | | | <i>Dasyornis longirostris</i> | Western Bristlebird | | |
| | | | | | | Icteridae—New World blackbirds | <i>Dasyornis broadbenti litoralis</i> (possibly extinct) | Western Rufous Bristlebird | |
| | | | | | | | <i>Dasyornis longirostris</i> | Western Bristlebird | |
| Muscicapidae—Old World flycatchers | <i>Dasyornis broadbenti litoralis</i> (possibly extinct) | | | | | | Western Rufous Bristlebird | | |
| | <i>Dasyornis longirostris</i> | Western Bristlebird | | | | | | | |

| Order | Family | Genus, species, or subspecies | Common name | Exceptions/limitations |
|-------------------|---|-------------------------------------|--|------------------------|
| | | <i>Picathartes gymnocephalus</i> | Bare-headed Rockfowl | |
| | | <i>Picathartes oreas</i> | Grey-necked Rockfowl | |
| | Pittidae—Pittas | <i>Pitta gurneyi</i> | Guernsey's Pitta | |
| | | <i>Pitta kochi</i> | Koch's Pitta | |
| | Sturnidae—Mynas, starlings | <i>Leucopsar rothschildi</i> | Bali Starling, Rothschild's Mynah | |
| | Zosteropidae—White-eyes | <i>Zosterops albugularis</i> | Norfolk White-throated White-eye | |
| Pelecaniformes | Fregatidae—Frigatebirds | <i>Fregata andrewsi</i> | Christmas Island Frigatebird | |
| | Pelecanidae—Pelicans | <i>Pelecanus crispus</i> | Dalmatian Pelican | |
| | Sulidae—Gannets | <i>Papasula abbotti</i> | Abbott's Booby | |
| Piciformes | Picidae—Woodpeckers | <i>Dryocopus javensis richardsi</i> | Tristram's Woodpecker | |
| Podicipediformes | Podicipedidae—Grebes | <i>Podilymbus gigas</i> | Atitlan Grebe | |
| Procellariiformes | Diomedidae—Albatrosses | <i>Phoebastria albatrus</i> | Short-tailed Albatross | |
| Psittaciiformes | Cacatuidae—Cockatoos | <i>Cacatua goffiniana</i> | Goffin's Cockatoo | |
| | | <i>Cacatua haematuropygia</i> | Red-vented Cockatoo | |
| | | <i>Cacatua moluccensis</i> | Moluccan Cockatoo, Salmon-crested Cockatoo | |
| | | <i>Cacatua sulphurea</i> | Lesser Sulphur-crested Cockatoo, Yellow-crested Cockatoo | |
| | Loriidae—Lories, lorikeets | <i>Probosciger aterrimus</i> | Palm Cockatoo | |
| | | <i>Eos histrio</i> | Red-and-blue Lory | |
| | | <i>Vini ultramarina</i> | Ultramarine Lory | |
| | Psittacidae—Amazons, macaws, parakeets, parrots | <i>Amazona arausiaca</i> | Red-necked Amazon Parrot | |
| | | <i>Amazona auropalliata</i> | Yellow-naped Amazon, Yellow-naped Parrot | |
| | | <i>Amazona barbadensis</i> | Yellow-shouldered Amazon Parrot | |

| Order | Family | Genus, species, or subspecies | Common name | Exceptions/limitations |
|-------|--------|-------------------------------|--|------------------------|
| | | <i>Amazona brasiliensis</i> | Red-tailed Amazon Parrot | |
| | | <i>Amazona finschi</i> | Lilac-crowned Amazon | |
| | | <i>Amazona guildingii</i> | St. Vincent Amazon, St. Vincent Parrot | |
| | | <i>Amazona imperialis</i> | Imperial Amazon, Imperial Parrot | |
| | | <i>Amazona leucocephala</i> | Cuban Amazon, Cuban Parrot | |
| | | <i>Amazona oratrix</i> | Yellow-headed Amazon, Yellow-headed Parrot | |
| | | <i>Amazona pretrei</i> | Red-spectacled Amazon, Red-spectacled Parrot | |
| | | <i>Amazona rhodocorytha</i> | Red-browed Amazon, Red-topped Parrot | |
| | | <i>Amazona tucumana</i> | Tucuman Amazon, Alder Parrot | |
| | | <i>Amazona versicolor</i> | St. Lucia Amazon, St. Lucia Parrot | |
| | | <i>Amazona vinacea</i> | Vinaceous Amazon, Vinaceous Parrot | |
| | | <i>Amazona viridigenalis</i> | Green-cheeked Amazon, Green-cheeked Parrot | |
| | | <i>Amazona vittata</i> | Puerto Rican Amazon, Puerto Rican Parrot | |
| | | <i>Anodorhynchus</i> spp | Glaucous, Hyacinth, and Lear's Macaws | |
| | | <i>Ara ambiguus</i> | Great Green Macaw | |
| | | <i>Ara glaucogularis</i> | Caninde Macaw | |
| | | <i>Ara macao</i> | Scarlet Macaw | |
| | | <i>Ara militaris</i> | Military Macaw | |
| | | <i>Ara rubrogenys</i> | Red-fronted Macaw | |
| | | <i>Cyanopsitta spixii</i> | Spix's Macaw | |

| Order | Family | Genus, species, or subspecies | Common name | Exceptions/limitations |
|-------|--------|--|--|------------------------|
| | | <i>Cyanoramphus cookii</i> | Norfolk Island Green Parrot, Norfolk Island Parakeet | |
| | | <i>Cyanoramphus forbesi</i> | Forbes's Yellow-fronted Parakeet, Chatham Island Yellow-fronted Parakeet | |
| | | <i>Cyanoramphus novaezelandiae</i> | Red-crowned Parakeet | |
| | | <i>Cyanoramphus saisseti</i> | Red-crowned Parakeet | |
| | | <i>Cyclopsitta diophthalma coxeni</i> | Coxen's Double-eyed Fig Parrot | |
| | | <i>Eurymphicus cornutus</i> | Horned Parakeet | |
| | | <i>Guarouba guarouba</i> | Golden Conure, Golden Parakeet | |
| | | <i>Neophema chrysogaster</i> | Orange-bellied Parakeet | |
| | | <i>Ognorhynchus icterotis</i> | Yellow-eared Conure | |
| | | <i>Pezoporus occidentalis</i> (possibly extinct) | Night Parrot | |
| | | <i>Pezoporus wallicus</i> | Ground Parrot | |
| | | <i>Pionopsitta pileata</i> | Pileated Parrot | |
| | | <i>Primolius couloni</i> | Blue-headed Macaw | |
| | | <i>Primolius maracana</i> | Blue-winged Macaw | |
| | | <i>Psephotus chrysopterygius</i> | Golden-shouldered Parrot | |
| | | <i>Psephotus dissimilis</i> | Hooded Parrot | |
| | | <i>Psephotus pulcherrimus</i> (possibly extinct) | Paradise Parrot | |
| | | <i>Psittacula echo</i> | Mauritius Parakeet | |
| | | <i>Psittacus erithacus</i> | African Gray Parrot | |
| | | <i>Pyrrhura cruentata</i> | Blue-throated Conure | |
| | | <i>Rhynchopsitta</i> spp | Thick-billed Parrot, Maroon-fronted Parrot | |
| | | <i>Strigops habroptilus</i> | Kakapo | |

| Order | Family | Genus, species, or subspecies | Common name | Exceptions/limitations |
|--|--|--|--|--|
| Rheiformes | Rheidae—Rheas | <i>Pterocnemia pennata</i> | Darwin's Rhea | Except <i>Pterocnemia pennata</i> , which is included in Schedule 2 |
| Sphenisciformes | Spheniscidae—Penguins | <i>Spheniscus humboldti</i> | Humboldt Penguin | |
| Strigiformes—Owls | Strigidae—Owls | <i>Heteroglaux blewitti</i> <i>Mimizuku gurneyi</i> <i>Ninox natalis</i> | Forest Spotted Owl Giant Scops Owl Christmas Island Hawk-owl | |
| Struthioniformes | Tytonidae—Barn owls Struthionidae—Ostriches | <i>Tyto soumagnei</i> <i>Struthio camelus</i> | Madagascar Owl Ostrich | Only populations of Algeria, Burkina Faso, Cameroon, Central African Republic, Chad, Mali, Mauritania, Morocco, Niger, Nigeria, Senegal, and Sudan. No other populations are included in the schedules |
| Tinamiformes | Tinamidae—Tinamous | <i>Tinamus solitarius</i> | Solitary Tinamou | |
| Trogoniformes | Trogonidae—Quetzals | <i>Pharomachrus mocinno</i> | Resplendent Quetzal | |
| Class—Reptilia (reptiles) | | | | |
| Order | Family | Genus, species, or subspecies | Common name | Exceptions/limitations |
| Crocodylia—Alligators, caimans, crocodyles | Alligatoridae—Alligators, caimans | <i>Alligator sinensis</i> <i>Caiman crocodilus apaporitensis</i> | Chinese Alligator Rio Apaporis Spectacled Caiman | |
| | | <i>Caiman latirostris</i> | Broad-snouted Caiman | Except population of Argentina, which is included in Schedule 2 |
| | | <i>Melanosuchus niger</i> | Black Caiman | Except population of Brazil, which is included in Schedule 2, and population of Ecuador, which is included in Schedule 2 and is subject to zero annual export quota until annual export quota has been |

| Order | Family | Genus, species, or subspecies | Common name | Exceptions/limitations |
|-------|-------------------------|--------------------------------|-----------------------------------|--|
| | Crocodylidae—Crocodyles | <i>Crocodylus acutus</i> | American Crocodile | <p>approved by CITES Secretariat and IUCN/SSC Crocodile Specialist Group</p> <p>Except population of Integrated Management District of Mangroves of the Bay of Cispata, Tinajones, La Balsa and Surrounding Areas, Department of Córdoba, Colombia, and population of Cuba, which are included in Schedule 2</p> |
| | | <i>Crocodylus cataphractus</i> | African Slender-snouted Crocodile | <p>Except population of Belize, which is included in Schedule 2 with a zero quota for wild specimens traded for commercial purposes, and population of Mexico, which is included in Schedule 2</p> |
| | | <i>Crocodylus intermedius</i> | Orinoco Crocodile | |
| | | <i>Crocodylus mindorensis</i> | Mindoro Crocodile | |
| | | <i>Crocodylus moreletii</i> | Belize Crocodile | |
| | | <i>Crocodylus niloticus</i> | Nile Crocodile | <p>Except populations of Botswana, Egypt (subject to zero quota for wild specimens traded for commercial purposes), Ethiopia, Kenya, Madagascar, Malawi, Mozambique, Namibia, South Africa, Uganda, United Republic of Tanzania (subject to annual export quota of no more than 1 600 wild specimens, including hunting trophies, in addition to ranching specimens), Zambia, and Zimbabwe, which are included in Schedule 2</p> |
| | | <i>Crocodylus palustris</i> | Marsh Crocodile | |

| Order | Family | Genus, species, or subspecies | Common name | Exceptions/limitations |
|-----------------|--|--|--|---|
| | | <i>Crocodylus porosus</i> | Saltwater Crocodile | Except populations of Australia, Indonesia, Malaysia (wild harvest restricted to the State of Sarawak and a zero quota for wild specimens for the other States of Malaysia (Sabah and Peninsular Malaysia), with no change in the zero quota unless approved by the Parties) and Papua New Guinea, which are included in Schedule 2 |
| | | <i>Crocodylus rhombifer</i> | Cuban Crocodile | |
| | | <i>Crocodylus siamensis</i> | Siamese Crocodile | |
| | | <i>Osteolaemus tetraspis</i> | Dwarf Crocodile | |
| | | <i>Tomistoma schlegelii</i> | False Gavia | |
| | | <i>Gavialis gangeticus</i> | Gharial | |
| Rhynchocephalia | Gavialidae—Gavials | <i>Sphenodon</i> spp | Tuatara | |
| Sauria | Anguillidae—Alligator lizards | <i>Abronia anzuetoi</i> | Anzuetoi Alligator Lizard | |
| | | <i>Abronia campbelli</i> | Campbell's Alligator Lizard | |
| | | <i>Abronia fimbriata</i> | | |
| | | <i>Abronia frosti</i> | Frost's Alligator Lizard | |
| | | <i>Abronia meledona</i> | Meledona Alligator Lizard | |
| | Chamaeleonidae—Chameleons | <i>Brookesia perarmata</i> | Antsingy Leaf Chameleon | |
| | Gekkonidae—Geckos | <i>Cnemaspis psychedelica</i> | Psychedelic Rock Gecko | |
| | | <i>Lygodactylus williamsi</i> | Turquoise Dwarf Gecko | |
| | Helodermatidae—Beaded lizards, gila monsters | <i>Heloderma horridum charlesbogerti</i> | Guatemalan Beaded Lizard, Blue Beaded Lizard | |
| | Iguanidae—Iguanas | <i>Brachylophus</i> spp | Fiji Iguanas | |
| | | <i>Cyclura</i> spp | Ground Iguanas | |
| | | <i>Sauromalus varius</i> | Piebald Chuckwalla | |
| | Lacertidae—Lizards | <i>Gallotia simonyi</i> | Hierro Giant Lizard | |

| Order | Family | Genus, species, or subspecies | Common name | Exceptions/limitations | |
|--------------------|---|---------------------------------|--|--|--|
| Serpentes | Varanidae—Monitor lizards | <i>Varanus bengalensis</i> | Indian Monitor | | |
| | | <i>Varanus flavescens</i> | Yellow Monitor | | |
| | | <i>Varanus griseus</i> | Desert Monitor | | |
| | | <i>Varanus komodoensis</i> | Komodo Dragon | | |
| | | <i>Varanus nebulosus</i> | Bengal Monitor | | |
| | | <i>Shinisaurus crocodilurus</i> | Chinese Crocodile Lizard | | |
| | Xenosauridae—Chinese crocodile lizard | Boidae—Boas | <i>Acrantophis</i> spp | Madagascar Boas | |
| | | | <i>Boa constrictor occidentalis</i> | Argentine Boa Constrictor | |
| | | | <i>Epicrates inornatus</i> | Puerto Rican Boa | |
| | | | <i>Epicrates monensis</i> | Mona Island Boa, Virgin Islands Tree Boa | |
| | | | <i>Epicrates subflavus</i> | Jamaican Boa, Yellow Snake | |
| | | | <i>Sanzinia madagascariensis</i> | Madagascar Tree Boa | |
| | | | <i>Bolyeria multocarinata</i> | Round Island Burrowing Boa | |
| | | | <i>Casarea dussumieri</i> | Round Island Keel-scaled Boa | |
| Pythonidae—Pythons | <i>Python molurus molurus</i> | Indian Python, Rock Python | | | |
| | <i>Vipera ursinii</i> | Orsini's Viper | Only population of Europe, except area that formerly constituted USSR (populations in that area, and all other areas, are not included in the schedules) | | |
| Testudines | Chelidae—Austro-American sideneck turtles | <i>Pseudemys dura umbrina</i> | Western Swamp Turtle | | |
| | | Cheloniidae spp | Sea Turtles | | |
| | Dermochelyidae—Leatherback turtles | | Leatherback Turtle | | |

| Order | Family | Genus, species, or subspecies | Common name | Exceptions/limitations |
|-------|---|---|--|------------------------|
| | Emydidae—Box turtles, freshwater turtles | <i>Glyptemys muhlenbergii</i> | Muhlenberg's Turtle, Bog Turtle | |
| | Geoemydidae—Box turtles, freshwater turtles | <i>Terrapene coahuila</i> | Aquatic Box Turtle | |
| | | <i>Batagur affinis</i> <i>Batagur baska</i> | Southern River Terrapin Batagur, Four-toed Terrapin, River Terrapin | |
| | | <i>Geoclemys hamiltonii</i> <i>Melanocheilus tricarinata</i> | Black Pond Turtle Three-keeled Land Tortoise | |
| | | <i>Morenia ocellata</i> <i>Pangshura tecta</i> | Bengal Eyed Terrapin Indian Sawback Turtle, Dura Turtle, Indian Pond Turtle | |
| | Platysternidae—Big-headed turtles | Platysternidae spp | Big-headed Turtle | |
| | Testudinidae—Tortoises | <i>Astrochelys radiata</i> | Radiated Tortoise | |
| | | <i>Astrochelys yniphora</i> | Madagascar Tortoise | |
| | | <i>Chelonoidis niger</i> | Galapagos Giant Tortoise | |
| | | <i>Geochelone platynota</i> | Burmese Star Tortoise | |
| | | <i>Gopherus flavomarginatus</i> | Yellow-bordered Tortoise | |
| | | <i>Psammobates geometricus</i> | Geometric Tortoise | |
| | | <i>Pyxis arachnoides</i> <i>Pyxis planicauda</i> | Spider Tortoise Flat-backed Spider Tortoise | |
| | Trionychidae—Softshell turtles | <i>Testudo kleinmanni</i> <i>Apalone spinifer astra</i> | Egyptian Tortoise Cuatro Cienegas Softshell Turtle | |
| | | <i>Chitra chitra</i> | Southeast Asian Narrow-headed Softshell Turtle | |
| | | <i>Chitra vandijiki</i> | Burmese Narrow-headed Softshell Turtle | |
| | | <i>Nilssonina gangetica</i> | Indian Softshell Turtle | |

| Order | Family | Genus, species, or subspecies | Common name | Exceptions/limitations | |
|--------------------------------------|-------------------------------------|------------------------------------|------------------------------------|------------------------|-----------------------|
| 56 | | <i>Nilssonia hurum</i> | Indian Peacock Softshell Turtle | | |
| | | <i>Nilssonia nigricans</i> | Dark Softshell Turtle | | |
| Class—Amphibia (amphibians) | | | | | |
| Anura | Bufonidae—Toads | <i>Amietophrynus channingi</i> | Channing's Toad | Exceptions/limitations | |
| | | <i>Amietophrynus superciliosus</i> | Cameroon Toad, Zaire Toad | | |
| | | <i>Altiphrynoides</i> spp | Ethiopian Toads | | |
| | | <i>Atelopus zeteki</i> | Golden Arrow Poison Frog | | |
| | | <i>Inciilius periglenes</i> | Golden Toad | | |
| | | <i>Nectophrynoides</i> spp | Viviparous Toads | | |
| | | <i>Nimbaphrynoides</i> spp | Nimba Toads | | |
| | | <i>Telmatobius cutuleus</i> | Titicaca Water Frog | | |
| | | | <i>Andrias</i> spp | | Giant Salamanders |
| | | | <i>Neureergus kaiseri</i> | | Kaiser's Spotted Newt |
| | | | | | |
| | | Caudata | Cryptobranchidae—Giant salamanders | | |
| | | | | | |
| | Salamandridae—Newts and salamanders | | | | |
| Class—Elasmobranchii (sharks) | | | | | |
| Pristiformes | Pristidae—Sawfishes | | Common name | Exceptions/limitations | |
| | | | Sawfishes | | |
| Class—Actinopteri (fishes) | | | | | |
| Acipenseriformes | Acipenseridae—Sturgeons | | Common name | Exceptions/limitations | |
| | | | Shortnose Sturgeon | | |
| Cypriniformes | Catostomidae—Cui-ui | | Common Sturgeon | Exceptions/limitations | |
| | | | Cui-ui | | |

| Order | Family | Genus, species, or subspecies | Common name | Exceptions/limitations |
|---|--|--------------------------------|----------------------------------|------------------------|
| Osteoglossiformes | Cyprinidae—Carp | <i>Probarbus jullieni</i> | Ikan Temoleh | |
| | Osteoglossidae—Bonytongue | <i>Scleropages formosus</i> | Asian Arowana | |
| | | <i>Scleropages inscriptus</i> | Myanmar Arowana | |
| Perciformes | Sciaenidae—Totoaba | <i>Totoaba macdonaldi</i> | Macdonald's Weakfish, Totoaba | |
| Siluriformes | Pangasiidae—Pangasid catfish | <i>Pangasianodon gigas</i> | Giant Catfish | |
| Class—Coelacanthi (coelacanths) | | | | |
| Order | Family | Genus, species, or subspecies | Common name | Exceptions/limitations |
| Coelacanthiformes | Latimeriidae—Coelacanths | <i>Latimeria</i> spp | Coelacanths | |
| <i>Phylum—Arthropoda</i> | | | | |
| Class—Insecta (insects) | | | | |
| Order | Family | Genus, species, or subspecies | Common name | Exceptions/limitations |
| Lepidoptera | Papilionidae—Birdwing butterflies, swallowtail butterflies | <i>Ornithoptera alexandrae</i> | Queen Alexandra's Birdwing | |
| | | <i>Papilio chikae</i> | Luzon Peacock Swallowtail | |
| | | <i>Papilio homerus</i> | Homerus Swallowtail | |
| <i>Phylum—Mollusca</i> | | | | |
| Class—Bivalvia (clams and mussels) | | | | |
| Order | Family | Genus, species, or subspecies | Common name | Exceptions/limitations |
| Unionoida | Unionidae—Freshwater mussels, pearly mussels | <i>Conradilla caelata</i> | Birdwing Pearl Mussel | |
| | | <i>Dromus dromas</i> | Dromedary Pearly Mussel | |
| | | <i>Epioblasma curtisi</i> | Curtis Pearly Mussel | |
| | | <i>Epioblasma florentina</i> | Yellow Blossom Pearly Mussel | |

| Order | Family | Genus, species, or subspecies | Common name | Exceptions/limitations |
|-------|--------|---|--|------------------------|
| | | <i>Epioblasma sampsonii</i> | Sampson's Pearly Mussel | |
| | | <i>Epioblasma sulcata perobliqua</i> | White Catpaw Mussel | |
| | | <i>Epioblasma torulosa gubernaculum</i> | Green-blossom Pearly Mussel | |
| | | <i>Epioblasma torulosa torulosa</i> | Tuberculed-blossom Pearly Mussel | |
| | | <i>Epioblasma turgidula</i> | Turgid Blossom Pearly Mussel | |
| | | <i>Epioblasma walkeri</i> | Brown Blossom Pearly Mussel | |
| | | <i>Fusconaia cuneolus</i> | Fine-rayed Pigtoe Pearly Mussel | |
| | | <i>Fusconaia edgariana</i> | Shiny Pigtoe Pearly Mussel | |
| | | <i>Lampsilis higginsii</i> | Higgin's Eye Pearly Mussel | |
| | | <i>Lampsilis orbiculata orbiculata</i> | Pink Mucket Pearly Mussel | |
| | | <i>Lampsilis satur</i> | Plain Pocketbook Pearly Mussel | |
| | | <i>Lampsilis virescens</i> | Alabama Lamp Pearly Mussel | |
| | | <i>Plethobasus cicatricosus</i> | White Warty-back Pearly Mussel | |
| | | <i>Plethobasus cooperianus</i> | Orange-footed Pimpleback Mussel, Cumberland Pigtoe Pearly Mussel | |
| | | <i>Pleurobema plenum</i> | Rough Pigtoe Pearly Mussel | |
| | | <i>Potamilus capax</i> | Fat Pocketbook Pearly Mussel | |
| | | <i>Quadrula intermedia</i> | Cumberland Monkey-face Pearly Mussel | |

| Order | Family | Genus, species, or subspecies | Common name | Exceptions/limitations |
|-------|--------|---------------------------------------|---------------------------------------|------------------------|
| | | <i>Quadrula sparsa</i> | Appalachian Monkey-face Pearly Mussel | |
| | | <i>Toxolasma cylindrella</i> | Pale Lilliput Pearly Mussel | |
| | | <i>Unio nickliniana</i> | Nicklin's Pearly Mussel | |
| | | <i>Unio tampicoensis tecomatensis</i> | Tampico Pearly Mussel | |
| | | <i>Villosa trabalis</i> | Cumberland Bean Pearly Mussel | |

Class—Gastropoda (snails and conches)

| Order | Family | Genus, species, or subspecies | Common name | Exceptions/limitations |
|-----------------|---|-------------------------------|---------------------------|------------------------|
| Stylommatophora | Achatinellidae—Agate snails, Oahu tree snails | <i>Achatinella</i> spp | Agate Shells, Tree Snails | |
| | Cepolidae—Helicoid terrestrial snails | <i>Polymita</i> spp | Cuban land snails | |

**Part 2
Flora (plants)**

| Family | Genus, species, or subspecies | Common name | Exceptions/limitations |
|--------------------------------------|--|---|------------------------|
| Agavaceae—Agaves | <i>Agave parviflora</i> | Santa Cruz Striped Agave | |
| Apocynaceae—Elephant trunks, hoodias | <i>Pachypodium ambongense</i> <i>Pachypodium baronii</i> <i>Pachypodium decaryi</i> | | |
| Araucariaceae—Monkey-puzzle trees | <i>Araucaria araucana</i> | Monkey-puzzle Tree | |
| Cactaceae—Cacti | <i>Ariocarpus</i> spp <i>Astrophytum asterias</i> <i>Aztekium ritteri</i> <i>Coryphantha werdermannii</i> <i>Discocactus</i> spp | Living Rock Cactus Star Cactus Aztec Cactus Jabali Pincushion Cactus Discocacti | |

| Family | Genus, species, or subspecies | Common name | Exceptions/limitations |
|--------|--|---|------------------------|
| | <i>Echinocereus ferreirianus</i> ssp <i>lindsayi</i> | Lindsay's Cactus | |
| | <i>Echinocereus schmollii</i> | Lamb's-tail Cactus | |
| | <i>Escobaria minima</i> | Nellie's Cory Cactus | |
| | <i>Escobaria sneedii</i> | Lee Pincushion Cactus, Sneed's Cory Cactus | |
| | <i>Mammillaria pectinifera</i> (includes spp <i>solisoides</i>) | Conelike Turk's-cap Cactus | |
| | <i>Melocactus conoideus</i> | Wonderfully-bristled Turk's-cap Cactus | |
| | <i>Melocactus deinacanthus</i> | Woolly Waxy-stemmed Turk's-cap Cactus | |
| | <i>Melocactus glaucescens</i> | Few-spined Turk's-cap Cactus | |
| | <i>Melocactus paucispinus</i> | Artichoke Cactus | |
| | <i>Obregonia denegrii</i> | Grenadier's Cap, Teddy-bear Cactus | |
| | <i>Pachycereus militaris</i> | Brady's Pincushion Cactus | |
| | <i>Pediocactus bradyi</i> | Knowlton's Cactus | |
| | <i>Pediocactus knowltonii</i> | Park Pincushion Cactus, Houserock Valley Cactus | |
| | <i>Pediocactus paradinei</i> | Fickeisen Hedgehog Cactus | |
| | <i>Pediocactus peeblesianus</i> | Siler's Pincushion Cactus | |
| | <i>Pediocactus sileri</i> | Hatchet Cacti | |
| | <i>Pelecyphora</i> spp | Blaine's Pincushion | |
| | <i>Sclerocactus blainei</i> | Pariette Cactus | |
| | <i>Sclerocactus brevihamatus</i> ssp <i>tobuschii</i> | New Mexico Fishhook Cactus | |
| | <i>Sclerocactus brevispinus</i> | Acuna Cactus | |
| | <i>Sclerocactus cloverae</i> | Vinta Basin Hookless Cactus | |
| | <i>Sclerocactus erectocentrus</i> | Lloyd's Mariposa Cactus | |
| | <i>Sclerocactus glaucus</i> | | |
| | <i>Sclerocactus mariposensis</i> | | |

| Family | Genus, species, or subspecies | Common name | Exceptions/limitations |
|------------------------------------|-------------------------------------|--|--|
| | <i>Sclerocactus mesae-verdae</i> | Mesa-verde Cactus | |
| | <i>Sclerocactus nyensis</i> | Tonopah Fishhook Cactus | |
| | <i>Sclerocactus papyracanthus</i> | Paper-spine Pincushion Cactus | |
| | <i>Sclerocactus pubispinus</i> | | |
| | <i>Sclerocactus sileri</i> | Siler's Fishhook Cactus | |
| | <i>Sclerocactus wetlandicus</i> | Uñta Basin Hookless Cactus | |
| | <i>Sclerocactus wrightiae</i> | Wright's Fishhook Cactus | |
| | <i>Strombocactus</i> spp | | |
| | <i>Turbinicarpus</i> spp | Turbinicarps | |
| | <i>Uebelmannia</i> spp | | |
| | <i>Saussurea costus</i> | Costus Root | |
| Compositae (Asteraceae)— Kuth | | | |
| Cupressaceae— Alerce, cypresses | <i>Fitzroya cupressoides</i> | Alerce, Chilean False Larch | |
| | <i>Pilgerodendron uviferum</i> | Pilgerodendron, Cipres de las Guaitecas | |
| Cycadaceae—Cycads | <i>Cycas beddomei</i> | | |
| Euphorbiaceae— Spurges | <i>Euphorbia ambovombensis</i> | | |
| | <i>Euphorbia capsaintemariensis</i> | | |
| | <i>Euphorbia cremersii</i> | | |
| | <i>Euphorbia cylindrifolia</i> | | Includes <i>forma viridifolia</i> and var <i>rakotozafyi</i> |
| | | | Includes <i>Euphorbia cylindrifolia</i> ssp <i>tuberifera</i> |
| | | | Includes <i>Euphorbia decaryi</i> vars <i>ampanihyensis</i> , <i>robinsonii</i> , and <i>spirosticha</i> |
| | <i>Euphorbia decaryi</i> | | |
| | <i>Euphorbia francoisii</i> | | |
| | <i>Euphorbia moratii</i> | | |
| | <i>Euphorbia parvicyathophora</i> | | |
| | <i>Euphorbia quartziicola</i> | | |
| | <i>Euphorbia tulearensis</i> | | |
| Fouquieriaceae— Ocotillos | <i>Fouquieria fasciculata</i> | | Includes <i>Euphorbia moratii</i> vars <i>antsingiensis</i> , <i>bemarahensis</i> , and <i>multiflora</i> |

| Family | Genus, species, or subspecies | Common name | Exceptions/limitations |
|--|---|--|---|
| Leguminosae (Fabaceae)— Afrosmia, cristobal, palisander, rosewood, sandalwood | <i>Fouquieria purpusii</i> <i>Dalbergia nigra</i> | Brazilian Rosewood | |
| Liliaceae—Aloes | <i>Aloe albida</i> <i>Aloe albiflora</i> <i>Aloe alfredii</i> <i>Aloe bakeri</i> <i>Aloe bellatula</i> <i>Aloe calcaitrophila</i> <i>Aloe compressa</i> | | Includes <i>Aloe compressa</i> vars <i>paucituberculata</i> , <i>rugosquamosa</i> , and <i>schistophila</i> |
| | <i>Aloe delphinensis</i> <i>Aloe descoingsii</i> <i>Aloe fragilis</i> <i>Aloe haworthioides</i> <i>Aloe helenae</i> | | Includes <i>Aloe haworthioides</i> var <i>aurantiaca</i> |
| | <i>Aloe laeta</i> <i>Aloe parallelifolia</i> <i>Aloe parvula</i> | | Includes <i>Aloe laeta</i> var <i>manicaensis</i> |
| | <i>Aloe pillansii</i> <i>Aloe polyphylla</i> <i>Aloe rauhii</i> <i>Aloe suzannae</i> <i>Aloe versicolor</i> <i>Aloe vossii</i> | Spiral Aloe | |
| Nepenthaceae—Pitcher plants (Old World) | <i>Nepenthes khasiana</i> <i>Nepenthes rajah</i> | Indian Pitcher Plant Giant Tropical Pitcher Plant | |

| Family | Genus, species, or subspecies | Common name | Exceptions/limitations |
|---------------------|-------------------------------|--|---|
| Orchidaceae—Orchids | <i>Aerangis ellisii</i> | | Seedling or tissue cultures obtained <i>in vitro</i> , in solid or liquid media, transported in sterile containers are not subject to this Act, but only if specimens meet definition of artificially propagated agreed by the parties to the Convention acting together (Conference of the Parties) |
| | <i>Dendrobium cruentum</i> | | Seedling or tissue cultures obtained <i>in vitro</i> , in solid or liquid media, transported in sterile containers are not subject to this Act, but only if specimens meet definition of artificially propagated agreed by Conference of the Parties |
| | <i>Laelia jongheana</i> | | Seedling or tissue cultures obtained <i>in vitro</i> , in solid or liquid media, transported in sterile containers are not subject to this Act, but only if specimens meet definition of artificially propagated agreed by Conference of the Parties |
| | <i>Laelia lobata</i> | | Seedling or tissue cultures obtained <i>in vitro</i> , in solid or liquid media, transported in sterile containers are not subject to this Act, but only if specimens meet definition of artificially propagated agreed by Conference of the Parties |
| | <i>Paphiopedilum</i> spp | Slipper Orchids, Asian Slipper Orchids | Seedling or tissue cultures obtained <i>in vitro</i> , in solid or liquid media, transported in sterile containers are not subject to this Act, but only if specimens meet definition of artificially propagated agreed by Conference of the Parties |
| | <i>Peristeria elata</i> | Dove Orchid or Holy Ghost Orchid | Seedling or tissue cultures obtained <i>in vitro</i> , in solid or liquid media, transported in sterile containers are not subject to this Act, but only if specimens meet definition of artificially propagated agreed by Conference of the Parties |

| Family | Genus, species, or subspecies | Common name | Exceptions/limitations |
|--------|--|--|--|
| 64 | <i>Phragmipedium</i> spp | Slipper Orchids, South American Slipper Orchids | propagated agreed by Conference of the Parties Seedling or tissue cultures obtained <i>in vitro</i> , in solid or liquid media, transported in sterile containers are not subject to this Act, but only if specimens meet definition of artificially propagated agreed by Conference of the Parties |
| | <i>Renanthera imschootiana</i> | Red Vanda | Seedling or tissue cultures obtained <i>in vitro</i> , in solid or liquid media, transported in sterile containers are not subject to this Act, but only if specimens meet definition of artificially propagated agreed by Conference of the Parties |
| | <i>Dyopsis decipiens</i> | Manambe Palm | |
| | <i>Abies guatemalensis</i> <i>Podocarpus parlatarei</i> | Guatemala Fir or Pinabete Parlatore's Podocarp | |
| | <i>Balmea stormiae</i> <i>Sarracenia oreophila</i> <i>Sarracenia rubra</i> ssp <i>alabamensis</i> | Ayucue Green Pitcher Plant Alabama Canebrake Pitcher Plant | |
| | <i>Sarracenia rubra</i> ssp <i>jonesii</i> | Jones' Pitcher Plant, Mountain Sweet Pitcher Plant | |
| | <i>Stangeria eriopus</i> | Hottentot's Head | |
| | <i>Ceratozamia</i> spp <i>Encephalartos</i> spp <i>Microcycas calocoma</i> <i>Zamia restrepoi</i> | Bread Trees or Bread Palms Chigua | |
| | Palmae (Arecaceae) —Palms | | |
| | Pinaceae—Firs and pines | | |
| | Podocarpaceae— Podocarps | | |
| | Rubiaceae—Ayucue | | |
| | Sarraceniaceae— Pitcher plants (New World) | | |
| | Stangeriaceae— Stangerias | | |
| | Zamiaceae—Cycads | | |

Schedule 2 Species threatened by trade

s 3(1)

Schedule 2: replaced, on 24 February 2017, by clause 4 of the Trade in Endangered Species Order 2017 (LI 2017/22).

The classes, families, and species listed in this schedule are arranged in descending order by class, then in alphabetical order by order, family, and species. This order of arrangement is adopted in the Convention.

The entries in the column headed “**Exceptions/limitations**” have the effect of—

- (a) excluding nominated populations in the listed species; or
- (b) limiting the applications of the listed species to only those populations nominated; or
- (c) excluding certain species from a generic listing; or
- (d) excluding nominated varieties of specimens of the listed species; or
- (e) limiting the application of the listed species to only those categories of specimens nominated.

When a species is included in this schedule, all parts and derivatives of the species are also included in the schedule unless the species is annotated to indicate that only specific parts and derivatives are included. The symbol # followed by a number placed against the name of a species or higher taxon included in this schedule refers to a footnote that indicates the parts or derivatives of plants that are designated as specimens subject to this Act. The footnotes are at the end of this schedule.

Part 1
Fauna (animals)

Phylum—Chordata

Class—Mammalia (mammals)

| Order | Family | Genus, species, or subspecies | Common name | Exceptions/limitations |
|--------------|---|-------------------------------------|------------------------------------|---|
| Artiodactyla | Bovidae—Antelopes, cattle, duikers, gazelles, goats, sheep, etc | <i>Ammotragus lervia</i> | Barbary Sheep | |
| | | <i>Budorcas taxicolor</i> | Takin | |
| | | <i>Capra caucasica</i> | West Caucasian Tur, Western Tur | |
| | | <i>Cephalophus brookei</i> | Brook's Duiker | |
| | | <i>Cephalophus dorsalis</i> | Bay Duiker | |
| | | <i>Cephalophus ogilbyi</i> | Ogilby's Duiker | |
| | | <i>Cephalophus sylvicultor</i> | Yellow-backed Duiker | |
| | | <i>Cephalophus zebra</i> | Banded Duiker | |
| | | <i>Damaliscus pygargus pygargus</i> | Bontebok | |
| | | <i>Kobus leche</i> | Lechwe | |
| | | <i>Ovis ammon</i> | Argali | Except subspecies included in Schedule 1 |
| | | <i>Ovis aries</i> | Urial, Red Sheep | Except the subspecies included in Schedule 1, the subspecies <i>O. a. isphahanica</i> , <i>O. a. laristanica</i> , <i>O. a. musimon</i> and <i>O. a. orientalis</i> , which are not included in the schedules, and the domesticated form <i>Ovis aries aries</i> , which is not subject to this Act |
| | | | <i>Ovis canadensis</i> | Desert Bighorn |
| | <i>Philantomba monticola</i> | Blue Duiker | | |
| | <i>Rupicapra pyrenaica ornata</i> | Abruzzo Chamois | | |
| | <i>Saiga borealis</i> | Mongolian Saiga | | |
| | <i>Saiga tatarica</i> | Saiga Antelope | | |

| Order | Family | Genus, species, or subspecies | Common name | Exceptions/limitations |
|-----------|---|--|------------------------------------|---|
| | Camelidae—Camels, guanacos, vicunas | <i>Lama guanicoe</i> <i>Vicugna vicugna</i> | Guanaco Vicuña | Only populations of Argentina (populations of provinces of Jujuy and Catamarca and semi-captive populations of provinces of Jujuy, Salta, Catamarca, La Rioja, and San Juan), Chile (population of Primera Región), Ecuador (whole population), Peru (whole population), and Plurinational State of Bolivia (whole population). All other populations are included in Schedule 1. Consult Department of Conservation about labelling conditions |
| | Cervidae—Deer, huemuls, muntjacs, pudus | <i>Cervus elaphus bactrianus</i> <i>Pudu mephistophilus</i> | Bactrian Wapiti Pudu | |
| | Hippopotamidae—Hippopotamuses | <i>Hexaprotodon liberiensis</i> <i>Hippopotamus amphibius</i> | Pygmy Hippopotamus Hippopotamus | |
| | Moschidae—Musk deer | <i>Moschus</i> spp | Musk Deer | Except populations of Afghanistan, Bhutan, India, Myanmar, Nepal, and Pakistan, which are included in Schedule 1 |
| | Tayassuidae—Peccaries | Tayassuidae spp | Peccaries | Except species included in Schedule 1 and populations of <i>Pecari tajacu</i> of Mexico and United States of America, which are not included in the schedules |
| Carnivora | Canidae—Dogs, foxes, wolves | <i>Canis lupus</i> | Grey Wolf | Except populations of Bhutan, India, Nepal, and Pakistan, which are included in Schedule 1. Excludes domesticated form and dingo, which are referenced as <i>Canis lupus familiaris</i> and <i>Canis lupus dingo</i> , which are not subject to this Act |
| | | <i>Cerdocyon thous</i> | Crab-eating Fox | |
| | | <i>Chrysocyon brachyurus</i> | Maned Wolf | |
| | | <i>Cuon alpinus</i> | Asiatic Wild Dog | |
| | | <i>Lycalopex culpaeus</i> | Culpeo Fox | |
| | | <i>Lycalopex fulvipes</i> | Darwin's Fox | |
| | | <i>Lycalopex griseus</i> | Argentine Grey Fox | |
| | | <i>Lycalopex gymnocercus</i> | Azara's Fox | |

| Order | Family | Genus, species, or subspecies | Common name | Exceptions/limitations |
|-------------------------------------|--|-------------------------------|---|---|
| | | <i>Vulpes cana</i> | Blanford's Fox | |
| | | <i>Vulpes zerda</i> | Fennec Fox | |
| | Eupleridae—Fossa, falanouc, Malagasy civets | <i>Cryptoprocta ferax</i> | Fossa | |
| | | <i>Eupleres goudotii</i> | Falanouc | |
| | | <i>Fossa fossana</i> | Malagasy Civet | |
| | Felidae—Cats | Felidae spp | All cat species | Except species included in Schedule 1. Specimens of domesticated form are not subject to this Act. For <i>Panthera leo</i> (African populations), there is a zero annual export quota for specimens of bones, bone pieces, bone products, claws, skeletons, skulls, and teeth removed from the wild and traded for commercial purposes. Consult Department of Conservation about export quotas for specimens derived from captive breeding operations in South Africa |
| | Mephitidae—Skunks | <i>Conepatus humboldtii</i> | Humboldt's and Patagonian Hog-nosed Skunk | |
| | Lutrinae—Otters | Lutrinae spp | Otters | Except species included in Schedule 1 |
| | Otariidae—Fur seals, sea lions | <i>Arctocephalus</i> spp | Fur Seals | Except species included in Schedule 1 |
| | Phocidae—Seals | <i>Mirounga leonina</i> | Southern Elephant Seal | |
| | Ursidae—Bears, giant pandas | Ursidae spp | Bears | Except species included in Schedule 1 |
| | Viverridae—Binturong, civets, linsangs, otter-civet, palm civets | <i>Cynogale bennettii</i> | Otter-civet | |
| | | <i>Hemigalus derbyanus</i> | Banded Palm Civet | |
| | | <i>Prionodon linsang</i> | Banded Linsang | |
| | | Cetacea spp | Whales, Dolphins, Porpoises | Except species included in Schedule 1. Zero annual export quota for live specimens from Black Sea population of <i>Tursiops truncatus</i> removed from the wild and traded for primarily commercial purposes |
| Cetacea—Dolphins, porpoises, whales | | | | Except species included in Schedule 1 |
| Chiroptera | Pteropodidae—Fruit bats, flying foxes | <i>Acerodon</i> spp | Flying Foxes | |

| Order | Family | Genus, species, or subspecies | Common name | Exceptions/limitations |
|----------------|--|--|---|--|
| Cingulata | Dasypodidae— Armadillos | <i>Chaetophractus nationi</i> | Flying Foxes Andean Hairy Armadillo | Except <i>Pteropus brunneus</i> and species included in Schedule 1 Zero annual export quota. All specimens are deemed to be specimens of species included in Schedule 1, and trade in them is regulated accordingly |
| Dipterodonta | Macropodidae— Kangaroos, wallabies | <i>Dendrolagus inustus</i> | Grizzled Grey Tree Kangaroo | |
| | | <i>Dendrolagus ursinus</i> | Black Tree Kangaroo | |
| | Phalangeridae— Cuscuses | <i>Phalanger intercastellanus</i> | Eastern Common Cuscus | |
| | | <i>Phalanger mimicus</i> | Southern Common Cuscus | |
| | | <i>Phalanger orientalis</i> | Grey Cuscus | |
| | | <i>Spilogiscus kraemeri</i> | Admiralty Island Cuscus | |
| | | <i>Spilogiscus maculatus</i> | Common Spotted Cuscus | |
| | | <i>Spilogiscus papuensis</i> | Black Spotted Cuscus, Waigeo Cuscus | |
| Monotremata | Tachyglossidae— Echidnas, spiny anteaters | <i>Zaglossus</i> spp | New Guinea Long- nosed Echidnas | |
| Perissodactyla | Equidae—Horses, wild asses, zebras | <i>Equus hemionus</i> <i>Equus kiang</i> <i>Equus zebra hartmannae</i> | Asiatic Wild Ass Kiang Hartmann's Mountain Zebra | Except subspecies included in Schedule 1 |
| | Rhinocerotidae— Rhinoceroses | <i>Equus zebra zebra</i> <i>Ceratotherium simum simum</i> | Cape Mountain Zebra White Rhinoceros | Only populations of South Africa and Swaziland. All other populations are included in Schedule 1. For exclusive purpose of allowing international trade in live animals to appropriate and acceptable destinations and hunting trophies. All other specimens are deemed to be specimens of species included in Schedule 1 and trade in them is regulated accordingly |

| Order | Family | Genus, species, or subspecies | Common name | Exceptions/limitations |
|---------------------------|------------------------------------|--------------------------------|---------------------------|---|
| Pholidota Pilosa | Tapiridae—Tapirs | <i>Tapirus terrestris</i> | Brazilian Tapir | Except species included in Schedule 1 |
| | Manidae—Pangolins | <i>Manis</i> spp | Pangolins | |
| Primates—Apes, monkeys | Bradyrodidae—Three-toed sloths | <i>Bradypus pygmaeus</i> | Pygmy Three-toed Sloth | Except species included in Schedule 1 |
| | Myrmecophagidae—American anteaters | <i>Bradypus variegatus</i> | Bolivian Three-toed Sloth | |
| Proboscidea | | <i>Myrmecophaga tridactyla</i> | Giant Anteater | Except species included in Schedule 1 |
| | | Primates spp | All Monkeys, Lemurs, etc | |
| | Elephantidae—Elephants | <i>Loxodonta africana</i> | African Elephant | Only populations of Botswana, Namibia, South Africa, and Zimbabwe (all other populations are included in Schedule 1), for the exclusive purpose of allowing— |
| | | | | (a) trade in hunting trophies for non-commercial purposes: |
| | | | | (b) trade in live animals to appropriate and acceptable destinations, as defined in Resolution Conf. 11.20 (Rev. CoP17), for Botswana and Zimbabwe and for <i>in situ</i> conservation programmes for Namibia and South Africa: |
| | | | | (c) trade in hides: |
| | | | | (d) trade in hair: |
| | | | | (e) trade in leather goods for commercial or non-commercial purposes for Botswana, Namibia, and South Africa and for non-commercial purposes for Zimbabwe: |
| | | | | (f) trade in individually marked and certified ekipas incorporated in finished jewellery for non-commercial purposes for Namibia, and ivory carvings for non-commercial purposes for Zimbabwe: |
| | | | | (g) trade in registered raw ivory (for Botswana, Namibia, South Africa, and Zimbabwe, whole |

| Order | Family | Genus, species, or subspecies | Common name | Exceptions/limitations |
|------------------------|--|-------------------------------|-----------------|---|
| Rodentia | Sciuridae—Ground squirrels, tree squirrels | <i>Ratufa</i> spp | Giant Squirrels | tusks and pieces) subject to the certain conditions (consult Department of Conservation for details) |
| Scandentia—Tree shrews | | Scandentia spp | Tree Shrews | No further proposals to allow trade in elephant ivory from populations included in Schedule 2 may be submitted for a specified period of time (consult Department of Conservation for details) On a proposal from the Secretariat, the Standing Committee can decide to cause this trade to cease partially or completely in the event of non-compliance by exporting or importing countries, or in the case of proven detrimental impacts of the trade on other elephant populations. All other specimens are deemed to be specimens of species included in Schedule 1 and the trade in them is regulated accordingly |

Class—Aves (birds)

| Order | Family | Genus, species, or subspecies | Common name | Exceptions/limitations |
|--------------|-----------------------------------|--|--|---------------------------------------|
| Anseriformes | Anatidae—Ducks, geese, swans, etc | <i>Anas bernieri</i> <i>Anas formosa</i> <i>Branita ruficollis</i> <i>Coscoroba coscoroba</i> <i>Cygnus melancoryphus</i> <i>Dendrocygna arborea</i> <i>Oxyura leucocephala</i> <i>Sarkidiornis melanotos</i> | Madagascar Teal Baikal Teal Red-breasted Goose Coscoroba Swan Black-necked Swan Cuban Whistling Duck White-headed Duck Comb Duck, Knob-billed Goose | |
| Apodiformes | Trochilidae—Hummingbirds | Trochilidae spp | Hummingbirds | Except species included in Schedule 1 |

| Order | Family | Genus, species, or subspecies | Common name | Exceptions/limitations | |
|---|---|---|---|---|---------------------------------------|
| Ciconiiformes | Balaenicipitidae—Shoebills, whale-headed storks | <i>Balaeniceps rex</i> | Shoebill, Whale-headed Stork | | |
| | | <i>Ciconia nigra</i> | Black Stork | | |
| | Phoenicopteridae— Flamingos | Phoenicopteridae spp | Flamingos | | |
| | | <i>Eudocimus ruber</i> | Scarlet Ibis | | |
| | Threskiornithidae—Ibises, spoonbills | <i>Geronticus calvus</i> | Bald Ibis | | |
| | | <i>Platalea leucorodia</i> | Eurasian Spoonbill, White Spoonbill | | |
| | | <i>Gallinula luzonica</i> <i>Goura</i> spp | Bleeding Heart Pigeon Crowned or Goura Pigeons | | |
| | Columbiformes | Columbidae—Doves, pigeons | | | |
| | | | | | |
| | Coraciiformes | Bucerotidae—Hornbills | <i>Aceros</i> spp | Hornbills | Except species included in Schedule 1 |
| <i>Anorrhinus</i> spp | | | Hornbills | | |
| <i>Anthracoceros</i> spp | | | Hornbills | | |
| <i>Berenicornis</i> spp | | | Hornbills | | |
| <i>Buceros</i> spp | | | Hornbills | | |
| <i>Penelopides</i> spp | | | Hornbills | | |
| <i>Rhyticeros</i> spp | | | Hornbills | | |
| <i>Tauraco</i> spp | | | All species of Turaco | | |
| | | | | | |
| | | | | | |
| Cuculiformes | Musophagidae— Turacos | | | | |
| | | | | | |
| Falconiformes— Eagles, falcons, hawks, vultures | | Falconiformes spp | All birds of prey | Except <i>Caracara lutosa</i> and the species of the family Cathartidae, which are not included in the schedules, and the species included in Schedules 1 and 3 | |
| | | | | | |
| Galliformes | Phasianidae—Grouse, guinea fowl, partridges, peafowl, pheasants, tragopans | <i>Argusianus argus</i> | Great Argus | | |
| | | <i>Gallus sonneratii</i> | Grey Junglefowl | | |
| | | <i>Ithaginis cruentus</i> | Blood Pheasant | | |
| | | <i>Pavo muticus</i> | Green Peafowl | | |
| | | <i>Polyplectron bicalcaratum</i> | Grey Peacock Pheasant | | |

| Order | Family | Genus, species, or subspecies | Common name | Exceptions/limitations | |
|---------------|------------------------------------|--|--|---------------------------------------|--|
| Gruiformes | | <i>Polyplectron germaini</i> | Germain's Peacock Pheasant | | |
| | | <i>Polyplectron malacense</i> | Malay Peacock Pheasant | | |
| | | <i>Polyplectron schleiermacheri</i> | Bornean Peacock Pheasant | | |
| | | <i>Tympanuchus cupido atwateri</i> | Atwater's Prairie Chicken | | |
| Passeriformes | Gruidae—Cranes | Gruidae spp | Cranes | Except species included in Schedule 1 | |
| | Otididae—Bustards | Otididae spp | Bustards | Except species included in Schedule 1 | |
| | Cotingidae—Cotingas | <i>Rupicola</i> spp | Cocks-of-the-rock | | |
| | Emberizidae—Cardinals, tanagers | <i>Gubernatrix cristata</i> | Yellow Cardinal | | |
| | | <i>Paroaria capitata</i> | Yellow-billed Cardinal | | |
| | Estrilidae—Mannikins, waxbills | <i>Paroaria coronata</i> | Red-crested Cardinal | | |
| | | <i>Tangara fastuosa</i> | Seven-coloured Tanager | | |
| | Fringillidae—Finches | <i>Amandava formosa</i> | Green Munia | | |
| | | <i>Lonchura oryzivora</i> | Java Sparrow | | |
| | Meliphagidae—Honeyeaters | <i>Poephila cincta cincta</i> | Black-throated Finch | | |
| | | <i>Carduelis yarrellii</i> | Yellow-faced Siskin | | |
| | Muscicapidae—Old World flycatchers | <i>Lichenostomus melanops cassidix</i> | Helmeted Honeyeaters | | |
| | | <i>Cyornis ruckii</i> | Rueck's Blue Flycatcher, Rueck's Niltava | | |
| | Paradisaeidae—Birds of paradise | | <i>Garrulax canorus</i> | Hwamei, Melodious Laughing Thrush | |
| | | | <i>Garrulax taewanus</i> | Taiwan Hwamei | |
| | | | <i>Leiothrix argenteauris</i> | Silver-eared Mesia | |
| | | | <i>Leiothrix lutea</i> | Pekin Robin | |
| | | | <i>Liocichla omeiensis</i> | Mount Omei Liocichla | |
| | | | Paradisaeidae spp | Birds of Paradise | |

| Order | Family | Genus, species, or subspecies | Common name | Exceptions/limitations | |
|--|---------------------------------------|------------------------------------|---|--|--|
| Piciformes | Pittidae—Pittas | <i>Pitta guajana</i> | Blue-tailed Pitta | | |
| | | <i>Pitta nympha</i> | Fairy Pitta | | |
| | | <i>Pycnonotus zeylanicus</i> | Straw-crowned Bulbul | | |
| | Sturnidae—Mynas, starlings | Ramphastidae—Toucans | <i>Gracula religiosa</i> | Hill Myna | |
| | | | <i>Pteroglossus aracari</i> | Black-necked Aracari | |
| | | | <i>Pteroglossus viridis</i> | Green Aracari | |
| | | | <i>Ramphastos sulfuratus</i> | Keel-billed Toucan | |
| | | | <i>Ramphastos toco</i> | Toco Toucan | |
| | | | <i>Ramphastos tucanus</i> | Red-billed Toucan | |
| | | | <i>Ramphastos vitellinus</i> | Channel-billed Toucan | |
| Psittaciformes | | Psittaciformes spp | All parrots and allies | Except species included in Schedule 1, and <i>Agapornis roseicollis</i> (Peach-faced or Rosy-faced Lovebird), <i>Melopsittacus undulatus</i> (Budgerigar), <i>Nymphicus hollandicus</i> (Cockatiel), and <i>Psittacula krameri</i> (Ring-necked Parakeet), which are not included in the schedules | |
| Rheiformes | Rheidae—Rheas | <i>Pterocnemia pennata pennata</i> | Southern Lesser Rhea | | |
| | | <i>Rhea americana</i> | Greater Rhea | | |
| | | <i>Spheniscia demersus</i> | Jackass Penguin | | |
| | | Strigiformes spp | Owls | Except <i>Sceloglaux albifacies</i> and species included in Schedule 1 | |
| Sphenisciformes | Spheniscidae—Penguins | | | | |
| | | | | | |
| | | | | | |
| Strigiformes—Owls | | | | | |
| | | | | | |
| Class—Reptilia (reptiles) | | | | | |
| Order | Family | Genus, species, or subspecies | Common name | Exceptions/limitations | |
| | | | | | |
| Crocodylia—Alligators, caimans, crocodiles | | Crocodylia spp | Crocodiles, Alligators, Caimans, Gharials | Except species included in Schedule 1 | |
| | | | | | |
| Sauria | Agamidae—Spiny-tailed lizards, agamas | <i>Saara</i> spp | Spiny-tailed Lizards | | |
| | | <i>Uromastix</i> spp | Spiny-tailed Lizards | | |

| Order | Family | Genus, species, or subspecies | Common name | Exceptions/limitations |
|-------|---------------------------------|---|---|--|
| | Anguidae—Alligator lizards | <i>Abronia</i> spp | Alligator Lizards | Except the species included in Schedule 1 (zero export quota for wild specimens for <i>Abronia aurita</i> , <i>A. gaiophantasma</i> , <i>A. montecristoi</i> , <i>A. salvadorensis</i> and <i>A. vasconcelosii</i>) |
| | Chamaeleonidae—Chameleons | <i>Archaius</i> spp <i>Brachypodion</i> spp <i>Brookesia</i> spp <i>Calumma</i> spp <i>Chamaeleo</i> spp <i>Furcifer</i> spp <i>Kinyongia</i> spp <i>Nadzikambia</i> spp <i>Palleon</i> spp <i>Rhampholeon</i> spp <i>Rieppeleon</i> spp <i>Trioceros</i> spp <i>Cordylus</i> spp | Seychelles Tiger Chameleon Dwarf Chameleons Leaf Chameleons Chameleons Chameleons Chameleons Chameleons Chameleons Chameleons Chameleons Chameleons Chameleons Chameleons Chameleons Crag Lizards, Girdled Lizards, Spiny-tailed Lizards False Girdled Lizards Girdled Lizards Girdled Lizards Girdled Lizards Girdled Lizards Crag Lizards Girdled Lizards Serpent Island Gecko New Zealand Tree Geckos | Except species included in Schedule 1 |
| | Cordylidae—Spiny-tailed lizards | <i>Hemicordylus</i> spp <i>Karusaurus</i> spp <i>Namazonurus</i> spp <i>Ninurta</i> spp <i>Ouroborus</i> spp <i>Pseudocordylus</i> spp <i>Smaug</i> spp | | |
| | Gekkonidae—Geckos | <i>Nactus serpensinsula</i> <i>Nautilinus</i> spp | | |

| Order | Family | Genus, species, or subspecies | Common name | Exceptions/limitations |
|-------|---|--------------------------------|---|--|
| | Helodermatidae—Beaded lizards, gila monsters Iguanidae—Iguanas | <i>Paroedura masobe</i> | Masobe Gecko | Except subspecies included in Schedule 1 |
| | | <i>Phelsuma</i> spp | Day Geckos | |
| | | <i>Rhoptropella</i> spp | Day Geckos | |
| | | <i>Uroplatus</i> spp | Leaf-tailed Geckos | |
| | | <i>Heloderma</i> spp | Poisonous Lizards | |
| | | <i>Amblyrhynchus cristatus</i> | Galapagos Marine Iguana | |
| | | <i>Conolophus</i> spp | Galapagos Land Iguanas | |
| | | <i>Ctenosaura bakeri</i> | Utila Spiny-tailed Iguana | |
| | | <i>Ctenosaura melanosterna</i> | Rio Aguá Spiny-tailed Iguana | |
| | | <i>Ctenosaura oedirhina</i> | Roatan Spiny-tailed Iguana | |
| | Lacertidae—Lizards | <i>Ctenosaura palearis</i> | Guatemalan Black Iguana, Spiny-tailed Iguana | Zero export quota for wild specimens for commercial purposes |
| | | <i>Iguana</i> spp | Iguanas | |
| | | <i>Phrynosoma blainvillii</i> | Blainville's Horned Lizard, San Diego Horned Lizard | |
| | | <i>Phrynosoma cerroense</i> | Cedros Island Horned Lizard | |
| | | <i>Phrynosoma coronatum</i> | Coast Horned Lizard | |
| | | <i>Phrynosoma wigginsi</i> | Gulf Coast Horned Lizard, Concepcion Horned Lizard | |
| | | <i>Podarcis lilfordi</i> | Lilford's Wall Lizard | |
| | | <i>Podarcis pitiusensis</i> | Ibiza Wall Lizard | |
| | | <i>Lanthanotidae</i> spp | Earless monitor lizards | |
| | | | Lanthanotidae—Earless monitor lizards | |
| | | | | |

| Order | Family | Genus, species, or subspecies | Common name | Exceptions/limitations |
|-----------|---|--|--|---------------------------------------|
| Serpentes | Scincidae—Skinks | <i>Corucia zebrata</i> | Prehensile-tailed Skink | |
| | Teiidae—Caiman lizards, tegu lizards | <i>Crocodilurus amazonicus</i> <i>Dracaena</i> spp <i>Salvator</i> spp <i>Tupinambis</i> spp | Crocodile Tegu Caiman lizards Tegus Tegus | |
| | Varanidae—Monitor lizards | <i>Varanus</i> spp | Monitors | Except species included in Schedule 1 |
| | Boidae—Boas | Boidae spp | All Boas, Pythons, Anacondas | Except species included in Schedule 1 |
| | Bolyeriidae—Round Island boas | Bolyeriidae spp | Round Island Boas | Except species included in Schedule 1 |
| | Colubridae—Typical snakes, water snakes, whipsnakes | <i>Clelia clelia</i> <i>Cyclagras gigas</i> <i>Elachistodon westermanni</i> <i>Ptyas mucosus</i> | Mussurana False Water Cobra Indian Egg-eating Snake Oriental Rat Snake | |
| | Elapidae—Cobras, coral snakes | <i>Hoplocephalus bungaroides</i> <i>Naja atra</i> <i>Naja kaouthia</i> <i>Naja mandalayensis</i> <i>Naja naja</i> <i>Naja oxiana</i> <i>Naja philippinensis</i> <i>Naja sagittifera</i> <i>Naja samarensis</i> <i>Naja siamensis</i> <i>Naja sputatrix</i> | Broad-headed Snake Chinese Cobra, Taiwan Cobra Monocled Cobra Burmese Spitting Cobra Asiatic Cobra Central Asian Cobra Northern Philippine Cobra Andaman Cobra Southeastern Philippine Cobra Indochinese Spitting Cobra Southern Indonesian Spitting Cobra | |

| Order | Family | Genus, species, or subspecies | Common name | Exceptions/limitations |
|------------|--|---|---|---|
| | | <i>Naja sumatrana</i> | Sumatran Cobra, Equatorial Spitting Cobra | |
| | | <i>Ophiophagus hannah</i> | King Cobra | |
| | Loxocemidae—Mexican dwarf boas | Loxocemidae spp | New World pythons | |
| | Pythonidae—Pythons | Pythonidae spp | True Pythons | Except subspecies included in Schedule 1 |
| | Tropidophiidae—Wood boas | Tropidophiidae spp | Wood Boas | |
| | Viperidae—Vipers | <i>Atheris desaixi</i> <i>Bitis worthingtoni</i> <i>Trimeresurus mangshanensis</i> <i>Vipera wagneri</i> | Ashe's Bush Viper Kenya Horned Viper Mangshan Pit Viper Iranian Viper | |
| Testudines | Carettochelyidae—Pig- nosed turtles | <i>Carettochelys insculpta</i> | Pig-nosed Turtle | |
| | Chelidae—Austro-American sidenecked turtles | <i>Chelodina mccordi</i> | Roti Snake-necked Turtle | Zero export quota for specimens from wild |
| | Dermatemydididae— Central American river turtles | <i>Dermatemys mawii</i> | Central American River Turtle | |
| | Emydidae—Box turtles, freshwater turtles | <i>Clemmys guttata</i> <i>Emydoidea blandingii</i> <i>Glyptemys insculpta</i> <i>Malaclemys terrapin</i> <i>Terrapene</i> spp | Spotted Turtle Blanding's Turtle Wood Turtle Diamondback Terrapin American Box Turtles | Except species included in Schedule 1 Zero quota for wild specimens for commercial purposes |
| | Geoemydidae—Box turtles, freshwater turtles | <i>Batagur borneoensis</i> <i>Batagur dhongoka</i> | Painted Batagur, Painted Terrapin, Saw-jawed Turtle, Three-striped Batagur Three-striped Roof Turtle | |

| Order | Family | Genus, species, or subspecies | Common name | Exceptions/limitations |
|-------|--------|-------------------------------|--|--|
| | | <i>Batagur kachuga</i> | Bengal Roof Turtle, Red-crowned Roofed Turtle, Sail Terrapin | |
| | | <i>Batagur trivittata</i> | Burmese Roofed Turtle | Zero quota for wild specimens for commercial purposes |
| | | <i>Cuora</i> spp | Asian Box Turtles | Zero quota for wild specimens for commercial purposes for <i>Cuora</i> <i>aurocapitata</i> , <i>C. bourreti</i> , <i>C.</i> <i>flavomarginata</i> , <i>C. galbinifrons</i> , <i>C. mccordi</i> , <i>C. mouhotii</i> , <i>C. pani</i> , <i>C. picturata</i> , <i>C.</i> <i>trifasciata</i> , <i>C. yunnanensis</i> , and <i>C. zhoui</i> |
| | | <i>Cyclemys</i> spp | Asian Leaf Turtles | |
| | | <i>Geoemyda japonica</i> | Ryukyu Black-breasted Leaf Turtle | |
| | | <i>Geoemyda spengleri</i> | Black-breasted Leaf Turtle | |
| | | <i>Hardella thurjii</i> | Brahminy River Turtle | |
| | | <i>Heosemys annandalii</i> | Yellow-headed Temple Turtle | Zero quota for wild specimens for commercial purposes |
| | | <i>Heosemys depressa</i> | Arakan Forest Turtle | Zero quota for wild specimens for commercial purposes |
| | | <i>Heosemys grandis</i> | Giant Asian Pond Turtle | |
| | | <i>Heosemys spinosa</i> | Spiny Terrapin, Spiny Turtle, Sunburst Turtle | |
| | | <i>Leucocephalon yuwonoi</i> | Sulawesi Forest Turtle | |
| | | <i>Malayemys macrocephala</i> | Malayan Snail-eating Turtle | |
| | | <i>Malayemys subtrijuga</i> | Malayan Snail-eating Turtle | |
| | | <i>Mauremys annamensis</i> | Annam Leaf Turtle, Annam Pond Turtle | Zero quota for wild specimens for commercial purposes |
| | | <i>Mauremys japonica</i> | Japanese Pond Turtle | |
| | | <i>Mauremys mutica</i> | Yellow Pond Turtle | |

| Order | Family | Genus, species, or subspecies | Common name | Exceptions/limitations |
|-------|---|--------------------------------------|---|---|
| | | <i>Mauremys nigricans</i> | Red-necked Pond turtle, Kwangchung River Turtle | |
| | | <i>Melanochelys trijuga</i> | Indian Black Turtle | |
| | | <i>Morenia petersi</i> | Indian Eyed Turtle | |
| | | <i>Notochelys platynota</i> | Malayan Flat-shelled Turtle | |
| | | <i>Orlitia borneensis</i> | Bornean River Turtle, Malaysian Giant Turtle | Zero quota for wild specimens for commercial purposes |
| | | <i>Pangshura</i> spp | Indian Roofed Turtles | Except species included in Schedule 1 |
| | | <i>Sacalia bealei</i> | Beal's Eyed Turtle, Eye- spotted Turtle | |
| | | <i>Sacalia quadriocellata</i> | Four-eyed Turtle | |
| | | <i>Siebenrockiella crassicaulis</i> | Black Marsh Turtle | |
| | | <i>Siebenrockiella leytensis</i> | Leyte Pond Turtle | |
| | | <i>Ijidayachelys sibaticca</i> | Cane Turtle, Kavalai Forest Turtle | |
| | Podocnemididae—Afro- American sideneck turtles | <i>Erymnochelys madagascariensis</i> | Madagascar Big-headed Side-neck Turtle | |
| | | <i>Peltocephalus dumerilianus</i> | Big-headed Amazon River Turtle | |
| | | <i>Podocnemis</i> spp | South American River Turtles | |
| | Testudinidae—Tortoises | Testudinidae spp | Tortoises | Except species included in Schedule 1. Zero annual export quota has been established for <i>Centrochelys sulcata</i> for specimens removed from the wild and traded for primarily commercial purposes |
| | Trionychidae—Softshell turtles | <i>Amyda cartilaginea</i> | South-East Asian Softshell Turtle | |
| | | <i>Chitra</i> spp | Narrow-headed Softshell Turtles | Except species included in Schedule 1 |

| Order | Family | Genus, species, or subspecies | Common name | Exceptions/limitations |
|------------------------------------|--------------------------------------|---------------------------------|--------------------------------|------------------------|
| | | <i>Cyclanorbis elegans</i> | Nubian Flapshell Turtle | |
| | | <i>Cyclanorbis senegalensis</i> | Senegal Flapshell Turtle | |
| | | <i>Cycloderma aubryi</i> | Aubry's Flapshell Turtle | |
| | | <i>Cycloderma frenatum</i> | Zambezi Flapshell Turtle | |
| | | <i>Dogania subplana</i> | Malayan Softshell Turtle | |
| | | <i>Lissemys ceylonensis</i> | Sri Lankan Flapshell Turtle | |
| | | <i>Lissemys punctata</i> | Indian Flapshell Turtle | |
| | | <i>Lissemys scutata</i> | Burmese Flapshell Turtle | |
| | | <i>Nilssonina formosa</i> | Burmese Peacock Softshell | |
| | | <i>Nilssonina leithii</i> | Leith's Softshell Turtle | |
| | | <i>Palea steindachneri</i> | Wattle-necked Softshell Turtle | |
| | | <i>Pelochelys</i> spp | Giant Softshell Turtles | |
| | | <i>Pelodiscus axenaria</i> | Chinese Softshell Turtle | |
| | | <i>Pelodiscus maackii</i> | Chinese Softshell Turtle | |
| | | <i>Pelodiscus parviformis</i> | Chinese Softshell Turtle | |
| | | <i>Rafetus euphraticus</i> | Euphrates Softshell Turtle | |
| | | <i>Rafetus swinhoei</i> | Yangtze Softshell Turtle | |
| | | <i>Trionyx triunguis</i> | Nile Softshell Turtle | |
| Class—Amphibia (amphibians) | | | | |
| Order | Family | Genus, species, or subspecies | Common name | Exceptions/limitations |
| Anura | Arrobatidae— Cryptic forest frogs | <i>Allobates femoralis</i> | Brilliant-thighed Poison Frog | |
| | | <i>Allobates hodli</i> | Poison Frog | |

| Order | Family | Genus, species, or subspecies | Common name | Exceptions/limitations |
|-------|--------------------------------|---------------------------------|--|------------------------|
| | | <i>Allobates myersi</i> | Myers's Poison Frog | |
| | | <i>Allobates zaparo</i> | Sanguine Poison Frog, Zaparo's Poison Frog | |
| | | <i>Anomaloglossus rufulus</i> | Chimantá Poison Frog, Gorzula's Poison Frog, Tepui Poison Frog | |
| | Dendrobatidae— Poison frogs | <i>Adelphobates</i> spp | Poison Dart Frogs | |
| | | <i>Ameeraga</i> spp | Poison Dart Frogs | |
| | | <i>Andinobates</i> spp | Poison Dart Frogs | |
| | | <i>Dendrobates</i> spp | Poison Dart Frogs | |
| | | <i>Epipedobates</i> spp | Poison Dart Frogs | |
| | | <i>Excidobates</i> spp | Poison Dart Frogs | |
| | | <i>Hyalobates azureiventris</i> | Sky-blue Poison Frog | |
| | | <i>Minyobates</i> spp | Poison Dart Frogs | |
| | | <i>Oophaga</i> spp | Poison Dart Frogs | |
| | | <i>Phyllobates</i> spp | Poison Dart Frogs | |
| | | <i>Ranitomeya</i> spp | Poison Dart Frogs | |
| | Dicroglossidae—Frogs | <i>Euphyctis hexadactylus</i> | Six-fingered Frog | |
| | | <i>Hoplobatrachus tigerinus</i> | Indian Bullfrog | |
| | Hylidae—Tree frogs | <i>Agalychnis</i> spp | Central American Tree Frogs | |
| | Mantellidae—Mantella frogs | <i>Mantella</i> spp | Mantellas | |
| | Microhylidae— Tomato frogs | <i>Dyscophus antongilii</i> | Tomato Frog | |
| | | <i>Dyscophus guineti</i> | False Tomato Frog | |
| | | <i>Dyscophus insularis</i> | Antsouhy Tomato Frog | |
| | | <i>Scaphiophryne boribory</i> | Burrowing Frog | |
| | | <i>Scaphiophryne gottlebei</i> | Rainbow Burrowing Frog | |
| | | <i>Scaphiophryne marmorata</i> | Green Burrowing Frog | |

| Order | Family | Genus, species, or subspecies | Common name | Exceptions/limitations |
|---|---|-------------------------------------|---------------------------|---|
| Caudata | Myobatrachidae— Gastric-brooding frogs | <i>Scaphiophyrne spinosa</i> | Burrowing Frog | Except <i>Rheobatrachus silus</i> and <i>Rheobatrachus vitellinus</i> , which are not included in the schedules |
| | | <i>Rheobatrachus</i> spp | Gastric-brooding Frogs | |
| | Ambystomatidae— Axolotls, mole salamanders | <i>Ambystoma dumerilii</i> | Lake Patzcuaro Salamander | |
| | | <i>Ambystoma mexicanum</i> | Axolotl | |
| Salamandridae— Newts and salamanders | | <i>Paramesotriton hongkongensis</i> | Hong Kong Warty Newt | |

| Class—Elasmobranchii (sharks) | | | | |
|-------------------------------|-------------------------------|---------------------------------|-------------------------------|------------------------|
| Order | Family | Genus, species, or subspecies | Common name | Exceptions/limitations |
| Carcharhiniformes | Carcharhinidae—Requiem sharks | <i>Carcharhinus falciformis</i> | Silky Shark | |
| | | <i>Carcharhinus longimanus</i> | Oceanic Whitetip Shark | |
| | Sphyrnidae—Hammerhead sharks | <i>Sphyrna lewini</i> | Scalloped Hammerhead | |
| | | <i>Sphyrna mokarran</i> | Great Hammerhead | |
| | | <i>Sphyrna zygaena</i> | Smooth Hammerhead | |
| | | <i>Alopias</i> spp | Thresher Sharks | |
| | Alopiidae—Thresher sharks | <i>Cetorhinus maximus</i> | Basking Shark | |
| | | Lamnidae—Mackerel sharks | <i>Carcharodon carcharias</i> | |
| | <i>Lamna nasus</i> | | Porbeagle | |

| Order | Family | Genus, species, or subspecies | Common name | Exceptions/limitations |
|--|--|--|--|---------------------------------------|
| Myliobatiformes | Myliobatidae—Eagle and mobulid rays | <i>Manita</i> spp | Manta Rays | |
| Orectolobiformes | Rhincodontidae—Whale sharks | <i>Mobula</i> spp <i>Rhincodon typus</i> | Devil Rays Whale Shark | |
| Class—Actinopteri (fishes) | | | | |
| Order | Family | Genus, species, or subspecies | Common name | Exceptions/limitations |
| Acipenseriformes | Anguillidae—Freshwater eels | Acipenseriformes spp <i>Anguilla anguilla</i> | Sturgeons European Eel | Except species included in Schedule 1 |
| Cypriniformes | Cyprinidae—Carp | <i>Caecobarbus geertsii</i> | African Blind Barb Fish | |
| Osteoglossiformes | Arapaimidae—Arapaimas | <i>Arapaima gigas</i> | Arapaima | |
| Perciformes | Labridae—Wrasses | <i>Cheilinus undulatus</i> | Humphead Wrasse | |
| | Pomacanthidae—Angelfishes | <i>Holacanthus clarionensis</i> | Clarion Angelfish | |
| Syngnathiformes | Syngnathidae—Pipefishes, seahorses | <i>Hippocampus</i> spp | Seahorses | |
| Class—Dipneusti (lungfishes) | | | | |
| Order | Family | Genus, species, or subspecies | Common name | Exceptions/limitations |
| Ceratodontiformes | Neoceratodontidae—Australian lungfishes | <i>Neoceratodus forsteri</i> | Australian Lungfish | |
| <i>Phylum—Arthropoda</i> | | | | |
| Class—Arachnida (scorpions and spiders) | | | | |
| Order | Family | Genus, species, or subspecies | Common name | Exceptions/limitations |
| Araneae | Theraphosidae—Red-kneed tarantulas, tarantulas | <i>Aphonopelma albiceps</i> <i>Aphonopelma pallidum</i> | Curly-hair Tarantula Mexican Grey Tarantula | |

| Order | Family | Genus, species, or subspecies | Common name | Exceptions/limitations |
|-------------------------------------|--|--|--|---------------------------------------|
| Scorpiones | Scorpionidae—Scorpions | <i>Brachypelma</i> spp <i>Pandinus dictator</i> <i>Pandinus gambiensis</i> <i>Pandinus imperator</i> <i>Pandinus roeseli</i> | Mexican and Central American Tarantulas Scorpion Giant Senegalese Scorpion Emperor Scorpion | |
| Class—Insecta (insects) | | | | |
| Order | Family | Genus, species, or subspecies | Common name | Exceptions/limitations |
| Coleoptera | Scarabaeidae—Scarab beetles | <i>Dynastes satanas</i> | Satanas Beetle | |
| Lepidoptera | Papilionidae—Birdwing butterflies, swallowtail butterflies | <i>Atrophaneura jophon</i> <i>Atrophaneura pandiyana</i> <i>Bhutanitis</i> spp <i>Ornithoptera</i> spp <i>Papilio hospiton</i> <i>Pamassius apollo</i> <i>Teinopalpus</i> spp <i>Trogonoptera</i> spp <i>Troides</i> spp | Sri Lankan Rose, Ceylon Rose Swallowtail Butterflies Birdwing Butterflies Corsican Swallowtail Apollo Butterfly Kaiserlinds Birdwing Butterflies Birdwing Butterflies | Except species included in Schedule 1 |
| Class—Hirudinoidea (leeches) | | | | |
| Order | Family | Genus, species, or subspecies | Common name | Exceptions/limitations |
| Arhynchobdellida | Hirudimidae—Medicinal leeches | <i>Hirudo medicinalis</i> <i>Hirudo verbana</i> | Medicinal Leech Southern Medicinal Leech | |

Phylum—Annelida

*Phylum—Mollusca***Class—Bivalvia (clams and mussels)**

| Order | Family | Genus, species, or subspecies | Common name | Exceptions/limitations |
|------------|--|---|---|------------------------|
| Mytiloidea | Mytilidae—Marine mussels | <i>Lithophaga lithophaga</i> | Mediterranean Date Mussel | |
| Unionoidea | Unionidae—Freshwater mussels, pearly mussels | <i>Cyprogenia aberti</i> <i>Epioblasma torulosa rangiana</i> | Edible Pearly Mussel Tan-blossomed Pearly Mussel | |
| Veneroidea | Tridacnidae—Giant clams | <i>Pleurobema clava</i> Tridacnidae spp | Clubshell Pearly Mussel Giant Clams | |

Class—Cephalopoda (squids, octopuses, cuttlefish)

| Order | Family | Genus, species, or subspecies | Common name | Exceptions/limitations |
|-----------|---------------------|-------------------------------|--------------------|------------------------|
| Nautilida | Nautilidae—Nautilus | Nautilidae spp | Chambered nautilus | |

Class—Gastropoda (snails and conches)

| Order | Family | Genus, species, or subspecies | Common name | Exceptions/limitations |
|-----------------|------------------------------|-------------------------------|------------------------|------------------------|
| Mesogastropoda | Strombidae—True conches | <i>Strombus gigas</i> | Queen Conch | |
| Stylommatophora | Camaenidae—Green tree snails | <i>Papustyla pulcherrima</i> | Manus Green Tree Snail | |

*Phylum—Cnidaria***Class—Anthozoa (corals and sea anemones)**

| Order | Family | Genus, species, or subspecies | Common name | Exceptions/limitations |
|---------------------------|--------------|-------------------------------|--------------------------------|--|
| Antipatharia—Black corals | Antipatharia | Antipatharia spp | All Black Corals | |
| Helioporacea—Blue corals | Helioporidae | Helioporidae spp | Blue Corals, Blue Ridge Corals | Excluding fossils; includes only species <i>Heliopora coerulea</i> |

| Order | Family | Genus, species, or subspecies | Common name | Exceptions/limitations |
|-------------------------------|-------------------------------|-------------------------------|--|------------------------|
| Scleractinia— Stony corals | | Scleractinia spp | All Brown Stem Cluster Corals, Brush Corals, Rose Tree Corals, White Lace Corals, Cauliflower Corals | Excluding fossils |
| Stolonifera | Tubiporidae—Organ-pipe corals | Tubiporidae spp | Organpipe Corals | Excluding fossils |

Class—Hydrozoa (sea ferns, fire corals, and stinging medusae)

| Order | Family | Genus, species, or subspecies | Common name | Exceptions/limitations |
|--------------|---------------------------|-------------------------------|--|------------------------|
| Milleporina | Milleporidae—Fire corals | Milleporidae spp | Fire Corals, Yellow Fire Corals, Stinging Corals | Excluding fossils |
| Stylasterina | Stylasteridae—Lace corals | Stylasteridae spp | Lace Corals | Excluding fossils |

Schedule 2 Part 1: amended, on 4 October 2017, by clause 5(2) of the Trade in Endangered Species Order 2017 (LI 2017/22).

Part 2

Flora (plants)

| Family | Genus, species, or subspecies | Common name | Exceptions/limitations |
|--|--|--|---|
| Agavaceae—Agaves | <i>Agave victoriae-reginae</i> <i>Nolina interrata</i> | Queen Agave Dehesa Bear-grass, San Diego Bear-grass | #4 |
| Amaryllidaceae—Snowdrops, sternbergias | <i>Yucca queretaroensis</i> <i>Galanthus</i> spp <i>Sternbergia</i> spp | Queretaro Yucca Snowdrops Sternbergias | #4 #4 |
| Anacardiaceae—Cashews | <i>Operculicarya decaryi</i> <i>Operculicarya hyphaenoides</i> <i>Operculicarya pachypus</i> | Jabilly, Elephant Tree Jabilly Tabilly | #9 |
| Apocynaceae—Elephant trunks, hoodias | <i>Hoodia</i> spp <i>Pachypodium</i> spp | Hoodia Elephant Trunks | #4; except species included in Schedule 1 |

| Family | Genus, species, or subspecies | Common name | Exceptions/limitations |
|---|--------------------------------|--|---|
| Araliaceae—Ginseng | <i>Rauvolfia serpentina</i> | Snake-root, Devil-pepper | #2 |
| | <i>Panax ginseng</i> | Red Ginseng | #3; only population of Russian Federation. No other population is included in the schedules |
| Asparagaceae—Includes ponytail palms | <i>Panax quinquefolius</i> | American Ginseng | #3 |
| | <i>Beaucarnea</i> spp | Pony Tail Palms | |
| Berberidaceae—May-apple | <i>Podophyllum hexandrum</i> | Himalayan May-apple | #2 |
| Bromeliaceae—Air plants, bromelias | <i>Tillandsia harrisi</i> | Harris' Tillandsia | #4 |
| | <i>Tillandsia kammii</i> | Kamm's Tillandsia | #4 |
| Cactaceae—Cacti | <i>Tillandsia xerographica</i> | Xerographic Tillandsia | #4 |
| | Cactaceae spp | Cactus Plants | #4; except species included in Schedule 1 and except <i>Pereskia</i> spp, <i>Pereskopsis</i> spp, and <i>Quiabentia</i> spp. Artificially propagated specimens of the following hybrids and cultivars are not subject to this Act: <i>Hatiora x graeseri</i> ; <i>Schlumbergera x buckleyi</i> ; <i>Schlumbergera russelliana x Schlumbergera truncata</i> ; <i>Schlumbergera orssichiana x Schlumbergera truncata</i> ; <i>Schlumbergera opuntioides x Schlumbergera truncata</i> ; Cactaceae spp colour mutants grafted on the following grafting stocks: <i>Harrisia "Jusbertii"</i> , <i>Hylocereus trigonus</i> or <i>Hylocereus undatus</i> ; <i>Opuntia microdasys</i> (cultivars) |
| Caryocaraceae—Ajo | <i>Caryocar costaricense</i> | Costus | #4 |
| Cucurbitaceae—Melons, gourds, cucurbits | <i>Zygosicyos pubescens</i> | Tobory | |
| | <i>Zygosicyos tripartitus</i> | Betoboky | |
| Cyatheaceae—Tree ferns | <i>Cyathea</i> spp | Tree Ferns | #4 |
| Cycadaceae—Cycads | Cycadaceae spp | Cycads | #4; except species included in Schedule 1 |
| | Dicksoniaceae—Tree ferns | <i>Cibotium barometz</i> <i>Dicksonia</i> spp | #4 #4; only populations of Americas. No other population is included in the schedules |

| Family | Genus, species, or subspecies | Common name | Exceptions/limitations |
|--|---|--|---|
| Didiereaceae—Allaudias, didiereas | Didiereaceae spp | Allaudias, Didiereas | #4 |
| Dioscoreaceae—Elephant's foot, kniss | <i>Dioscorea deltoidea</i> | Elephant's Foot | #4 |
| Droseraceae—Venus flytrap | <i>Dionaea muscipula</i> | Venus Flytrap | #4 |
| Ebenaceae—Ebonies | <i>Diospyros</i> spp | Ebonies, Persimmon Trees | #5; only populations of Madagascar |
| Euphorbiaceae—Spurges | <i>Euphorbia</i> spp | Euphorbias | #4; succulent species only, except <i>Euphorbia misera</i> and the species included in Schedule 1. The following are not subject to this Act: artificially propagated specimens of cultivars of <i>Euphorbia trigona</i> , artificially propagated specimens of crested, fan-shaped, or colour mutants of <i>Euphorbia lactea</i> , when grafted on artificially propagated root stock of <i>Euphorbia nerifolia</i> , and artificially propagated specimens of cultivars of <i>Euphorbia</i> "Mili", when they are traded in shipments of 100 or more plants and readily recognisable as artificially propagated |
| Fouquieriaceae—Ocotillos | <i>Fouquieria columnaris</i> | Boojum Tree | #4 |
| Juglandaceae—Gavilan | <i>Oreomunnea pterocarpa</i> | Gavilan | #4 |
| Lauraceae—Laurels | <i>Aniba rosaeodora</i> | Brazilian Rosewood | #12 |
| Leguminosae (Fabaceae)—Afrommosia, cristobal, palisander, rosewood, sandalwood | <i>Caesalpinia echinata</i> <i>Dalbergia</i> spp <i>Guibourtia demeusei</i> | Brazil Wood Rosewood, Palisander Bubinga | #10 #15; except species included in Schedule 1 #15 |
| | <i>Guibourtia pellegriniana</i> | Bubinga | #15 |
| | <i>Guibourtia tessmannii</i> | Bubinga | #15 |
| | <i>Pericopsis elata</i> | African Teak | #5 |
| | <i>Platymiscium pleiostachyum</i> | Quira, Macawood | #4 |
| | <i>Pterocarpus erinaceus</i> | African Rosewood, Kosso | |
| | <i>Pterocarpus santalinus</i> | Red Sanders | #7 |
| | <i>Senna meridionalis</i> | | |

| Family | Genus, species, or subspecies | Common name | Exceptions/limitations |
|---|-------------------------------|--------------------------------------|--|
| Liliaceae—Aloes | <i>Aloe</i> spp | Aloes | #4; except species included in Schedule 1; also excludes <i>Aloe vera</i> , also referenced as <i>Aloe barbadensis</i> , which is not included in the schedules |
| Malvaceae—Includes baobabs | <i>Adansonia grandidieri</i> | Grandidier's Baobab | #16 |
| Meliaceae—Mahoganies, West Indian cedar | <i>Swietenia humilis</i> | Mexican Mahogany, Hondurans Mahogany | #4 |
| | <i>Swietenia macrophylla</i> | Big-leaf Mahogany | #6; only populations of Neotropics |
| | <i>Swietenia mahagoni</i> | American Mahogany | #5 |
| Nepenthaceae—Pitcher plants (Old World) | <i>Nepenthes</i> spp | Pitcher Plants | #4; except species included in Schedule 1. |
| Orchidaceae—Orchids | Orchidaceae spp | Orchids | #4; except species included in Schedule 1. Artificially propagated hybrids of the genera <i>Cymbidium</i> , <i>Dendrobium</i> , <i>Phalaenopsis</i> , and <i>Vanda</i> are not subject to this Act— |
| | | | (a) when specimens are readily recognisable as artificially propagated and do not show any signs of having been collected in the wild, such as mechanical damage or strong dehydration resulting from collection, irregular growth and heterogeneous size and shape within a taxon and shipment, algae or other epiphyllous organisms adhering to leaves, or damage by insects or other pests; and |
| | | | (b) (i) when shipped in non-flowering state, the specimens must be traded in shipments consisting of individual containers (such as cartons, boxes, crates, or individual shelves of CC-containers), each containing 20 or more plants of the same hybrid; the plants within each container must exhibit a high degree of uniformity and healthiness; and the shipment must be accompanied by |

| Family | Genus, species, or subspecies | Common name | Exceptions/limitations |
|-------------------------------|---|--------------------------------|---|
| Orobanchaceae—Broomrapes | <i>Cistanche deserticola</i> | Desert Cistanche | <p>documentation, such as an invoice, that clearly states the number of plants of each hybrid, or</p> <p>(ii) when shipped in flowering state, with at least 1 fully open flower per specimen, no minimum number of specimens per shipment is required but specimens must be professionally processed for commercial retail sale, eg, labelled with printed labels or packaged with printed packages indicating the name of the hybrid and the country of final processing. This should be clearly visible and allow easy verification.</p> <p>Plants not clearly qualifying for the exemption must be accompanied by appropriate CITES documents</p> |
| Palmae (Arecaceae)—Palms | <i>Beccariophoenix madagascariensis</i> | Manarano Palm, Maruala Palm | |
| | <i>Dypsis decaryi</i> | Triangle Palm | |
| Passifloraceae—Passionflowers | <i>Lemurophoenix halleuxii</i> | Red Lemur Palm | |
| | <i>Marojejya darianii</i> | Big Leaf Palm, Madagascar Palm | |
| | <i>Ravenea louvelii</i> | Majesty Palm | |
| | <i>Ravenea rivularis</i> | Satranabe Palm | |
| | <i>Satranala decussilvae</i> | Forest Coconut | |
| | <i>Voanioala gerardii</i> | | |
| | <i>Adenia fringilatensis</i> | Adenia | |
| Pedaliaceae—Sesames | <i>Adenia olaboensis</i> | | |
| | <i>Adenia subsessilifolia</i> | | |
| | <i>Uncarina grandideri</i> | | |
| | <i>Uncarina stellulifera</i> | | |

| Family | Genus, species, or subspecies | Common name | Exceptions/limitations |
|--|---|------------------------------|--|
| Portulacaceae—Lewisiads, portulacac, purslanes | <i>Anacampteros</i> spp | Purse-lanes | #4 |
| | <i>Avonia</i> spp | | #4 |
| | <i>Lewisia serrata</i> | Saw-toothed Lewisia | #4 |
| | <i>Cyclamen</i> spp | Cyclamens | #4; except artificially propagated cultivars of <i>Cyclamen persicum</i> . Exemption does not apply to specimens traded as dormant tubers |
| Primulaceae—Cyclamens | <i>Adonis vernalis</i> | Yellow Adonis, Spring Adonis | #2 |
| | <i>Hydrastis canadensis</i> | Goldenseal | #8 |
| | <i>Prunus africana</i> | African Stinkwood | #4 |
| Ranunculaceae—Golden seals, yellow adonis, yellow root | <i>Osyris lanceolata</i> | African Sandalwood | #2; only populations of Burundi, Ethiopia, Kenya, Rwanda, Uganda, and United Republic of Tanzania |
| Rosaceae—African cherry, stinkwood | <i>Sarracenia</i> spp | Pitcher Plants | #4; except species included in Schedule 1 |
| Santalaceae—Sandalwoods | <i>Picrorhiza kurrooa</i> | | #2; excludes <i>Picrorhiza scrophulariiflora</i> |
| Sarraceniaceae—Pitcher plants (New World) | <i>Bowenia</i> spp | | #4 |
| | <i>Taxus chinensis</i> and infraspecific taxa of this species | | #2 |
| Scrophulariaceae—Kutki | <i>Taxus cuspidata</i> and infraspecific taxa of this species | | #2. The following are not subject to this Act: artificially propagated hybrids and cultivars of <i>Taxus cuspidata</i> , live, in pots or other small containers, each consignment being accompanied by a label or document stating the name of the taxon or taxa and the text “artificially propagated” |
| Stangeriaceae—Stangerias | <i>Taxus fuana</i> and infraspecific taxa of this species | | #2 |
| Taxaceae—Himalayan yew | <i>Taxus sumatrana</i> and infraspecific taxa of this species | | #2 |
| Thymelaeaceae (Aquiliaceae)—Agarwood, ramin | <i>Taxus wallichiana</i> | Himalayan Yew | #2 |
| | <i>Aquilaria</i> spp | Agarwood | #14 |
| | <i>Gonystylus</i> spp | Ramin | #4 |

| Family | Genus, species, or subspecies | Common name | Exceptions/limitations |
|---|--|---|---|
| Valerianaceae—Himalayan spikenard | <i>Gyrinops</i> spp <i>Nardostachys grandiflora</i> | Agarwood Himalayan Spikenard | #14 #2 |
| Vitaceae—Grapes | <i>Cyphostemma elephantopus</i> <i>Cyphostemma laza</i> <i>Cyphostemma montagnacii</i> <i>Welwitschia mirabilis</i> | Elephant Foot Grape Tree Laza Lazambohitra Welwitschia | #4 |
| Welwitschiaceae—Welwitschia | Zamiaceae spp <i>Hedychium philippinense</i> | Cycads Philippine Garland-flower | #4; except species included in Schedule 1 #4 |
| Zamiaceae—Cycads | <i>Siphonochilus aethiopicus</i> | Natal Ginger | Only populations of Mozambique, South Africa, Swaziland, and Zimbabwe |
| Zingiberaceae—Ginger lily, Natal ginger | <i>Bulnesia sarmientoi</i> <i>Guaiacum</i> spp | Palo Santo, Verawood Lignum-vitae | #11 #2 |
| Zygophyllaceae—Lignum-vitae | | | |
| Footnotes | | | |
| 1 | All parts and derivatives except— | | |
| | (a) seeds, spores, and pollen (including pollinia); and | | |
| | (b) seedling or tissue cultures obtained <i>in vitro</i> , in solid or liquid media, transported in sterile containers; and | | |
| | (c) cut flowers of artificially propagated plants; and | | |
| | (d) fruits, and parts and derivatives thereof, of artificially propagated plants of the genus <i>Vanilla</i> . | | |
| 2 | All parts and derivatives except— | | |
| | (a) seeds and pollen; and | | |
| | (b) finished products packaged and ready for the retail trade. | | |
| 3 | Whole and sliced roots and parts of roots, excluding manufactured parts or derivatives, such as powders, pills, extracts, tonics, teas, and confectionery. | | |
| 4 | All parts and derivatives except— | | |
| | (a) seeds (including seedpods of Orchidaceae), spores, and pollen (including pollinia). The exemption does not apply to seeds from Cactaceae spp exported from Mexico, or to seeds from <i>Beccariophoenix madagascariensis</i> and <i>Dyopsis decaryi</i> exported from Madagascar; and | | |
| | (b) seedling or tissue cultures obtained <i>in vitro</i> , in solid or liquid media, transported in sterile containers; and | | |

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- (c) cut flowers of artificially propagated plants; and
 - (d) fruits, and parts and derivatives thereof, of naturalised or artificially propagated plants of the genus *Vanilla* (Orchidaceae) and of the family Cactaceae; and
 - (e) stems, flowers, and parts and derivatives thereof of naturalised or artificially propagated plants of the genera *Opuntia*, subgenus *Opuntia* and *Selenicereus* (Cactaceae); and
 - (f) finished products of *Euphorbia antisyphilitica* packaged and ready for retail trade.
- 5 Logs, sawn wood, and veneer sheets.
 - 6 Logs, sawn wood, veneer sheets, and plywood.
 - 7 Logs, wood chips, powder, and extracts.
 - 8 Underground parts (ie, roots, rhizomes): whole, parts, and powdered.
 - 9 All parts and derivatives except those bearing a label “Produced from *Hoodia* spp material obtained through controlled harvesting and production under the terms of an agreement with the relevant CITES Management Authority of [Botswana under agreement No. BW/xxxxxx] [Namibia under agreement No. NA/xxxxxx] [South Africa under agreement No. ZA/xxxxxx]”.
 - 10 Logs, sawn wood, veneer sheets, including unfinished wood articles used for the fabrication of bows for stringed musical instruments.
 - 11 Logs, sawn wood, veneer sheets, plywood, powder, and extracts. Finished products containing such extracts as ingredients, including fragrances, are not considered to be covered by this annotation.
 - 12 Logs, sawn wood, veneer sheets, plywood, and extracts. Finished products containing such extracts as ingredients, including fragrances, are not considered to be covered by this annotation.
 - 13 The kernel (also known as endosperm, pulp, or copra) and any derivative thereof.
 - 14 All parts and derivatives except—
 - (a) seeds and pollen; and
 - (b) seedling or tissue cultures obtained *in vitro*, in solid or liquid media, transported in sterile containers; and
 - (c) fruits; and
 - (d) leaves; and
 - (e) exhausted agarwood powder, including compressed powder in all shapes; and
 - (f) finished products packaged and ready for retail trade; this exemption does not apply to wood chips, beads, prayer beads, and carvings.
 - 15 All parts and derivatives are included, except—
 - (a) leaves, flowers, pollen, fruits, and seeds; and
 - (b) non-commercial exports of a maximum total weight of 10 kg per shipment; and
 - (c) parts and derivatives of *Dalbergia cochinchinensis*, which are covered by annotation #4; and

- 16 (d) parts and derivatives of *Dalbergia* spp originating and exported from Mexico, which are covered by annotation #6.
Seeds, fruits, oil, and live plants.

Schedule 3

Species exploited by trade

s 3(1)

Schedule 3: replaced, on 24 February 2017, by clause 4 of the Trade in Endangered Species Order 2017 (LI 2017/22).

The classes, families, and species listed in this schedule are arranged in descending order by class, then in alphabetical order by order, family, and species. This order of arrangement is adopted in the Convention.

The countries listed in the column headed “**Exceptions/limitations and countries requiring export permit**” are parties to the Convention that require an export permit to authorise trade in the species listed in this schedule. Trade in those species in relation to other countries that are party to the Convention requires a certificate of origin.

When a species is included in this schedule, all parts and derivatives of the species are also included in the schedule unless the species is annotated to indicate that only specific parts and derivatives are included. The symbol # followed by a number placed against the name of a species or higher taxon included in this schedule refers to a footnote that indicates the parts or derivatives of plants that are designated as specimens subject to this Act. The footnotes are at the end of this schedule.

Part 1

Fauna (animals)

Phylum—Chordata

Class—Mammalia (mammals)

| Order | Family | Genus, species, or subspecies | Common name | Exceptions/limitations and countries requiring export permit |
|--------------|---|--|--|--|
| Artiodactyla | Bovidae—Antelopes, cattle, duikers, gazelles, goats, sheep, etc | <i>Antelope cervicapra</i> <i>Boselaphus tragocamelus</i> <i>Bubalus arnee</i> | Blackbuck Nilgai Asiatic Buffalo | Nepal, Pakistan Pakistan Nepal; excludes domesticated form, referenced as <i>Bubalus bubalis</i> |
| | | <i>Capra hircus aegagrus</i> | Wild Goat | Pakistan |

| Order | Family | Genus, species, or subspecies | Common name | Exceptions/limitations and countries requiring export permit |
|------------|--|-----------------------------------|--------------------------------|--|
| | | <i>Martes foina intermedia</i> | Central Asian Stone Marten | India |
| | | <i>Martes gwatkinsii</i> | Nilgiri Marten | India |
| | | <i>Mellivora capensis</i> | Honey Badger | Botswana |
| | | <i>Mustela altaica</i> | Alpine Weasel | India |
| | | <i>Mustela erminea ferghanae</i> | Stoat | India |
| | | <i>Mustela kathiah</i> | Yellow-bellied Weasel | India |
| | | <i>Mustela sibirica</i> | Siberian Weasel | India |
| | Odobenidae—Walrus | <i>Odobenus rosmarus</i> | Walrus | Canada |
| | Procyonidae—Coatis, kinkajou, olingos | <i>Bassaricyon gabbii</i> | Bushy-tailed Olingo | Costa Rica |
| | | <i>Bassariscus sumichrasti</i> | Central American Cacomistle | Costa Rica |
| | | <i>Nasua narica</i> | Northern Coati | Honduras |
| | | <i>Nasua nasua solitaria</i> | South Brazilian Coati | Uruguay |
| | | <i>Potos flavus</i> | Kinkajou | Honduras |
| | Viverridae—Binturong, civets, linsangs, otter-civet, palm civets | <i>Areictis binturong</i> | Binturong | India |
| | | <i>Civettictis civetta</i> | African Civet | Botswana |
| | | <i>Paguma larvata</i> | Masked Palm Civet | India |
| | | <i>Paradoxurus hermaphroditus</i> | Common Palm Civet | India |
| | | <i>Paradoxurus jerdoni</i> | Palm Civet | India |
| | | <i>Viverra civettina</i> | Large Spotted Civet | India |
| | | <i>Viverra zibetha</i> | Large Indian Civet | India |
| | | <i>Viverricula indica</i> | Small Indian Civet | India |
| | | <i>Playrrhinus lineatus</i> | White-lined Bat | Uruguay |
| Chiroptera | Phyllostomidae—Broad-nosed bats | | | |
| Cingulata | Dasypodidae—Armadillos | <i>Cabassous centralis</i> | Naked-tailed Armadillo | Costa Rica |
| | | <i>Cabassous tatouay</i> | Greater Naked-tailed Armadillo | Uruguay |
| Pilosa | Megalonychidae—Two-toed sloths | <i>Choloepus hoffmanni</i> | Hoffman's Sloth | Costa Rica |

| Order | Family | Genus, species, or subspecies | Common name | Exceptions/limitations and countries requiring export permit |
|--|--|---|--|---|
| Rodentia | Myrmecophagidae—American anteaters | <i>Tamandua mexicana</i> | Northern Tamandua | Guatemala |
| | Cuniculidae—Pacas | <i>Cuniculus paca</i> | Paca | Honduras |
| | Dasyproctidae—Agoutis | <i>Dasyprocta punctata</i> | Central American Agouti | Honduras |
| | Erethizontidae—New World porcupines | <i>Sphiggurus mexicanus</i> <i>Sphiggurus spinosus</i> | Mexican Porcupine Spiny Tree Porcupine | Honduras Uruguay |
| | Sciuridae—Ground squirrels, tree squirrels | <i>Marmota caudata</i> <i>Marmota himalayana</i> <i>Sciurus deppei</i> | Longtailed Marmot Himalayan Marmot Deppe's Squirrel | India India Costa Rica |
| Class—Aves (birds) | | | | |
| Order | Family | Genus, species, or subspecies | Common name | Exceptions/limitations and countries requiring export permit |
| Anseriformes | Anatidae—Ducks, geese, swans, etc | <i>Dendrocygna autumnalis</i> <i>Dendrocygna bicolor</i> | Red-billed Whistling Duck Fulvous Tree Duck | Honduras Honduras |
| Charadriiformes | Burhinidae—Thick-knees | <i>Burhinus bistriatus</i> | Double-striped Thick-knee | Guatemala |
| Columbiformes | Columbidae—Doves, pigeons | <i>Nesoenas mayeri</i> | Mauritius Pink Pigeon | Mauritius |
| Falconiformes—Eagles, falcons, hawks, vultures | Cathartidae—New World vultures | <i>Sarcoramphus papa</i> | King Vulture | Honduras |
| Galliformes | Cracidae—Chachalacas, curassows, guans | <i>Crax alberti</i> <i>Crax daubentoni</i> <i>Crax globulosa</i> <i>Crax rubra</i> | Blue-knobbed Curassow Yellow-knobbed Curassow Wattled Curassow Great Curassow | Colombia Colombia Colombia Colombia, Costa Rica, Guatemala, Honduras |
| | | <i>Ortalis vetula</i> <i>Pauxi pauxi</i> | Plain Chachalaca Northern Helmeted Curassow | Guatemala, Honduras Colombia |
| | | <i>Penelope purpurascens</i> <i>Penelopina nigra</i> | Crested Guan Highland Guan | Honduras Guatemala |

| Order | Family | Genus, species, or subspecies | Common name | Exceptions/limitations and countries requiring export permit | |
|----------------------------------|---|----------------------------------|--|--|--|
| Passeriformes | Phasianidae—Grouse, guineafowl, partridges, peafowl, pheasants, tragopans | <i>Lophura leucomelanos</i> | Kalij Pheasant | Pakistan | |
| | | <i>Meleagris ocellata</i> | Ocellated Turkey | Guatemala | |
| | | <i>Pavo cristatus</i> | Indian Peafowl, Blue Peafowl | Pakistan | |
| | | <i>Pucrasia macrolopha</i> | Koklass Pheasant | Pakistan | |
| | Cotingidae—Cotingas | <i>Tragopan satyra</i> | Satyr Tragopan, Indian Tragopan, Crimson Horned Pheasant | Nepal | |
| | | <i>Cephalopterus ornatus</i> | Amazonian Umbrellabird, Ornate Umbrellabird | Colombia | |
| | | <i>Cephalopterus penduliger</i> | Long-wattled Umbrellabird | Colombia | |
| | | <i>Acrocephalus rodericanus</i> | Rodrigues Warbler | Mauritius | |
| | | <i>Terpsiphone bourbonnensis</i> | Mascarene Paradise-flycatcher | Mauritius | |
| | | <i>Semnormis ramphastinus</i> | Toucan Barbet | Colombia | |
| Piciformes | Capitonidae—Barbets Ramphastidae—Toucans | <i>Baillonius bailloni</i> | Saffron Toucanet | Argentina | |
| | | <i>Pteroglossus castanotis</i> | Chestnut-eared Aracari | Argentina | |
| | | <i>Ramphastos dicolorus</i> | Red-breasted Toucan | Argentina | |
| | | <i>Selenidera maculirostris</i> | Spot-billed Toucanet | Argentina | |
| Class—Reptilia (reptiles) | | | | | |
| Sauria | Gekkonidae—Geckos | <i>Dactylocnemis</i> spp | Northern Brown Geckos | New Zealand | |
| | | <i>Hoplodactylus</i> spp | New Zealand Giant Geckos | New Zealand | |
| | | <i>Mokopirirakau</i> spp | Forest and Alpine Geckos | New Zealand | |
| | | <i>Toropuku</i> spp | Striped Geckos | New Zealand | |

| Order | Family | Genus, species, or subspecies | Common name | Exceptions/limitations and countries requiring export permit | |
|---|---|--------------------------------------|--|--|------------------------|
| Serpentes | Colubridae—Typical snakes, water snakes, whipsnakes | <i>Tukutuku</i> spp | Harlequin Geckos | New Zealand | |
| | | <i>Woodworthia</i> spp | Rock Geckos | New Zealand | |
| | | <i>Atretium schistosum</i> | Olive Keel-back Snake | India | |
| | | <i>Cerberus rynchops</i> | Dog-faced Water Snake | India | |
| | | <i>Xenochrophis piscator</i> | Cheekered Keelback Water Snake | India | |
| | | <i>Xenochrophis schmurrenbergeri</i> | Bar-necked Keelback | India | |
| | | <i>Xenochrophis tyleri</i> | Andaman Keelback, Tyler's Keelback | India | |
| | | Elapidae—Cobras, coral snakes | <i>Micrurus diastema</i> | Atlantic Coral Snake | Honduras |
| | | | <i>Micrurus nigrocinctus</i> | Black-banded Coral Snake | Honduras |
| | | | <i>Micrurus ruatanus</i> | Roatan Coral Snake | Honduras |
| | | | <i>Crotalus durissus</i> | Tropical Rattlesnake | Honduras |
| | | | <i>Daboia russelii</i> | Russell's Viper | India |
| | | Testudines | Chelydridae—Snapping turtles | <i>Chelydra serpentina</i> | Common Snapping Turtle |
| <i>Macrochelys temminckii</i> | Alligator Snapping Turtle | | | United States of America | |
| Emydidae—Box turtles, freshwater turtles | <i>Graptemys</i> spp | | Map Turtles | United States of America | |
| | <i>Mauremys iversoni</i> | | Iverson's Pond Turtle, Fujian Pond Turtle | China | |
| Geoemydidae—Box turtles, freshwater turtles | <i>Mauremys megaloccephala</i> | | Chinese Broad-headed Pond Turtle | China | |
| | <i>Mauremys pritchardi</i> | | Pritchard's Pond Turtle, Lashio Pond Turtle | China | |
| | <i>Mauremys reevesii</i> | | Reeve's Turtle, Chinese Three-keeled Pond Turtle | China | |
| | <i>Mauremys sinensis</i> | | Chinese Stripe-necked Turtle | China | |
| | <i>Ocadia glyphistoma</i> | | Guanxi Stripe-necked Turtle | China | |

| Order | Family | Genus, species, or subspecies | Common name | Exceptions/limitations and countries requiring export permit |
|--------------------------------------|---------------------------------------|-------------------------------------|--|--|
| | | <i>Ocadia philippeni</i> | Philippen's Stripe-necked Turtle | China |
| | | <i>Sacalia pseudocellata</i> | Chinese False-eyed Turtle | China |
| | Trionychidae—Softshell turtles | <i>Apalone ferox</i> | Florida Softshell Turtle | United States of America |
| | | <i>Apalone mutica</i> | Smooth Softshell Turtle | United States of America |
| | | <i>Apalone spinifer</i> | Spiny Softshell Turtle | United States of America; except subspecies included in Schedule 1 |
| Class—Amphibia (amphibians) | | | | |
| Order | Family | Genus, species, or subspecies | Common name | Exceptions/limitations and countries requiring export permit |
| Anura | Calyptocephalellidae—Chilean toads | <i>Calyptocephalella gayi</i> | Helmeted Water Toad, Chilean Helmeted Bull Frog, Wide Mouth Toad | Chile |
| Caudata | Cryptobranchidae—Giant salamanders | <i>Cryptobranchus alleganiensis</i> | Hellbender Salamander | United States of America |
| | Hynobiidae—Asiatic salamanders | <i>Hynobius amjintensis</i> | Amji's Salamander | China |
| | Salamandridae—Newts and salamanders | <i>Salamandra algira</i> | North African Fire Salamander | Algeria |
| Class—Elasmobranchii (sharks) | | | | |
| Order | Family | Genus, species, or subspecies | Common name | Exceptions/limitations and countries requiring export permit |
| Myliobatiformes | Potamotrygonidae—Freshwater stingrays | <i>Paratrygon aiereba</i> | Manzana Ray, Ceja Ray | Colombia |
| | | <i>Potamotrygon</i> spp | South American Freshwater Stingray | Brazil (only population of Brazil) |
| | | <i>Potamotrygon constellata</i> | Thorny River Stingray | Colombia |
| | | <i>Potamotrygon magdalenae</i> | Magdalena River Stingray | Colombia |
| | | <i>Potamotrygon motoro</i> | Ocellate River Stingray | Colombia |

| Order | Family | Genus, species, or subspecies | Common name | Exceptions/limitations and countries requiring export permit |
|--|--------------------------------------|-------------------------------------|------------------------------------|--|
| | | <i>Potamotrygon orbignyi</i> | Smooth-back River Stingray | Colombia |
| | | <i>Potamotrygon schroederi</i> | Flower Ray, Rosette River Stingray | Colombia |
| | | <i>Potamotrygon scobina</i> | Raspy River Stingray | Colombia |
| | | <i>Potamotrygon yepezi</i> | Maracaibo River Stingray | Colombia |
| Class—Actinopteri (fishes) | | | | |
| Order | Family | Genus, species, or subspecies | Common name | Exceptions/limitations and countries requiring export permit |
| Siluriformes | Loricariidae—Armoured catfishes | <i>Hypancistrus zebra</i> | Zebra Pleco | Brazil |
| <i>Phylum—Echinodermata</i> | | | | |
| Class—Holothuroidea (sea cucumbers) | | | | |
| Order | Family | Genus, species, or subspecies | Common name | Exceptions/limitations and countries requiring export permit |
| Aspidochirotrida | Stichopodidae—Sea cucumbers | <i>Isostichopus fuscus</i> | | Ecuador |
| <i>Phylum—Arthropoda</i> | | | | |
| Class—Insecta (insects) | | | | |
| Order | Family | Genus, species, or subspecies | Common name | Exceptions/limitations and countries requiring export permit |
| Coleoptera | Lucanidae—Stag beetles | <i>Colophon</i> spp | Cape Stag Beetles | South Africa |
| Lepidoptera | Nymphalidae—Brush-footed butterflies | <i>Agrias amydon boliviensis</i> | | Plurinational State of Bolivia |
| | | <i>Morpho godartii lachaumei</i> | | Plurinational State of Bolivia |
| | | <i>Prepona praeneste buckleyana</i> | | Plurinational State of Bolivia |

*Phylum—Cnidaria***Class—Anthozoa (corals and sea anemones)**

| Order | Family | Genus, species, or subspecies | Common name | Exceptions/limitations and countries requiring export permit |
|-------------|-------------|-------------------------------|---|--|
| Gorgonaceae | Coralliidae | Red and pink corals | | China |
| | | <i>Corallium elatius</i> | | China |
| | | <i>Corallium japonicum</i> | White Coral | China |
| | | <i>Corallium konjoi</i> | Angelskin Coral, Formosa Pink Coral, Pink Coral | China |
| | | <i>Corallium secundum</i> | | China |

Part 2**Flora (plants)**

| Family | Genus, species, or subspecies | Common name | Exceptions/limitations and countries requiring export permit |
|--|--|--|--|
| Fagaceae—Beeches | <i>Quercus mongolica</i> | Mongolian oak | #5; Russian Federation |
| Gnetaceae—Gnetums | <i>Gnetum montanum</i> | | #1; Nepal |
| Leguminosae (Fabaceae)—Afrommosia, cristobal, palisander, rosewood, sandalwood | <i>Dipteryx panamensis</i> | | Costa Rica, Nicaragua |
| Magnoliaceae—Magnolias | <i>Magnolia liliifera</i> var <i>obovata</i> | | #1; Nepal |
| Meliaceae—Mahoganies, West Indian cedar | <i>Cedrela fissilis</i> | | #5; Plurinational State of Bolivia, Brazil |
| | <i>Cedrela lilloi</i> | | #5; Plurinational State of Bolivia, Brazil |
| | <i>Cedrela odorata</i> | Red Cedar, Cigar-box Wood, Spanish Cedar | #5; Plurinational State of Bolivia, Brazil, Colombia (only population of Colombia), Guatemala (only population of Guatemala), Peru (only population of Peru) |
| Oleaceae—Ashes, etc | <i>Fraxinus mandshurica</i> | Manchurian Ash | #5; Russian Federation |
| Palmae (Arecaceae)—Palms | <i>Lodoicea maldivica</i> | Coco de Mer | #13; Seychelles |

| Family | Genus, species, or subspecies | Common name | Exceptions/limitations and countries requiring export permit |
|--|--|--|--|
| Papaveraceae— Poppy | <i>Meconopsis regia</i> | Himalayan Poppy | #1; Nepal |
| Pinaceae—Firs and pines | <i>Pinus koraiensis</i> | Korean Pine | #5; Russian Federation |
| Podocarpaceae— Podocarps | <i>Podocarpus neritifolius</i> | Yellow Wood | #1; Nepal |
| Trochodendraceae (Tetracentraceae)— Tetracentron | <i>Tetracentron sinense</i> | | #1; Nepal |
| Footnotes | | | |
| 1 | All parts and derivatives except— | | |
| | (a) | seeds, spores, and pollen (including pollinia); and | |
| | (b) | seedling or tissue cultures obtained <i>in vitro</i> , in solid or liquid media, transported in sterile containers; and | |
| | (c) | cut flowers of artificially propagated plants; and | |
| | (d) | fruits, and parts and derivatives thereof, of artificially propagated plants of the genus <i>Vanilla</i> . | |
| 2 | All parts and derivatives except— | | |
| | (a) | seeds and pollen; and | |
| | (b) | finished products packaged and ready for the retail trade. | |
| 3 | Whole and sliced roots and parts of roots, excluding manufactured parts or derivatives, such as powders, pills, extracts, tonics, teas, and confectionery. | | |
| 4 | All parts and derivatives except— | | |
| | (a) | seeds (including seedpods of Orchidaceae), spores, and pollen (including pollinia). The exemption does not apply to seeds from Cactaceae spp exported from Mexico, or to seeds from <i>Beccariothoenix madagascariensis</i> and <i>Dyopsis decaryi</i> exported from Madagascar; and | |
| | (b) | seedling or tissue cultures obtained <i>in vitro</i> , in solid or liquid media, transported in sterile containers; and | |
| | (c) | cut flowers of artificially propagated plants; and | |
| | (d) | fruits, and parts and derivatives thereof, of naturalised or artificially propagated plants of the genus <i>Vanilla</i> (Orchidaceae) and of the family Cactaceae; and | |
| | (e) | stems, flowers, and parts and derivatives thereof of naturalised or artificially propagated plants of the genera <i>Opuntia</i> , subgenus <i>Opuntia</i> and <i>Selenicereus</i> (Cactaceae); and | |
| | (f) | finished products of <i>Euphorbia antisyphilitica</i> packaged and ready for retail trade. | |

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- 5 Logs, sawn wood, and veneer sheets.
- 6 Logs, sawn wood, veneer sheets, and plywood.
- 7 Logs, wood chips, powder, and extracts.
- 8 Underground parts (ie, roots, rhizomes): whole, parts, and powdered.
- 9 All parts and derivatives except those bearing a label “Produced from *Hoodia* spp material obtained through controlled harvesting and production under the terms of an agreement with the relevant CITES Management Authority of [Botswana under agreement No. BW/xxxxxx] [Namibia under agreement No. NA/xxxxxx] [South Africa under agreement No. ZA/xxxxxx]”.
- 10 Logs, sawn wood, veneer sheets, including unfinished wood articles used for the fabrication of bows for stringed musical instruments.
- 11 Logs, sawn wood, veneer sheets, plywood, powder, and extracts. Finished products containing such extracts as ingredients, including fragrances, are not considered to be covered by this annotation.
- 12 Logs, sawn wood, veneer sheets, plywood, and extracts. Finished products containing such extracts as ingredients, including fragrances, are not considered to be covered by this annotation.
- 13 The kernel (also known as endosperm, pulp, or copra) and any derivative thereof.
- 14 All parts and derivatives except—
- (a) seeds and pollen; and
 - (b) seedling or tissue cultures obtained *in vitro*, in solid or liquid media, transported in sterile containers; and
 - (c) fruits; and
 - (d) leaves; and
 - (e) exhausted agarwood powder, including compressed powder in all shapes; and
 - (f) finished products packaged and ready for retail trade; this exemption does not apply to wood chips, beads, prayer beads, and carvings.
- 15 All parts and derivatives are included, except—
- (a) leaves, flowers, pollen, fruits, and seeds; and
 - (b) non-commercial exports of a maximum total weight of 10 kg per shipment; and
 - (c) parts and derivatives of *Dalbergia cochinchinensis*, which are covered by annotation #4; and
 - (d) parts and derivatives of *Dalbergia* spp originating and exported from Mexico, which are covered by annotation #6.
- 16 Seeds, fruits, oil, and live plants.

Reprints notes

1 *General*

This is a reprint of the Trade in Endangered Species Act 1989 that incorporates all the amendments to that Act as at the date of the last amendment to it.

2 *Legal status*

Reprints are presumed to correctly state, as at the date of the reprint, the law enacted by the principal enactment and by any amendments to that enactment. Section 18 of the Legislation Act 2012 provides that this reprint, published in electronic form, has the status of an official version under section 17 of that Act. A printed version of the reprint produced directly from this official electronic version also has official status.

3 *Editorial and format changes*

Editorial and format changes to reprints are made using the powers under sections 24 to 26 of the Legislation Act 2012. See also <http://www.pco.parliament.govt.nz/editorial-conventions/>.

4 *Amendments incorporated in this reprint*

Trade in Endangered Species Order 2017 (LI 2017/22)

Trade in Endangered Species Amendment Act 2015 (2015 No 38)

Search and Surveillance Act 2012 (2012 No 24): section 303

Criminal Procedure Act 2011 (2011 No 81): section 413

Immigration Act 2009 (2009 No 51): section 406(1)

Policing Act 2008 (2008 No 72): section 116(a)(ii)

Trade in Endangered Species Amendment Act 2007 (2007 No 85)

Trade in Endangered Species Amendment Act 2005 (2005 No 71)

Customs and Excise Amendment Act 2004 (2004 No 55): section 47(4)(b)

Trade in Endangered Species Amendment Act 1999 (1999 No 50)

District Courts Amendment Act 1998 (1998 No 76): section 7

Trade in Endangered Species Amendment Act 1998 (1998 No 17)

Postal Services Act 1998 (1998 No 2): section 62(1)

Trade in Endangered Species Amendment Act 1996 (1996 No 149)

Territorial Sea and Exclusive Economic Zone Amendment Act 1996 (1996 No 74): section 5(4)

Customs and Excise Act 1996 (1996 No 27): sections 289(1), 294(2)

Biosecurity Act 1993 (1993 No 95): section 168(1)

Crown Research Institutes Act 1992 (1992 No 47): section 46(1)

Trade in Endangered Species Amendment Act 1991 (1991 No 100)

